

STATE OF CALIFORNIA  
COMMISSION ON STATE MANDATES

In Re: ) No.  
 )  
STATE CONTROLLER'S OFFICE )  
AUDIT REPORT ON SANTA )  
CLARA COUNTY DOMESTIC )  
VIOLENCE TREATMENT )  
SERVICES PROGRAM )  
\_\_\_\_\_ )

**INCORRECT REDUCTION CLAIM  
COUNTY OF SANTA CLARA**

ANN MILLER RAVEL, County Counsel (S.B. #62139)  
ROBERT C. CAMPBELL, Assistant County Counsel (S.B. #60738)  
LIZANNE REYNOLDS, Deputy County Counsel (S.B. #168435)  
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70 West Hedding Street, East Wing, Ninth Floor  
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Attorneys for  
COUNTY OF SANTA CLARA

**1. INCORRECT REDUCTION CLAIM TITLE**

State Controller's Office Audit Report on Santa Clara  
County Domestic Violence Treatment Services Program

**2. CLAIMANT INFORMATION**

County of Santa Clara  
Name of Local Agency or School District  
David G Elledge  
Claimant Contact  
Controller-Treasurer  
Title  
70 West Hedding Street, East Wing, 2nd Floor  
Street Address  
San Jose, CA 95110  
City, State, Zip  
408-299-5200  
Telephone Number  
408-289-8620  
Fax Number  
dave.elledge@fin.sccgov.org  
E-Mail Address

**3. CLAIMANT REPRESENTATIVE INFORMATION**

Claimant designates the following person to act as its sole representative in this incorrect reduction claim. All correspondence and communications regarding this claim shall be forwarded to this representative. Any change in representation must be authorized by the claimant in writing, and sent to the Commission on State Mandates.

Lizanne Reynolds  
Claimant Representative Name  
Deputy County Counsel  
Title  
Office of the County Counsel, Santa Clara County  
Organization  
70 West Hedding Street, East Wing, Ninth Floor  
Street Address  
San Jose, CA 95110  
City, State, Zip  
408-299-5900  
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E-Mail Address

For CSM Use Only  
Filing Date  
**RECEIVED**  
AUG 15 2007  
COMMISSION ON STATE MANDATES  
IRC #: 07-9628101-I-01

**4. IDENTIFICATION OF STATUTES OR EXECUTIVE ORDERS**

Please specify the subject statute or executive order that claimant alleges is not being fully reimbursed pursuant to the adopted parameters and guidelines.

Chapter 183, Statutes of 1992.

**5. AMOUNT OF INCORRECT REDUCTION**

Please specify the fiscal year and amount of reduction. More than one fiscal year may be claimed.

Fiscal Year	Amount of Reduction
98-99	
99-00	
00-01	

**TOTAL:** \$748,675.00

**6. NOTICE OF INTENT TO CONSOLIDATE**

Please check the box below if there is intent to consolidate this claim.

Yes, this claim is being filed with the intent to consolidate on behalf of other claimants.

Sections 7 through 11 are attached as follows:

- 7. Written Detailed Narrative: pages \_\_\_ to \_\_\_.
- 8. Documentary Evidence and Declarations: Exhibit \_\_\_.
- 9. Claiming Instructions: Exhibit \_\_\_.
- 10. Final State Audit Report or Other Written Notice of Adjustment: Exhibit \_\_\_.
- 11. Reimbursement Claims: Exhibit \_\_\_.

12. CLAIM CERTIFICATION

Read, sign, and date this section and insert at the end of the incorrect reduction claim submission.\*

This claim alleges an incorrect reduction of a reimbursement claim filed with the State Controller's Office pursuant to Government Code section 17561. This incorrect reduction claim is filed pursuant to Government Code section 17551, subdivision (d). I hereby declare, under penalty of perjury under the laws of the State of California, that the information in this incorrect reduction claim submission is true and complete to the best of my own knowledge or information or belief.

Lizanne Reynolds

Print or Type Name of Authorized Local Agency  
or School District Official

Deputy County Counsel

Print or Type Title

Lizanne Reynolds

Signature of Authorized Local Agency or  
School District Official

8-30-07

Date

\* If the declarant for this Claim Certification is different from the Claimant contact identified in section 2 of the incorrect reduction claim form, please provide the declarant's address, telephone number, fax number, and e-mail address below.

## TOPICAL INDEX

	<u>Page</u>
INTRODUCTION .....	1
FACTS.....	2
ANALYSIS AND DISCUSSION .....	6
A.    AUDIT FINDING NUMBER ONE REGARDING COUNTY’S PRODUCTIVE HOURLY RATE CALCULATION IS INCORRECT .....	6
1.    The County’s Productive Hourly Rate Computation Complies With the SCO-Issued General Claiming Instructions .....	7
2.    The County’s Computation Results in a More Accurate and Consistent Productive Hourly Rate .....	8
B.    AUDIT FINDING NUMBER ONE REGARDING A LACK OF SUBSTANTIATING RECORDS IS INCORRECT .....	11
1.    The Victim Telephone Contacts Time Was Fully Supported by a Valid Time Study .....	11
2.    The Time Claimed by the Investigative Unit was Proper .....	11
3.    The Training Costs Were Properly Claimed and Supported.....	12
4.    The Meeting Costs Were Properly Claimed and Supported .....	13
5.    The Field Contact Costs Were Properly Claimed and Supported.....	13
6.    The Victim Telephone Contacts Time Was Fully Supported by a Valid Time study .....	14
7.    The Assessment Costs Were Properly Supported by a Valid Time Study.....	14
CONCLUSION .....	15
EXHIBIT A .....	16
EXHIBIT B .....	46

EXHIBIT C .....	61
EXHIBIT D .....	69
EXHIBIT E .....	83
EXHIBIT F .....	104
EXHIBIT G .....	134
EXHIBIT H .....	179
EXHIBIT I .....	188
EXHIBIT J .....	192
EXHIBIT K .....	194
EXHIBIT L .....	206
EXHIBIT M .....	209
EXHIBIT N .....	320
EXHIBIT O .....	322
EXHIBIT P .....	330

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STATE OF CALIFORNIA  
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In Re:	)	No.
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STATE CONTROLLER'S OFFICE	)	INCORRECT REDUCTION CLAIM
AUDIT REPORT ON SANTA	)	BY THE COUNTY OF SANTA CLARA
CLARA COUNTY DOMESTIC	)	
VIOLENCE TREATMENT	)	
SERVICES PROGRAM	)	
_____	)	

On February 26, 2004, the State Controller's Office ("SCO") issued its final audit report on the County of Santa Clara's ("County's") claims for costs incurred based on the legislatively created Domestic Violence Treatment Services Program (Test Claim No. CSM-96-281-01; Ch. 183, Stats. 1992) for July 1, 1998 through June 30, 2001. A true and correct copy of the SCO's final audit report is attached hereto as Exhibit A. The SCO incorrectly reduced the County's claim of \$2,027,291 by \$748,675, allowing only \$1,278,616. The SCO issued a remittance advice to the County deducting the \$748,675 on August 3, 2006. A true and correct copy of the

remittance advice is attached hereto as Exhibit P. The County requests the Commission on State Mandates to reverse the audit findings and to award the County the correct claim amount of \$2,027,291.

**FACTS**

The Domestic Violence Treatment Services Program involves a diversion program providing treatment to those arrested for committing domestic violence offenses. The County's Domestic Violence Unit is an adult supervision unit that specializes in domestic violence cases which are supervised at least 12 months or until the offender completes the 52-week certified batterers program. Eleven full-time deputies are assigned to these specialized domestic violence caseloads which are currently increasing in number as indicated below:

APD Active Domestic Violence Probationers

	<u>Felony</u>	<u>Misdemeanor</u>	<u>Total</u>
FY'03	526	1807	2333
FY'04	693	2351	3044
FY'05	854	3375	4229

This program was found to be a state-mandated reimbursable program by this Commission on May 7, 1998. A true and correct copy of the Commission's Statement of Decision is attached hereto as Exhibit B and is incorporated herein by reference. Thereafter, Parameters and Guidelines were issued on November 30, 1998, a true and correct copy of which is attached hereto as Exhibit C and is incorporated herein by reference. Claiming Instructions were duly issued by the SCO, a true and correct copy of which is attached hereto as Exhibit D and is incorporated herein by reference.

Based upon the foregoing program, Parameters and Guidelines, and Claiming Instructions, the County timely submitted their claims for fiscal years 1998-99, 1999-2000, and 2000-2001, which are the subject of the within incorrect reduction claim. True and correct copies of these reimbursement claims are attached hereto as Exhibits E, F, and G, respectively and are incorporated herein by reference.

The reimbursable components of this program include:

A. Administration and regulation of batterers' treatment programs (Pen. Code, §§ 1203.097, subds. (c)(1), (c)(2) and (c)(5)) offset by claimant's fee authority under Penal code section 1203.097, subdivision (c)(5)(B).

1. Development of an approval and annual renewal process for batterers' programs, not previously claimed under former Penal Code sections 1000.93 and 1000.95. (One-time activity.)

a. Meeting and conferring with and soliciting input from criminal justice agencies and domestic violence victim advocacy programs.

b. Staff training regarding the administration and regulation of batterers' treatment programs. (One-time for each employee performing the mandated activity.)

2. Processing of initial and annual renewal approvals for vendors, including:

a. Application review.

b. On-site evaluations.

c. Notification of application approval, denial, suspension or revocation.

B. Victim Notification. (Pen. Code, §1203.097, subd. (b)(4).)

1. The probation department shall attempt to:

a. Notify victims regarding the requirement for the defendant's participation in a batterer's program.

b. Notify victims regarding available victim resources.

c. Inform victims that attendance in any program does not guarantee that an abuser will not be violent.

2. Staff training on the following activities:

a. Notify victims regarding the requirement for the defendant's participation in a batterer's program, and inform victims that attendance in any program does not guarantee that an abuser a not be violent. (One-time for each employee performing the mandated activities.)

b. Notify victims regarding available victim resources. (Once-a-year training for each employee performing the mandated activity.)

C. Assessing the future probability of the defendant committing [sic] murder. (Pen. Code, §1203.097, subd. (b)(3)(1).)

1. Evaluation and selection of a homicidal risk assessment instrument.

2. Purchasing or developing a homicidal risk assessment instrument.
3. Training staff on the use of the homicidal risk assessment instrument.
4. Evaluation of the defendant using the homicidal risk assessment instrument, interviews and investigation, to assess the future probability of the defendant committing murder.

In the event a local agency obtains a new homicidal risk instrument, documentation substantiating the improved value of the new instrument is required to be provided with the claim.

Based on the foregoing, the County timely filed its reimbursement claims.

On August 8, 2002, the audit entrance conference was held with the County on the Domestic Violence Services Program. A draft audit report was issued on October 8, 2003. Finding 1 of the audit report states that the County's productive hourly rate had been calculated improperly. The report also makes the following allegations:

- Regarding administration and regulation components:
  - 1.1)<sup>1</sup> Five hours per officer, per month for telephone time was unsubstantiated;
  - 1.2) Time claimed investigative unit was unsubstantiated;
  - 1.3) Training hours claimed included individuals not performing activities related to the program;
  - 1.4) Meeting attendees were not specifically substantiated.

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<sup>1</sup> The numerical designation reflects the subparagraph number as stated in the audit report.

- Regarding victim notification, the report alleges that the time claimed as field contact hours was not fully supported by logs and that the time claimed in telephone time was unsubstantiated.

- Regarding assessments, the report alleged that the time study used did not support the time claimed.

On December 12, 2003, the County drafted its response to the draft findings taking exception to the characterization that the calculation of the productive hourly rate was improper. The County also explained that its costs in the above-mentioned areas were properly and fully substantiated. A true and correct copy of the County's response is attached hereto as Exhibit H and is incorporated herein by reference.

The final audit report was issued on February 26, 2004, without any change in the findings at issue.

### **ANALYSIS AND DISCUSSION**

#### **A. AUDIT FINDING NUMBER ONE REGARDING COUNTY'S PRODUCTIVE HOURLY RATE CALCULATION IS INCORRECT.**

Audit Finding 1 states that the County over-claimed salaries, benefits and related indirect costs in the amount of \$705,080. This finding was based upon the County's computation of its productive hourly rates for probation officers. The computation was proper and the County requests this Commission reverse Audit Finding 1 to allow for the recovery of costs incurred for this state-mandated program for the reasons discussed below.

1. **The County's Productive Hourly Rate Computation Complies With The SCO-Issued General Claiming Instructions.**

The computation of an annual productive hourly rate used by the County removes non-productive time spent on authorized breaks, training, and staff meetings. The resulting total countywide annual productive hours of 1571 is the basis for the annual productive hourly rate used in the County's claim.

In the audit report, the SCO relied upon the Mandated Cost Manual for Local Agencies with regard to the productive hourly rate computation. To support its argument that the County's rate was improper, the SCO cited the following text from the Manual:

A productive hourly rate may be computed for each job title whose labor is directly related to the claimed reimbursable cost. A local agency has the option of using any of the following:

- Actual annual productive hours for each job title,
- The local agency's average annual productive hours or, for simplicity,
- An annual average of 1,800 \* hours to compute the productive hourly rate.

\* \* \*

\* 1,800 annual productive hours include:

- Paid holidays
- Vacation earned
- Sick leave taken
- Informal time off
- Jury duty
- Military leave taken<sup>2</sup>

Relying on this section, the SCO argued that the County's figure of 1571

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<sup>2</sup> Section 2, General Claiming Instructions, Subsection 7. Direct Labor Costs, Subdivision A. Direct Labor - Determine a Productive Hourly Rate (revised version 9/01).

productive hours was incorrect and that a figure of 1800 hours should have been used. However, the SCO omitted relevant portions of the Manual which indicate that the productive hourly rate can be calculated in three different ways.

A full reading of the Manual indicates that using 1800 hours is not the only approved approach. The Manual clearly states that use of countywide average annual productive hours is also an approved method. The County calculated its average annual productive hours in full compliance with the Manual as issued. The County cannot and should not be penalized for availing itself of an approved, though not often used, option.

To date, the SCO has not been able to cite one reference as to why the County's approach is improper.

2. **The County's Computation Results in a More Accurate and Consistent Productive Hourly Rate.**

The County submits, on average, 25 to 30 claims annually. As these claims are prepared by up to 20 different staff members, the process could easily fall victim to inconsistency in approaches, accuracy and documentation with respect to calculating a productive hourly rate. Recognizing this threat and wanting to create a more reliable, county-wide system, the County embarked on the creation of a verifiable and accurate method of establishing a productive hourly rate through the computation of average productive hours. As a result, the County's methodology improves its SB90 program claiming accuracy, consistency, and documentation. It also facilitates the State audit process because the methodology for the County's annual productive hours calculation

is fully documented and supported.

In creating its average annual productive hours, the County carefully ensured that all non-productive time was removed from the total annual hours. In addition to those items suggested by the SCO above, the County removed time spent in training and on breaks. Such revision from the manner suggested by the SCO ensures greater accuracy. The more accurate the computational factors; the more accurate the result. Indeed, in response to the final audit report, the County made further adjustments solidifying the precision of its productive hours computation.

The SCO's main complaint seems to be that the County used authorized break times and required training times rather than actual times spent on these activities. This argument lacks merit.

State law requires that workers be given two fifteen minute break periods per day. Presumably, County employees take these breaks. The presumption that these breaks are taken is no different from the presumption that paid holidays, which are specifically set forth as properly included in the calculation by the SCO, are also taken. Instead of making this presumption, the SCO would have the County employ a clock-in, clock-out system for breaks to ensure that the break times do not actually add up to 28 or 32 minutes daily. Such an expenditure of time and costs is unwarranted in light of the statistically invalid difference that may be found between actual break time and the time required break time.

The same argument applies with even greater force to presumption that County employees will undertake the necessary training required for licensure or certification.

Such education is more likely to be pursued because of its impact on the employees' license or certification and, ultimately, their ability to be employed in their field of training.

The use of a countywide productive hourly rate is explicitly authorized by the State Controller's claiming instructions. The productive hourly rate used by the County for this claim is fully documented and was accurately calculated by the County Controller's Office. All supporting documents for the calculation of countywide productive hours were provided during the state audit.

Further, as shown in the letter of December 27, 2001 from the County Controller to the State Controller's Office, the State was notified years ago that the County was electing to change its state mandated claiming procedures relating to the calculation productive hourly rates. A true and correct copy of this letter is attached hereto as Exhibit I and is incorporated herein by reference. The County reported that the switch to a countywide methodology for the calculation of average productive hours per position would improve state mandate claiming accuracy, consistency, documentation and facilitate the State audit function. Consequently, more than 50 claims were submitted and accepted during 2002 and 2003 using this methodology. Furthermore, the State Controller has accepted the County's use of countywide productive hours for state mandated claims as evidenced by an e-mail from Jim Spano dated February 6, 2004, a true and correct copy of which is attached hereto as Exhibit J and is incorporated herein by reference.

**B. AUDIT FINDING NUMBER ONE REGARDING A LACK OF SUBSTANTIATING RECORDS IS INCORRECT.**

The audit report raised a number of other issues regarding documentation, time studies and the like. Each of the report's allegations will be addressed in turn.

**1. The Victim Telephone Contacts Time Was Fully Supported by a Valid Time Study.**

The audit report alleges that the time claimed of 5 hours per month, per officer for providing resources to victims via telephone was unsubstantiated. This allegation is erroneous.

The County provided a time study of this activity performed in June 2003 which demonstrated that this activity took an average of 15 minutes per case. A true and correct copy of this time study is attached hereto as Exhibit K and is incorporated herein by reference. Extrapolated over the number of cases, this time study average supported the claimed time amounts. As this activity has not changed appreciably over time, the June 2003 time study is a reliable indicator of the time spent in prior years on the same activity.

The SCO failed to recognize that the time study substantiated the County's claims and, consequently, wrongfully disallowed the entire amount claimed for this activity.

**2. The Time Claimed by the Investigative Unit was Proper.**

The audit report alleges that the time spent by the Investigative Unit officers in administrative activities was improperly claimed because, through interviews, the SCO became aware of the fact that the Investigative Unit does not perform such

activities. What the SCO failed to understand and account for is the fact that employees differ in how they characterize their activities. For example, one employee may characterize a task as an administrative activity, and another employee may characterize the same task as an on-site evaluation. The difficulty involved with the breaking down of a program into its component activities and classifying those into groups should be apparent to the SCO. All paperwork necessary to substantiate claims is open to human error through interpretation. In this instance, the understanding of the probation officers was that an on-site evaluation, a properly claimable component, was an administrative function. Regardless of how the activity may have characterized, the activity is reimbursable and, therefore, the County properly included it in its claim. The amount should not have been disallowed by the SCO.

**3. The Training Costs Were Properly Claimed and Supported.**

The audit report initially alleged that there was nothing to support the link between the training costs and the mandated activities. The County provided the SCO with attendance rosters and copies of the training outline. The SCO then revised its finding to allege that no nexus was established between the employees who attended meetings and their involvement in the Domestic Violence program. However, as explained in the declaration of Rita Loncarich, the County only included in its claim those training costs associated with unit members performing activities associated with the Domestic Violence Treatment program and other officers requiring such domestic violence training. A true and correct copy of the declaration of Ms. Loncarich is attached hereto as Exhibit L and is incorporated herein by reference.

**4. The Meeting Costs Were Properly Claimed and Supported.**

The audit report further alleges that no documentation was provided to support the attendance of two officers at meetings with other criminal justice agencies. This allegation is incorrect. The County submitted meeting records to support the attendance of the officers. A true and correct copy of these meetings records is attached hereto as Exhibit M and is incorporated herein by reference. Thus, the SCO wrongfully disallowed these costs.

**5. The Field Contact Costs Were Properly Claimed and Supported.**

The audit report also alleges that the costs for the probation officers to make field contact with victims was not supported by contact logs provided by the County. Although the logs did not account for all of the time claimed, the SCO and the County agreed that one hour per visit was reasonable and thus the County was able to support 131 hours in FY 1998-99, 343 hours in FY 1999-00, and 435 hours in FY 2000-01 as set forth in the declaration of Julie Tong. A true and correct copy of the declaration of Ms. Tong is attached hereto as Exhibit N and is incorporated herein by reference. The SCO failed to mention, let alone honor, this agreement in the final audit report.

The real issue lies in the time period from July 1998 to January 1999.

Unfortunately, the documents supporting the field contacts made during this time period have been purged. The balance of the fiscal year yielded 111 eligible cases out of 209 or 53% eligibility. Applying the 53% eligibility ratio to the 213 cases purged would be a fair resolution and would result in an additional 112 cases to be claimed during that fiscal year.

The SCO failed to recognize the logs for the February through June 1999 period, failed to adhere to its agreement as to claimable time and in so doing wrongfully disallowed the entire amount claimed for this activity.

6. **The Victim Telephone Contacts Time Was Fully Supported by a Valid Time study.**

The audit report alleged that the County provided no documentation to support the time spent on the telephone with victims. This is inaccurate. The County provided a time study conducted in June 2003 to substantiate the amount of time claimed for this activity. (See Exhibit K.) Therefore, the SCO wrongfully disallowed the entire amount claimed for this activity.

7. **The Assessment Costs Were Properly Supported by a Valid Time Study.**

The audit report alleged that the assessments of defendants in FY 1999-00 took less time than the County claimed. The County submitted two time studies in support of its claimed costs -- one performed in 1998-99 and another in 2000-01. The time necessary to perform reimbursable activities decreased from the first time study to the second. Based upon that decrease in time, the SCO rejected the application of the 1998-99 time study to FY 1999-00. The County subsequently used quarterly time logs to substantiate the time claimed, true and correct copies of which are attached hereto as Exhibit O and are incorporated herein by reference. The SCO failed to review the time logs in conjunction with claimed costs and allow those costs heretofore disallowed.

**CONCLUSION**

The County has adequately documented its productive hourly rate of 1571 to be a precise and reliable figure consistent with the State's claiming instructions. For the County to now be forced to utilize the standard 1800 hours results in the failure to fully reimburse the County for its cost of fulfilling a state mandate.

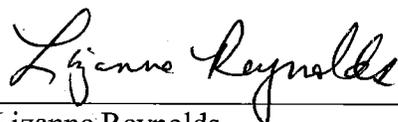
With respect to the SCO's other allegations, the County has provided sufficient documentation to support the claimed costs.

In light of the arguments presented above, the County requests that the Commission reverse the SCO's audit findings and award the County the correct claim amount of \$2,027,291.

Dated: Aug. 30, 2007

Respectfully submitted,

ANN MILLER RAVEL  
County Counsel



Lizanne Reynolds  
Deputy County Counsel

Attorneys for COUNTY OF SANTA  
CLARA

# EXHIBIT A

# SANTA CLARA COUNTY

Audit Report

## DOMESTIC VIOLENCE TREATMENT SERVICES PROGRAM

Chapter 183, Statutes of 1992

*July 1, 1998, through June 30, 2001*



**STEVE WESTLY**  
California State Controller

February 2004



**STEVE WESTLY**  
*California State Controller*

February 26, 2004

Mr. John V. Guthrie  
Director of Finance  
Santa Clara County  
70 West Hedding Street, 2<sup>nd</sup> Floor  
San Jose, CA 95110

Dear Mr. Guthrie:

The State Controller's Office (SCO) has completed an audit of the claims filed by Santa Clara County for costs of the legislatively mandated Domestic Violence Treatment Services Program (Chapter 183, Statutes of 1992) for the period of July 1, 1998, through June 30, 2001.

The county claimed and was paid \$2,027,291 for the mandated program. Claimed costs consist of \$2,028,033 in costs less a \$742 penalty for filing late. Our audit disclosed that \$1,278,616 is allowable and \$748,675 is unallowable. The unallowable costs occurred primarily because the county claimed costs that are unsupported and ineligible. The amount paid in excess of allowable costs claimed, totaling \$748,675, should be returned to the State.

The SCO has established an informal audit review process to resolve a dispute of facts. The auditee should submit, in writing, a request for a review and all information pertinent to the disputed issues within 60 days after receiving the final report. The request and supporting documentation should be submitted to: Richard J. Chivaro, Chief Counsel, State Controller's Office, Post Office Box 942850, Sacramento, CA 94250-0001.

If you have any questions, please contact Jim L. Spano, Chief, Compliance Audits Bureau, at (916) 323-5849.

Sincerely,

A handwritten signature in cursive script that reads "Vincent P. Brown".

VINCENT P. BROWN  
Chief Operating Officer

VPB:jj

cc: Dave Elledge  
Controller-Treasurer  
Santa Clara County

# Contents

## Audit Report

Summary .....	1
Background .....	1
Objective, Scope, and Methodology .....	1
Conclusion .....	2
Views of Responsible Officials.....	2
Restricted Use.....	3
Schedule 1—Summary of Program Costs.....	4
Findings and Recommendations .....	6
Attachment—County’s Response to Draft Audit Report	

# Audit Report

## Summary

The State Controller's Office (SCO) has completed an audit of the claims filed by Santa Clara County for costs of the legislatively mandated Domestic Violence Treatment Services Program (Chapter 183, Statutes of 1992) for the period of July 1, 1998, through June 30, 2001. The last day of fieldwork was May 15, 2003.

The county claimed and was paid \$2,027,291 for the mandated program. Claimed costs consist of \$2,028,033 in costs less a \$742 penalty for filing late. The audit disclosed that \$1,278,616 is allowable and \$748,675 is unallowable. The unallowable costs occurred primarily because the county claimed costs that were unsupported and ineligible. The amount paid in excess of allowable costs claimed, totaling \$748,675, should be returned to the State.

## Background

The State enacted Chapter 183, Statutes of 1992, requiring administration and regulation of batterer's treatment programs, providing services for victims of domestic violence, and assessing the future probability of the defendant committing murder. On November 30, 1998, the Commission on State Mandates determined that these activities are not directly related to the enforcement of the test claim statute under *Government Code* Section 17556 and, therefore, are reimbursable.

*Parameters and Guidelines*, adopted by the Commission on State Mandates, establishes the state mandate and defines criteria for reimbursement. In compliance with *Government Code* Section 17558, the SCO issues claiming instructions for each mandate requiring state reimbursement to assist cities, counties, or cities and counties in claiming reimbursable costs.

## Objective, Scope, and Methodology

The audit objective was to determine whether costs claimed are increased costs incurred as a result of the legislatively mandated Domestic Violence Treatment Services Program (Chapter 183, Statutes of 1992) for the period of July 1, 1998, through June 30, 2001.

The auditor performed the following procedures:

- Reviewed the costs claimed to determine if they were increased costs resulting from the mandated program;
- Traced the costs claimed to the supporting documentation to determine whether the costs were properly supported;
- Confirmed that the costs claimed were not funded by another source; and
- Reviewed the costs claimed to determine that the costs were not unreasonable and/or excessive.

The SCO conducted the audit in accordance with *Government Auditing Standards*, issued by the Comptroller General of the United States. The SCO did not audit the county's financial statements. The scope was limited to planning and performing audit procedures necessary to obtain reasonable assurance concerning the allowability of expenditures claimed for reimbursement. Accordingly, transactions were examined, on a test basis, to determine whether the amounts claimed for reimbursement were supported.

Review of the county's management controls was limited to gaining an understanding of the transaction flow and claim preparation process as necessary to develop appropriate auditing procedures.

## Conclusion

The audit disclosed instances of noncompliance with the requirements outlined above. These instances are described in the accompanying Summary of Program Costs (Schedule 1) and in the Findings and Recommendations section of this report.

For the audit period, Santa Clara County claimed and was paid \$2,027,291 for costs of the legislatively mandated Domestic Violence Treatment Services Program. The audit disclosed that \$1,278,616 is allowable and \$748,675 is unallowable.

For fiscal year (FY) 1998-99, the county was paid \$698,015 by the State. The audit disclosed that \$482,732 is allowable. The amount paid in excess of allowable costs claimed, totaling \$215,283, should be returned to the State.

For FY 1999-2000, the county was paid \$795,965 by the State. Claimed cost consists of \$796,707 in costs less a \$742 penalty for filing late. The audit disclosed that \$415,217 is allowable. The amount paid in excess of allowable costs claimed, totaling \$380,748, should be returned to the State.

For FY 2000-01, the county was paid \$533,311 by the State. The audit disclosed that \$380,667 is allowable. The amount paid in excess of allowable costs claimed, totaling \$152,644, should be returned to the State.

## Views of Responsible Officials

The SCO issued a draft audit report on October 8, 2003. Dave Elledge, Controller-Treasurer, responded by the attached letter dated December 12, 2003, agreeing with the audit results with the exception of Finding 1. The county's response is included in this final audit report.

**Restricted Use**

This report is solely for the information and use of Santa Clara County, the California Department of Finance, and the SCO; it is not intended to be and should not be used by anyone other than these specified parties. This restriction is not intended to limit distribution of this report, which is a matter of public record.



**JEFFREY V. BROWNFIELD**  
Chief, Division of Audits

**Schedule 1—  
Summary of Program Costs  
July 1, 1998, through June 30, 2001**

Cost Elements	Actual Costs Claimed	Allowable per Audit	Audit Adjustments	Reference <sup>1</sup>
<b>July 1, 1998, through June 30, 1999</b>				
Salaries	\$ 266,062	\$ 186,716	\$ (79,346)	Finding 1
Benefits	83,524	58,610	(24,914)	Finding 1
Subtotals	349,586	245,326	(104,260)	
Indirect costs	348,429	239,656	(108,773)	Findings 1, 2
Subtotals	698,015	484,982	(213,033)	
Less other reimbursements	—	(2,250)	(2,250)	Finding 3
Total claimed costs	698,015	482,732	(215,283)	
Less late filing penalty	—	—	—	
Total net claim	<u>\$ 698,015</u>	482,732	<u>\$ (215,283)</u>	
Less amount paid by the State		(698,015)		
Allowable costs claimed in excess of (less than) amount paid		<u>\$ (215,283)</u>		
<b>July 1, 1999, through June 30, 2000</b>				
Salaries	\$ 329,603	\$ 186,852	\$ (142,751)	Finding 1
Benefits	71,246	41,257	(29,989)	Finding 1
Subtotals	400,849	228,109	(172,740)	
Indirect costs	398,858	190,850	(208,008)	Findings 1, 2
Subtotals	799,707	418,959	(380,748)	
Less other reimbursements	(3,000)	(3,000)	—	
Total claimed costs	796,707	415,959	(380,748)	
Less late filing penalty	(742)	(742)	—	
Total net claim	<u>\$ 795,965</u>	415,217	<u>\$ (380,748)</u>	
Less amount paid by the State		(795,965)		
Allowable costs claimed in excess of (less than) amount paid		<u>\$ (380,748)</u>		
<b>July 1, 2000, through June 30, 2001</b>				
Salaries	\$ 225,786	\$ 161,649	\$ (64,137)	Finding 1
Benefits	50,155	35,902	(14,253)	Finding 1
Subtotals	275,941	197,551	(78,390)	
Indirect costs	260,120	185,866	(74,254)	Findings 1, 2
Subtotals	536,061	383,417	(152,644)	
Less other reimbursements	(2,750)	(2,750)	—	
Total claimed costs	533,311	380,667	(152,644)	
Less late filing penalty	—	—	—	
Total net claim	<u>\$ 533,311</u>	380,667	<u>\$ (152,644)</u>	
Less amount paid by the State		(533,311)		
Allowable costs claimed in excess of (less than) amount paid		<u>\$ (152,644)</u>		

## Schedule 1 (continued)

Cost Elements	Actual Costs Claimed	Allowable per Audit	Audit Adjustments	Reference <sup>1</sup>
<b>Summary: July 1, 1998, through June 30, 2001</b>				
Salaries	\$ 821,451	\$ 535,217	\$ (286,234)	Finding 1
Benefits	204,925	135,769	(69,156)	Finding 1
Subtotals	1,026,376	670,986	(355,390)	
Indirect costs	1,007,407	616,372	(391,035)	Findings 1, 2
Subtotals	2,033,783	1,287,358	(746,425)	
Less other reimbursements	(5,750)	(8,000)	(2,250)	Finding 3
Total claimed costs	2,028,033	1,279,358	(748,675)	
Less late filing penalty	(742)	(742)	—	
Total net claim	<u>\$ 2,027,291</u>	1,278,616	<u>\$ (748,675)</u>	
Less amount paid by the State		<u>(2,027,291)</u>		
Allowable costs claimed in excess of (less than) amount paid		<u>\$ (748,675)</u>		

<sup>1</sup> See the Findings and Recommendations section.

## Findings and Recommendations

### **FINDING 1— Unsupported salaries, benefits, and related indirect costs**

The county overclaimed salaries and benefits costs totaling \$355,390 for the period of July 1, 1998, through June 30, 2001. The claimed costs consist of three components: administration and regulation of batterer's treatment programs, victim notification, and assessment of future probability of defendant committing murder. The related indirect cost is \$349,690.

The county overstated its productive hourly rates for its probation officers. For FY 1998-99 and FY 1999-2000, the productive hours used to calculate the rate excluded hours that should have been considered productive (e.g., training, authorized breaks, staff meetings, and sick leave earned in excess of sick leave taken). For FY 2000-01, the county used countywide productive hours that significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate.

In addition, the county claimed certain costs that were unsupported or ineligible due to the following reasons.

1. For administration and regulation of batterer's treatment programs, the county claimed salaries and benefits totaling \$137,063 (\$39,402 for FY 1998-99, \$77,079 for FY 1999-2000, and \$20,582 for FY 2000-01) that were unsupported for the following reasons:
  - The county estimated five hours per month for each of the 10 officers for FY 1998-99 (600 hours) and 11 officers for FY 1999-2000 (660 hours) for providing resources over the telephone to victims. No documentation was provided to substantiate the activities performed and time spent on such activities.
  - The county claimed 26 hours for FY 1998-99 and 30 hours for FY 1999-2000 for its investigative unit to perform activities for the administration and regulation component. No documentation was provided to substantiate the activities performed and time spent on such activities. Furthermore, the SCO auditor's interviews of the investigative officers revealed this is not a function that this unit performs.
  - The county claimed 536 hours for FY 1999-2000 and 224 hours for FY 2000-01 for staff training. The county provided course rosters and sign-in sheets to substantiate 232 hours claimed in FY 1999-2000 and 224 hours claimed in FY 2000-01 for training by the Probation Department's Certification Unit. However, Probation Department personnel stated that individuals attending the training did not perform activities relating to the administration and regulation of the batterer's treatment program.

- The county claimed 102 hours for FY 1999-2000 and 66 hours for FY 2000-01 for meeting and conferring with criminal justice agencies. County personnel stated that a different unit within the Probation Department claimed the additional hours and provided a memorandum that was written by the department's supervisor, which included the number of hours and stated that department staff were at meetings. However, this documentation did not identify who attended such meetings. The county did not provide any documentation to substantiate that employees actually attended the meetings in question.
2. For victim notification, the county claimed salaries and benefits totaling \$143,277 (\$52,285 for FY 1998-99, \$36,227 for FY 1999-2000, and \$54,765 for FY 2000-01) that were unsupported or ineligible for the following reasons:
- For FY 1998-99 and FY 1999-2000, the documentation provided by the county did not support the total number of letters sent to notify victims regarding the requirement for the defendant's participation in a batterer's program, to notify victims regarding available victim resources, and to inform victims that attendance in any program does not guarantee that an abuser will not be violent.
  - For the entire audit period, the county was unable to support all of the hours it claimed for the officers to make field contact with the victims. The county submitted field contact logs to support these hours; however, the total hours claimed did not reconcile to the hours on the field contact logs.
  - For the entire audit period, the county claimed time spent on preparation of letters sent to victims for notification of (1) violation of probation and (2) scheduled hearings and or status changes in cases. These activities are not reimbursable under the mandate. (The county duplicated the number of letters sent to victims advising them of scheduled hearings.)
  - For FY 2000-01, the county claimed estimated hours spent talking with victims on the telephone. No documentation was provided to substantiate the activities performed or the time spent on such activities.
3. For assessment of future probability of defendant committing murder, the county claimed salaries and benefits totaling \$75,050 (\$12,573 for FY 1998-99, \$59,434 for FY 1999-2000, and \$3,043 for FY 2000-01) that were unsupported because the county used a FY 1998-99 time study to support time spent performing the mandate activity during FY 1999-2000. The county did not perform a time study during FY 1999-2000; however, it did perform a time study for FY 2000-01. The time study results showed that the amount of time spent on this activity had consistently declined from one time study to the next. The county stated that such reduction was

due to the learning curve and efficiency of probation officers performing the mandate-related activities. The SCO analysis revealed that the average of the FY 1998-99 and FY 2000-01 time study results should more closely approximate actual costs for FY 1999-2000 rather than FY 1998-99 time study results claimed by the county.

For the unallowable costs due to lack of documentation, the county stated that it may perform a current time study and apply its results to the audit period. If a time study is performed, the county still must support that the activities were performed and that the time study results reflect actual time spent during the audit period.

A summary of the audit adjustments to the salaries, benefits, and related indirect costs is as follows:

	Fiscal Year			Total
	1998-99	1999-2000	2000-01	
Salaries	\$ (79,346)	\$(142,751)	\$ (64,137)	\$(286,234)
Benefits	(24,914)	(29,989)	(14,253)	(69,156)
Total salaries and benefits	(104,260)	(172,740)	(78,390)	(355,390)
Indirect costs	(103,916)	(171,876)	(73,898)	(349,690)
Total unsupported costs	<u>\$(208,176)</u>	<u>\$(344,616)</u>	<u>\$(152,288)</u>	<u>\$(705,080)</u>

*Parameters and Guidelines*, Section IV, Reimbursable Activities, B. Victim Notification (*Penal Code* Section 1203.097(b)(4)), states:

1. The probation department shall attempt to: a. Notify victims regarding the requirement for the defendant's participation in a batterer's program. b. Notify victims regarding available victim resources. c. Inform victims that attendance in any program does not guarantee that an abuser will not be violent.

Informing a victim of future hearings, the defendant's violation of probation, and status changes to the case are not listed as reimbursable components in the *Parameters and Guidelines*.

*Parameters and Guidelines*, Section V, Claims Preparation, A-1 Direct Costs-Salaries and Benefits, states:

... Claimed costs shall be supported by the following cost element information: Identify the employee(s), and or show the classification of the employee(s) involved. Describe the reimbursable activities performed and specify the actual time devoted to each reimbursable activity by each employee, productive hourly rate and fringe benefits. ...

*Parameters and Guidelines*, Section V, Claims Preparation, A-6 Direct Costs-Training, states:

... Claimed costs shall be supported by the following cost element information: The cost for training an employee to perform the mandated activities is eligible for reimbursement. Identify the employee(s) by

name and job classification. Provide the title and subject of the training session, the dates attended and the location. . . .

*Parameters and Guidelines*, Section III, Period of Reimbursement, states in part, “. . . Actual costs for one fiscal year shall be included in each claim.”

*Mandated Cost Manual for Local Agencies*, Section 1, General Claiming Instructions, subsection 7, Direct Costs A. Direct Labor – Determine a Productive Hourly Rate, states:

A productive hourly rate may be computed for each job title whose labor is directly related to the claimed reimbursable cost. A local agency has the option of using any of the following: Actual annual productive hours for each job title, . . . An annual average of 1800 hours to compute the productive hourly rate. . . . If actual annual productive hours are chosen, show the factors affecting total hours worked. . . .

This section also states that 1800 productive hours is computed after deducting paid holidays, vacation earned, sick leave taken, informal time off, jury duty, and military leave taken. The same would be applicable for the computation of actual annual productive hours for each job title.

#### Recommendation

The county should develop and implement an adequate recording and reporting system to ensure that all claimed costs are properly supported and reimbursable for the mandate in question.

#### County's Response

The county primarily disagreed with the finding. The following text highlights the county's responses. The Attachment contains the county's complete response.

#### **Productive Hourly Rate**

The State Controller's draft audit report . . . asserts that the County overstated the productive hourly rates used in these claims. For FY 1998-99 and FY 1999-2000, the Probation Department calculated its own departmental productive hourly rates for the claims. The State contends that training; authorized breaks, staff meetings and sick leave earned in excess of sick leave used should have been excluded from the Department's calculations. We disagree with the views of the State audit. According to our study and examination of the State Controller claiming instructions, the time spent on training, authorized breaks, and staff meetings, all of which are paid but non-productive time, should be removed for the calculation of productive hours as explained to the State Controller audit staff in several meetings. However, we agree that the Department should not have used sick leave earned in its computation and provided the State auditors with the actual sick leave used numbers when they brought this error to our attention.

For the FY 2000-01 SB 90 claim, the Probation Department utilized the County-wide average annual productive hours per position as authorized in Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts. The State Controller's draft audit report states that this calculation of productive hours significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate. We disagree with this conclusion. We believe that the use of a countywide productive hourly rate is explicitly authorized by the State Controller's SB 90 claiming instructions and that the productive hours used by the Probation Department in this claim are fully documented and were accurately calculated by the County Controller's Office.

Further, as shown in the attached letter of December 27, 2001 from the County of Santa Clara Controller to the State Controller's Office, the State was noticed two years ago that the County was electing to change its SB 90 claiming procedures as related to the calculation of productive hourly rates. The County reported that the switch to a countywide methodology for the calculation of average productive hours per position would improve SB 90 claiming accuracy, consistency, documentation and facilitate the State audit function. Consequently, more than 50 claims have been submitted and accepted during the past two years using the countywide methodology. During the audit of the Domestic Violence Treatment Services Program claim, State auditors objected to the deduction of break-time from the calculation of average productive hours per position, but were unable to provide any written state procedures, regulations or other legal authority to support their position, which also contradicts Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts.

Finding 1 sub-Para 1.1 . . .

Our discussion with SCO audit staff at the exit conference reflected the need for the County to conduct a time study in June 2003 to validate the activities performed and hours claimed on victim telephone contacts. The activities and processes for this function have not significantly changed for the past six years. The result of the June victim contact study shows that, of the Deputy Probation Officers that participated, the average time spent on victim contact was 15 minutes per case. . . .

Finding 1 sub-Para 1.2 . . .

The Department concurs that the administration and regulation component is not a function that the Investigation officer performs. The claim however, reflected the investigation officer's understanding of the function that was performed at that time. The officer considered "assisting" the Probation Officer in the Program Certification unit performing on-site evaluations and in bilingual translation as an Administrative function. As stipulated in the claiming instructions, "On-site evaluations" as part of the processing of initial and annual renewal approvals of vendors are reimbursable activities. Therefore, with that assumption, the officer recorded the time on the administration and regulation component to reflect that day's activity.

Finding 1 sub-Para 1.3 . . .

The Department provided the State Controller audit staff with copies of Standard Training Code attendance roster on April 7, 2003. Copies of the description of training outline and Domestic Violence related topics that were dated within the audit period were also faxed to the audit staff on June 17, 2003. State Controller audit staff did not respond as to whether documents forwarded were acceptable or meets audit criteria. The documents presented clearly shows the attendees, the topic of the training and the trainer's name. We consider that these documents adequately support our claim.

Finding 1 sub-Para 1.4 . . .

It was very common practice for the Certification Unit Deputy Probation Officer and the Domestic Violence unit Supervising Probation Officer and/or Deputy Probation Officer to attend the same meetings with other criminal justice agencies. Their functions are different enough that each Probation officer gets different benefits and knowledge from having the two officers attend the meetings. The department submitted meeting records attended by the Deputy Probation Officers that was dated within the audit period on June 17, 2003 to State Controller audit staff. We did not receive a response pertaining to our forwarded documentation. . . .

Para 2 sub-Para 2.1 . . .

The County concurs with the finding.

Para sub-Para 2.2 . . .

This item was presented by fiscal year in a report given to State Controller audit staff at the earlier exit conference. The County agreed to the Controller's findings in that report which allowed for reimbursement of 131 hours out of 422 hours in FY 98/99, 343 out of 408 in FY 99/00, and 435 out of 487 in FY 00/01. In summary, the State Controller agreed that 882 hours out of 1,317 were allowable. We are surprised that this has not been recorded in the draft report and the audit seem to disallow all costs.

The only period that was really in question was July 98 – January 99 which was the first year of the claim. The documents are no longer available because the Probation's Domestic Violence staff had already purged them. However, SCO audit staff was able to audit the remaining 209 cases from the time period February 99 – June 99 and found 111 eligible cases, which is 53%. The following years findings were 343 out of 408 in FY 99/00 an 84% ratio, and 435 out of 487 in FY 00/01, which is 89% allowable. Although the State Controller audit staff have already verbally agreed to allow 20 cases on the periods in question (7/98 – 01/99), we recommend that State Controller instead consider using the 53% ratio on the 213 cases that were purged and allow 112 cases to be claimed.

Para 2 sub-Para 2.3 . . .

We concur that this is not a reimbursable activity.

Para 2 sub-Para 2.4 . . .

During discussions with the State Controller audit staff, the County agreed to do a time study that could be retroactively applied to the time spent talking with victims to document and substantiate these costs. Again the process has not changed significantly for the past several years. The department used the same time log in June 2003 to validate the activities performed and hours claimed on victim telephone contacts for FY2000-01.

Methodology used

The department used a matrix showing dates, case numbers, and method of contact - phone or office visit and time spent on each case to arrive at this result. The length of time spent was then summed and divided by the number of cases for the month per officer. The total time spent on victim contact in June was then again summed up and divided by the number of officers that participated.

The average of 15 minutes per case was used to obtain the claimable hours below. . . .

Para No. 3 . . .

We believe that State Controller audit staff are being reasonable in the application of how to use the time studies that were performed. The Probation department has subsequently instituted a quarterly time log to comply with this finding. Based on the current time study data, our claimed costs should be reviewed and allowed.

SCO's Comment

The fiscal impact of the findings reported in the draft report remains unchanged. The SCO comments are discussed in the same order presented by the county in its response.

Productive Hourly Rate

The countywide productive hours include unallowable deductions for time spent on training and authorized breaks. The county deducted training time based on hours required by the employees' bargaining unit agreement and for continuing education requirements for licensure/certification rather than actual training hours attended. In addition, the county deducted authorized break time rather than actual break time taken. The county did not adjust for training time and break time directly charged to program activities during the audit period; therefore, the county cannot exclude those hours from productive hours.

The SCO's claiming instructions, which include guidelines for preparing mandated cost claims, do not identify the time spent on training, authorized breaks, and staff meetings as deductions (excludable components) from total hours when computing productive hours. However, if a county chooses to deduct time for training, authorized breaks, and staff meetings in calculating countywide productive hours, its accounting system must separately identify the actual time associated

with these three components. The accounting system must also separately identify training time directly charged to program activities.

The county may use countywide productive hours provided that all employee classifications are included and the productive hours are consistently used for all county programs. For FY 2000-01, the countywide productive hours were not consistently applied to all mandates.

Contrary to the statement in the county's December 27, 2001, letter to the SCO, Mr. Spano did not state that the use of a countywide productive hourly rate will result in a more efficient, less costly, and more accurate approach. In fact, the use of a countywide productive hourly rate is unacceptable because of the employees' different pay rates. Consequently, a countywide productive hourly rate would not accurately reflect actual costs incurred for a specific mandate.

*Finding 1, subparagraph 1.1:* The county did not maintain records to substantiate that the specific activity relating to victim telephone contacts was performed. Consequently, it would be inappropriate to project the time study results to the audit period.

*Subparagraph 1.2:* Interviews with ten Investigative Officers from the Probation Department revealed that this activity was not performed by Investigative Officers.

*Subparagraph 1.3:* The county did not support that individuals taking the training had any responsibilities for the reimbursable activities. This finding has been updated to clarify the documentation provided by the county.

*Subparagraph 1.4:* The county provided the SCO audit staff with a memorandum written by the Probation Department's supervisor, which included the number of hours and stated that department staff was at meetings. No further support was provided.

*Subparagraph 2.1:* The county concurs with this issue.

*Subparagraph 2.2:* The audit finding identified only 435 of the 1,317 hours as being unallowable. The allowable costs in Schedule 1 include salaries, benefits, and related indirect costs for the 882 hours (1317 claimed less 435 unallowed). The county asserts that since the SCO audit staff was able to validate 53% of the cases for the period of February through June 1999, the test results should be applied to the 213 cases claimed for the period of July 1998 through January 1999. However, the county did not provide documentation to substantiate that the activity took place from July 1998 through January 1999.

*Subparagraph 2.3:* The county concurs with this issue.

*Subparagraph 2.4:* The county did not maintain records to substantiate that the specific activity relating to telephonically interacting with the victims was performed. Consequently, it would be inappropriate to project the time study results to the audit period.

*Subparagraph 3:* The county concurs with this finding based on information the county provided to the SCO. The SCO will review any additional documentation from the county that may support actual costs incurred.

**FINDING 2—  
Overstated indirect costs**

The county claimed indirect costs using overstated indirect cost rates. The county revised its countywide cost allocation plan but did not apply the revised amounts used when computing the indirect cost rate, resulting in an overstated indirect costs rate. The auditor recomputed the indirect costs by multiplying the allowable salaries and benefits costs to the revised indirect costs rates.

A summary of the adjustment to indirect costs is as follows:

	Fiscal Year			Total
	1998-99	1999-2000	2000-01	
Indirect costs	\$ (4,857)	\$ (36,132)	\$ (356)	\$ (41,345)

*Parameters and Guidelines*, Section III, Period of Reimbursement, states in part, "... Actual costs for one fiscal year shall be included in each claim."

*Parameters and Guidelines*, Section V, Claim Preparation, Supporting Documentation, B. Indirect Costs, states, "Indirect costs are defined as costs incurred for a common or joint purpose, benefiting more than one program and are not directly assignable to a particular department or program. ..."

*Parameters and Guidelines*, Section VI, states, "For audit purpose, all costs shall be traceable to source documents ... that shows evidence of the validity of such costs and their relationship to the state mandated program. ..."

Recommendation

The county should develop and implement an adequate recording and reporting system to ensure that all claimed costs are properly supported.

County's Response

This was an oversight by the department and we concur with the finding.

SCO's Comment

The county concurs. The finding remains unchanged.

**FINDING 3—  
Unreported  
reimbursements**

For FY 1998-99, the county did not reduce claimed costs by \$2,250 received for processing of initial and annual renewal approvals for vendors, which includes application review and on-site evaluations.

*Parameters and Guidelines, Section VIII, states:*

Any offsetting savings the claimant experiences as a direct result of the subject mandates must be deducted from the costs claimed. In addition, reimbursement for this mandate received from any source, including but not limited to, service fees collected under penal code section 1203.097, subdivision c (5) (B), federal funds and other state funds shall be identified and deducted from this claim.

Recommendation

The county should develop and implement an adequate recording and reporting system to ensure that all applicable revenues are offset on its claims against its mandated program costs.

County's Response

This was an error and we concur.

SCO's Comment

The county concurs. The finding remains unchanged.

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**Attachment—  
County's Response to  
Draft Audit Report**

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### County of Santa Clara

Finance Agency  
Controller-Treasurer Department  
County Government Center  
70 West Hedding Street, East Wing, 2nd Floor  
San Jose, California 95110-1705  
(408) 299-5200 FAX (408) 280-8020



DATE: December 12, 2003

TO: Jim L. Spano  
Chief, Compliance Audits Bureau,  
State Controller's Office, Division of audits,  
Post Office Box 942850,  
Sacramento, CA 94250-5874

FROM: David G. Elledge  
Controller-Treasurer

SUBJECT: SB90 Claim Ch 183/92- Domestic Violence Treatment Services  
Draft Audit report.

Thank you for allowing us an extended time for submission of our reply.

Enclosed are our responses to the audit findings of the draft report on the Domestic Violence Treatment Services claim. We request that you reconsider the areas of disagreement and either send us another draft report or call us to schedule a final exit conference.

The most important issue to be resolved is the usage of countywide productive hours. We had reported this matter to the State Controller in December 2001 for your perusal and acceptance. Subsequently, more than 50 claims have been submitted and accepted during the past two years using the countywide methodology. We believe that our approach is in accordance with State Controller written guidelines and regulations and improves SB90 claiming accuracy, consistency, and documentation.

In case you need any clarifications, please call Ram Venkatesan at 408-299-5210. Please let us know how you would like to proceed.

# County of Santa Clara

Finance Agency  
Controller-Treasurer Department  
County Government Center  
70 West Hedding Street, East Wing, 3rd Floor  
San Jose, California 95110-1703  
(408) 209-5300 FAX (408) 289-8629



Mr. Walter Barnes  
Chief Deputy State Controller, Finance  
California State Controller  
P.O. Box 942850  
Sacramento, CA 94250-5874

Date: December 12, 2003

RE: Domestic Violence Treatment Services Program Audit Report

### Summary

Thank you for the audit report on the SB90 State Mandated Costs claim of the Domestic Violence Treatment Program. The report was very helpful enabling us to review our claiming process and costs recovery procedures. Below are our responses to each finding. It includes both those areas in which we agree with your office as well as those with which we still disagree. In respect of the areas of disagreement to findings contained in the report, we request your reconsideration of the disputed audit findings in light of these replies.

### FINDING 1- unsupported salaries, benefits, and related indirect costs

*The county over claimed salaries and benefits costs totaling \$ 355,390 for the period of July 1, 1998, through June 30, 2001. The claimed costs consist of three components; administration and regulation of batterer's treatment programs, victim notification, and assessment of future probability of defendant committing murder. The related indirect cost is \$349,690.*

*The county overstated its productive hourly rates for its probation officers. For FY 1998-99 and FY 1999-2000, the productive hours used to calculate the rate excluded hours that should have been considered productive (e.g., training, authorized breaks, staff meetings, and sick leave earned in excess of sick leave taken). For FY 2000-01, the county used countywide productive hours that significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate.*



**Response to Calculation of Productive Hourly Rates:**

The State Controller's draft audit report pertaining to the County's SB 90 Domestic Violence Treatment Services Program claims for FY 1998-99, FY 1999-2000 and FY 2000-01 asserts that the County overstated the productive hourly rates used in these claims. For FY 1998-99 and FY 1999-2000, the Probation Department calculated its own departmental productive hourly rates for the claims. The State contends that training; authorized breaks, staff meetings and sick leave earned in excess of sick leave used should have been excluded from the Department's calculations. We disagree with the views of the State audit. According to our study and examination of the State Controller claiming instructions, the time spent on training, authorized breaks, and staff meetings, all of which are paid but non-productive time, should be removed for the calculation of productive hours as explained to the State Controller audit staff in several meetings. However, we agree that the Department should not have used sick leave earned in its computation and provided the State auditors with the actual sick leave used numbers when they brought this error to our attention.

For the FY 2000-01 SB 90 claim, the Probation Department utilized the County-wide average annual productive hours per position as authorized in Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts. The State Controller's draft audit report states that this calculation of productive hours significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate. We disagree with this conclusion. We believe that the use of a countywide productive hourly rate is explicitly authorized by the State Controller's SB 90 claiming instructions and that the productive hours used by the Probation Department in this claim are fully documented and were accurately calculated by the County Controller's Office.

Further, as shown in the attached letter of December 27, 2001 from the County of Santa Clara Controller to the State Controller's Office, the State was noticed two years ago that the County was electing to change its SB 90 claiming procedures as related to the calculation of productive hourly rates. The County reported that the switch to a countywide methodology for the calculation of average productive hours per position would improve SB 90 claiming accuracy, consistency, documentation and facilitate the State audit function. Consequently, more than 50 claims have been submitted and accepted during the past two years using the countywide methodology. During the audit of the Domestic Violence Treatment Services Program claim, State auditors objected to the deduction of break-time from the calculation of average productive hours per position, but were unable to provide any written state procedures, regulations or other legal authority to support their position, which also contradicts Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts.

***Finding 1 sub-Para 1***

*For administration and regulation of batterer's treatment programs the county claimed salaries and benefits totaling \$137,063 (\$39,402 for FY 1998-99, \$77,079 for FY 1999-2000, and \$20,582 for FY 2000-01) that were unsupported for the following reasons:*

*Finding 1 sub-Para 1.1*

*For FY 1998-99 and FY 1999-2000, the county estimated five hours per month for each of the 11 officers for providing resources over the telephone to victims. No documentation was provided to substantiate the activities performed and time spent on such activities*

## Response

Our discussion with SCO audit staff at the exit conference reflected the need for the County to conduct a time study in June 2003 to validate the activities performed and hours claimed on victim telephone contacts. The activities and processes for this function have not significantly changed for the past six years. The result of the June victim contact study shows that, of the Deputy Probation Officers that participated, the average time spent on victim contact was 15 minutes per case.

## Scope and Methodology used for the time study

The department used a matrix showing dates, case numbers, and method of contact - phone or office visit and time spent on each case to arrive at this result. The length of time spent was then summed and divided by the number of cases for the month per officer. The total time spent on victim contact in June was then again summed up and divided by the number of officers that participated.

Below are the recalculated claimable hours.

TEL TIME LOG	FY98-99	FY99-00	FY00-01	TOTAL
TOTAL CASES	2446	2283	2008	6735
15 MINUTES PER DPO PER CASE	611.5	570.8	501.5	1,683.8
HOURS CLAIMED	600	600	660	1860
DIFFERENCE	(11.5)	29.25	158.5	176.25

*Finding 1 sub-Para 1.2*

*For FY 1998-99 and FY 1999-2000, the county claimed hours for its investigative unit to perform activities for the administration and regulation component. SCO auditor interviews of the investigative officers revealed this is not a function that this unit performs.*

The Department concurs that the administration and regulation component is not a function that the Investigation officer performs. The claim however, reflected the investigation officer's understanding of the function that was performed at that time. The officer considered "assisting" the Probation Officer in the Program Certification unit performing on-site evaluations and in bilingual translation as an Administrative function. As stipulated in the claiming instructions, "On-site evaluations" as part of the processing

of initial and annual renewal approvals of vendors are reimbursable activities. Therefore, with that assumption, the officer recorded the time on the administration and regulation component to reflect that day's activity.

Finding 1 sub-Para 1.3

*For FY 1999-2000 and 2000-01, the county claimed hours for staff training. The county provided the agenda for the training. However, the agenda provided did not contain documentation to support that the training related to the mandate.*

Response:

The Department provided the State Controller audit staff with copies of Standard Training Code attendance roster on April 7, 2003. Copies of the description of training outline and Domestic Violence related topics that were dated within the audit period were also faxed to the audit staff on June 17, 2003. State Controller audit staff did not respond as to whether documents forwarded were acceptable or meets audit criteria. The documents presented clearly shows the attendees, the topic of the training and the trainer's name. We consider that these documents adequately support our claim.

Finding 1 sub-Para 1.4

*For FY 1999-2000 and 2000-01, the county claimed hours for meeting and conferring with criminal justice agencies. County personnel stated that a different unit within the Probation Department claimed the additional hours and provided a memorandum that was written by the department's supervisor, which included the number of hours and stated that department staff was at meetings. However, this documentation did not identify who attended such meetings. The county did not provide any documentation to substantiate those employees actually attended the meetings in question.*

Response:

It was very common practice for the Certification Unit Deputy Probation Officer and the Domestic Violence unit Supervising Probation Officer and/or Deputy Probation Officer to attend the same meetings with other criminal justice agencies. Their functions are different enough that each Probation officer gets different benefits and knowledge from having the two officers attend the meetings. The department submitted meeting records attended by the Deputy Probation Officers that was dated within the audit period on June 17, 2003 to State Controller audit staff. We did not receive a response pertaining to our forwarded documentation.

Para 2

*For victim notification, the county claimed salaries and benefits totaling \$143,277 (\$52,285 for FY 1998-99, \$36,227 for FY 1999-2000, and \$54,765 for FY 2000-01) that were unsupported or ineligible for the following reasons:*

Para 2 sub-Para 2.1

*For FY 1998-99 and 1999-2000, the documentation provided by the county did not support the total number of letters sent to notify victims regarding the requirement for the defendant's participation in a batterer's program, to notify victims regarding available victim resources, and to inform victims that attendance in any program does not guarantee that an abuser will not be violent.*

## Response

The County concurs with the finding.

Para 2 sub-Para 2.2

*For the entire audit period, the county was unable to support all of the hours it claimed for the officers to make field contact with the victims. The county submitted field contact logs to support these hours; however, the total hours claimed did not reconcile to the hours on the field contact logs.*

## Response:

This item was presented by fiscal year in a report given to State Controller audit staff at the earlier exit conference. The County agreed to the Controller's findings in that report which allowed for reimbursement of 131 hours out of 422 hours in FY 98/99, 343 out of 408 in FY 99/00, and 435 out of 487 in FY 00/01. In summary, the State Controller agreed that 882 hours out of 1,317 were allowable. We are surprised that this has not been recorded in the draft report and the audit seems to disallow all costs.

The only period that was really in question was July 98 – January 99 which was the first year of the claim. The documents are no longer available because the Probation's Domestic Violence staff had already purged them. However, SCO audit staff was able to audit the remaining 209 cases from the time period February 99 – June 99 and found 111 eligible cases, which is 53%. The following years findings were 343 out of 408 in FY 99/00 an 84% ratio, and 435 out of 487 in FY 00/01, which is 89% allowable. Although the State Controller audit staff have already verbally agreed to allow 20 cases on the periods in question (7/98 – 01/99), we recommend that State Controller instead consider using the 53% ratio on the 213 cases that were purged and allow 112 cases to be claimed.

Para2 sub-Para 2.3

*For the entire audit period, the county claimed time spent on preparation of letters sent to victims for notification of (1) violation of probation and (2) scheduled hearings and or status changes in cases. These activities are not reimbursable under the mandate. (The county duplicated the number of letters sent to victims advising them of scheduled hearings.)*

Response:

We concur that this is not a reimbursable activity.

Para 2 sub-Para 2.4

*For FY 2000-01, the county claimed estimated hours spent talking with victims on the telephone. No documentation was provided to substantiate the activities performed or the time spent on such activities.*

Response:

During discussions with the State Controller audit staff, the County agreed to do a time study that could be retroactively applied to the time spent talking with victims to document and substantiate these costs. Again the process has not changed significantly for the past several years. The department used the same time log in June 2003 to validate the activities performed and hours claimed on victim telephone contacts for FY2000-01.

Methodology used

The department used a matrix showing dates, case numbers, and method of contact - phone or office visit and time spent on each case to arrive at this result. The length of time spent was then summed and divided by the number of cases for the month per officer. The total time spent on victim contact in June was then again summed up and divided by the number of officers that participated.

The average of 15 minutes per case was used to obtain the claimable hours below.

TEL TIME LOG	FY98-99	FY99-00	FY00-01	TOTAL
TOTAL CASES	2446	2283	2006	6735
15 MINUTES PER DPO PER CASE	611.5	570.8	501.5	1,683.8
HOURS CLAIMED	800	600	660	1860
DIFFERENCE	(11.5)	29.25	168.5	176.25

Para No. 3

*For assessment of future probability of defendant committing murder, the county claimed salaries and benefits totaling \$75,050 (\$12,575 for FY 1998-99, \$59, 434 for FY 1999-2000, and \$3,043 for FY 2000-01 that were unsupported for the following reasons:*

*The county used a FY 1998-99 time study to support time spent performing the mandate activity during FY 1999-2000. The county did not perform a time study during FY 1999-2000; however, it did perform a time study for FY 2000-01. The time study results showed that the amount of time spent on this activity had consistently declined from one time study to the next. The county stated that such reduction was due to the learning*

*curve and efficiency of probation officers performing the mandate-related activities. The SCO analysis revealed that the average of the FY 1998-99 and FY 2000-01 time study results should more closely approximate actual costs for FY 1999-2000 rather than FY 1998-99 time study results claimed by the county.*

*For the unallowable costs due to lack of documentation, the county stated that it may perform a current time study and apply its results to the audit period. If a time study is performed, the county still must support that the activities were performed and that the time study results reflect actual time spent during the audit period*

Response:

We believe that State Controller audit staff are being reasonable in the application of how to use the time studies that were performed. The Probation department has subsequently instituted a quarterly time log to comply with this finding. Based on the current time study data, our claimed costs should be reviewed and allowed.

**FINDING 2- Overstated Indirect costs**

*The county claimed indirect costs using overstated indirect cost rates. The county revised its countywide cost allocation plan but did not apply the revised amounts used when computing the indirect cost rate, resulting in an overstated indirect costs rate. The auditor recomputed the indirect costs by multiplying the allowable salaries and benefits costs to the revised indirect costs rates.*

Response: This was an oversight by the department and we concur with the finding.

**FINDING 3 – Unreported reimbursements**

*For FY 1998-99, the county did not reduce claimed costs by \$2,250 received for processing of initial and annual renewal approvals for vendors, which includes application review and on-site evaluations.*

Response: This was an error and we concur.

## County of Santa Clara

Finance Agency  
Controller-Treasurer Department  
County Government Center, East Wing  
70 West Hedding Street  
San Jose, California 95110-1705  
PHONE 209-3541 FAX 389-8630



December 27, 2001

The State Controller's Office  
Attn: Local Reimbursements Section  
Division of Accounting and Reporting  
P. O. Box 942850  
Sacramento, CA 94250

Subject: Countywide Productive Hourly Rate for SB90 Claims

The Santa Clara County has decided to use the countywide effectively hourly rate in calculating the direct labor costs for its future SB90 claims. The methodology used by the County in determining the countywide effective hourly rate is consistent with the guidelines issued by the State Controller's Office in the 'SB90-Mandated Cost Manual for the Counties'. Developing a countywide effective hourly rate will standardize the County's approach, minimize duplication of effort presently expended making these calculations, and improve the accuracy and documentation related to the calculation of the productive hour rates.

The State Manual suggests the following three methods for determining the productive hours and gives the counties an option to use any of these methods:

- a. Actual annual productive hours for each job title;
- b. Countywide average annual productive hours; or
- c. The standard annual 1800 hours. The State Controller included the following items in determining the standard 1800 hours:
  - Paid holidays
  - Vacation earned
  - Sick leave taken
  - Informal time off
  - Jury Duty
  - Military leave taken

Prior to developing the productive hourly rate calculations, our Management Auditor (Roger Mialoco) contacted the State Controller's Bureau Chief for Compliance Audits (Jim Spano) to see if there were any objections to the countywide productive hourly rate usage. Mr. Spano concurred that the countywide hourly rate will result in a more efficient, less costly and more accurate approach.

Board of Supervisors: Donald F. Gage, Blanca Alvarado, Pete McHugh, James T. Beall Jr., Liz Kniss  
County Executive: Richard Wittenberg

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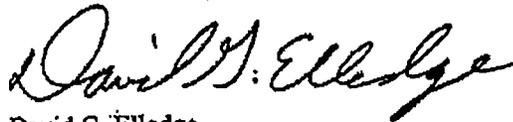
SB90-Productive Hours  
December 27, 2001  
Page 2 of 2

We have decided to use the countywide effective hours, and have enclosed for your review, analysis of actual hours for all county employees and the calculation of the countywide productive hours for the fiscal years 2000 and 2001. For this, we have used the information on actual hours expended during the fiscal year with data extracted from the county's computerized payroll (People Soft) system. We will amend the SB90 claims for fiscal year 2000, and will prepare all future SB90 claims using this methodology.

Please review the enclosed schedules and provide us with your immediate response. Complete supporting working papers are available at our office and will be made available upon your request. We will submit the details with each claim submitted.

If you need more information, please contact the County's SB90 Coordinator, Mr. Ram Venkatesan, at (408) 299-5214 or by email [ramajiah.venkatesan@fin.co.scl.ca.us](mailto:ramajiah.venkatesan@fin.co.scl.ca.us)

Sincerely,



David G. Elledge  
Controller-Treasurer

Encl:

K:\Work\SB-90\SB 90- Productive Hours- Letter to State Controller.doc

# EXHIBIT B

BEFORE THE  
COMMISSION ON STATE MANDATES  
STATE OF CALIFORNIA

IN RE TEST CLAIM ON:

Penal Code Section 273.5, Subdivisions (e), (f), (g), (h) and (i); Penal Code Sections 1000.93, 1000.94 and 1000.95; and Penal Code section 1203.097 as added, amended or repealed by Chapter 183, Statutes of 1992; Chapter 184, Statutes of 1992; Chapter 28X, Statutes of 1994; and Chapter 641, Statutes of 1995

And filed on November 13, 1996

By the County of Los Angeles, Claimant.

NO. CSM - 96-281-01

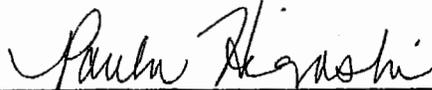
*DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT*

STATEMENT OF DECISION  
PURSUANT TO GOVERNMENT  
CODE SECTION 17500 ET SEQ. ;  
TITLE 2, CALIFORNIA CODE OF  
REGULATIONS, DIVISION 2,  
CHAPTER 2.5, ARTICLE 7.

STATEMENT OF DECISION

The attached Proposed Statement of Decision is hereby adopted by the Commission on State Mandates on April 23, 1998. This decision is effective on May 7, 1998.

Date: May 7, 1998

  
\_\_\_\_\_  
PAULA HIGASHI  
Executive Director

**PROPOSED STATEMENT OF DECISION  
APPROVED TEST CLAIM**

Penal Code Sections 1000.93, 1000.94 and 1000.95  
Penal Code Sections 273 .5, subdivisions (e), (f), (g), (h) and (i)  
Penal Code Section 1203.097

As Repealed, Added or Amended by Chapters 183192, 184/92, 28X/94, 641/95

**Domestic Violence Treatment Services - Authorization and Case Management**

**Executive Summary**

On March 26, 1998, the Conxmission partially approved this test claim with a 6-0 vote.

The test claim legislation provides that if an accused is convicted of a domestic violence crime and granted probation as part of sentencing, the defendant is required to successfully complete the batterer's treatment program as a condition of probation,

The Commission determined that probation *is a penalty* for conviction of a crime. The successful completion of probation is required before the unconditional release of the defendant. If the defendant fails to successfully complete the batterer's treatment program, the test claim legislation subjects the defendant to further sentencing and incarceration.

Since the legislature changed the penalty for domestic violence crimes by changing the requirements for probation, the Commission determined that the "crimes and infractions" disclaimer in Government Code section 17556, subdivision (g), applies to this claim. Based on the plain and ordinary meaning of the words used by the Legislature, the Commission concluded that subdivision (g) applies to those activities required by the test claim legislation that are directly related to the enforcement of the statute which changed the penalty 'for a crime.

**Non-Reimbursable Activities**

Accordingly, the Commission concluded that the following activities are directly related to the enforcement of the test claim statute and, thus, are *not* reimbursable pursuant to Government Code section 17556, subdivision (g):

- Referring the defendant to an appropriate alternative batterer's program if the original program is unsuitable. (Pen. Code, § 1203.097, subd. (a)(9) .)

- Monitoring the defendant's progress in the batterer's program, receiving and reviewing reports of violation, and reporting such findings to the court. (Pen. Code, § 1203.097, subd. (a)(10)(A)(B).)
- Requesting a hearing for further sentencing when the defendant is not performing satisfactorily in the assigned program, is not benefiting from the program, has not complied with the condition of probation, or has engaged in criminal conduct. (Pen. Code, § 1203.097, subd. (a)(12).)
- Providing information obtained from the investigation of the defendant's history to the batterer's treatment program upon request. (Pen. Code, § 1203.097, subd. (b)(1).)
- Investigating the defendant's history to determine the appropriate batterer treatment program, determining which community program would benefit the defendant, and reporting such findings to the court. (Pen. Code, § 1203.097, subd. (b)(1).)
- Assessing the defendant after the court orders the defendant to a batterer's program. The following factors are to be assessed: social, economic and family background; education; vocational achievements; criminal history; medical history; substance abuse history; consultation with the probation officer; and verbal consultation with the victim, if the victim desires to participate. (Pen. Code, § 1203.097, subd. (b)(3).)
- Determining the amount, means, and manner of restitution to the victim or battered women's shelter.

### **Reimbursable Activities**

The Commission concluded that the activities listed below are not directly related to the enforcement of the test claim statute under Government Code section 17556, subdivision (g), and, therefore, are reimbursable:

- Administration and regulation of the batterer's treatment programs (Pen. Code, § 1203.097, subs. (c)(1), (c)(2), and (c)(5)) offset by the claimant's fee authority under Penal Code section 1203.097, subdivision (c)(5)(B).
- Providing services for victims of domestic violence. (Pen. Code, § 1203.097, subd. (b)(4).)
- Assessing the future probability of the defendant committing murder. (Pen. Code, § 1203.097, subd. (b)(3)(1).)

### **Staff Recommendation**

Based on the foregoing, staff recommends that the Commission approve the attached Proposed Statement of Decision which accurately reflects the Commission's decision.

BEFORE THE  
COMMISSION ON STATE MANDATES  
STATE OF CALIFORNIA

**IN RE TEST CLAIM ON:**

Penal Code Section 273.5, Subdivisions (e), (f), (g), (h) and (i); Penal Code Sections 1000.93, 1000.94 and 1000.95; and Penal Code section 1203.097 as added, amended or repealed by Chapter 183, Statutes of 1992; Chapter 184, Statutes of 1992; Chapter 28X, Statutes of 1994; and Chapter 641, Statutes of 1995

And filed on November 13, 1996

By the County of Los Angeles, Claimant.

NO. CSM - 96-281-01

*DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT*

PROPOSED STATEMENT OF DECISION PURSUANT TO GOVERNMENT CODE SECTION 17500 ET SEQ.; TITLE 2, CALIFORNIA CODE OF REGULATIONS, DIVISION 2, CHAPTER 2.5, ARTICLE 7.

(Presented for adoption on April 23, 1998)

**PROPOSED STATEMENT OF DECISION**

This test claim was heard by the Commission on State Mandates (Commission) on March 26, 1998, during a regularly scheduled hearing. Mr. Leonard Kaye appeared for the County of Los Angeles. Mr. James Apps appeared for the Department of Finance. Mr. Jim Wright appeared as a witness for the County of Los Angeles.

At the hearing, evidence both oral and documentary was introduced, the test claim was submitted, and the vote was taken.

The law applicable to the Commission's determination of a reimbursable state mandated program is Government Code section 17500 et seq. and section 6, article XIII B of the California Constitution and related case law.

The Commission, by a vote of 6 to 0, partially approved this test claim.

## BACKGROUND

### **The Domestic Violence Diversion Program Was a Pretrial Program Designed to Avoid Conviction of the Accused Batterer.**

In 1979 and 1980, the Legislature established procedures for the diversion of persons arrested for misdemeanor domestic violence offenses prior to the determination of guilt or innocence. The diversion program created an alternative to criminal prosecution and conviction of the accused batterer. The accused was required to enroll in, and complete, a batterer's treatment program. If the accused successfully completed the batterer's program, he/she could avoid prosecution and conviction.

In part, the diversion program required county probation departments to perform an investigation to determine: 1) if the accused would benefit from diversion; and, if so, 2) in which community program the accused should be placed. The program also required county probation departments to monitor the progress of the divertee and return the divertee to court if he/she was not benefiting from the program or if he/she was later convicted of any violent crime.

In *County of Orange v. State Board of Control*,<sup>1</sup> the court reversed the State Board's determination that the diversion program was not a reimbursable state mandated program. The State Board found that the diversion program fell within the exclusion for legislation that changed the penalty of a crime. The court disagreed, stating, "probation is an alternative sentencing device imposed after conviction, while diversion is a pretrial program' designed to avoid conviction." The court ruled that participation in the diversion program is not a penalty because it occurs prior to a determination of guilt or innocence.

The decision in *County of Orange* allowed counties to claim reimbursement for investigating the accused batterer, making recommendations to the court regarding diversion, and monitoring the progress of the divertee in the treatment program,

In July 1993, the Legislature added sections 1000.93, 1000.94 and 1000.95 to the Penal Code. These provisions require county probation departments to administer and regulate domestic violence batterer's treatment programs and perform other related case management duties for domestic violence divertees and their victims. On July 21, 1994, the Commission determined that these added Penal Code sections imposed a reimbursable state mandated program.

### **The Test Claim Legislation Eliminated the Pretrial Diversion Program and Transformed it into a New Penalty Imposed Upon the Batterer After Conviction.**

In 1995, the Legislature eliminated the diversion program as a pretrial option for an accused batterer and transformed the batterer's treatment program into a condition of probation, if part of the punishment and sentencing following conviction included probation. The new law subjects all domestic violence arrestees to criminal prosecution and conviction because the Legislature recognized that, "domestic violence is a serious

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<sup>1</sup> *County of Orange v. State Bd. of Control* (1985) 167 Cal.App.3d 660, 663.

and widespread crime. . . . Between two and four million American women are beaten annually by their husbands or boyfriends . . . and domestic violence is the second leading cause of injury to women aged 15 to 44 years. . . . ” Furthermore, the Legislature stated, “[Pre-trial] [d]iversion programs for perpetrators of domestic violence . . . are inadequate to address domestic violence as a serious crime.” (Emphasis added.)

## COMMISSION FINDINGS

**Issue 1:** Which test claim provisions are not reimbursable because they fall under the exclusion for changing the penalty for a crime under Government Code section 17556, subdivision (g)?

**Plain and Ordinary Meaning of Subdivision (g).** Government Code section 17556, subdivision (g), provides that a test claim contains no “costs mandated by the state” if the Commission finds that:

“The statute created a new crime or infraction, eliminated a crime or infraction, or changed the penalty for a crime or infraction, *but only for that portion of the statute relating directly to the enforcement of the crime or infraction.*” (Emphasis added.)

The Commission noted that the first step in statutory interpretation is to look at the statute’s words and give them their plain and ordinary meaning. Where ‘the words of the statute are unambiguous, they must be applied as written and may not be altered in any way. Where the words are ambiguous, the statute’s legislative history must be used to guide statutory interpretation. Generally, statutes must be given a reasonable and common sense construction designed to avoid absurd results.’<sup>2</sup>

**The “But Only” Modifier.** Subdivision (g) contains the modifier, “but only for that portion of the statute relating directly to the enforcement of the crime or infraction.” It is first necessary to determine what portion of subdivision (g), the “but only” clause modifies. To avoid ambiguity, rules of grammar suggest that modifiers be placed next to the word they modify.<sup>3</sup> Also known as the “last antecedent rule,” this construction is not followed when strict adherence to the rules of grammar would result in statutory interpretation that contravenes legislative intent.<sup>4</sup>

The Commission recognized that the “last antecedent rule” means the “but only” clause modifies only the third phrase in subdivision (g)-changed penalties for crimes or infractions. This application is in accordance with legislative intent. It would not make sense for the “but only” clause to modify the first phrase-the creation of new crimes or infractions-because reimbursement for those statutes is already provided for

<sup>2</sup> *Burden v. Snowden* (1992) 2 Cal.4th 556, 562; *People v. King* (1993) 5 Cal.4th 59, 69.

<sup>3</sup> Strunk & White, *The Elements of Style* (3d ed. 1979) p. 30.

<sup>4</sup> 67 Ops.Cal.Atty.Gen. 452, 454 (1984).

in article XIII B, section 6, subdivision (b), of the California Constitution.<sup>5</sup> Similarly, it would not make sense for the “but only” clause to modify the second phrase—the elimination of crimes or infractions—because an eliminated crime cannot be enforced.

**“The Enforcement of the Crime or Infraction.”** Webster’s defines “enforce” as “to compel observance of (a law, etc.).”<sup>6</sup> However, Black’s Law Dictionary defines “enforcement” as “[t]he act of putting something such as a law into effect; the execution of a law.”<sup>7</sup> Black’s defines “execution,” in turn, as “[c]arrying out some act or course of conduct to its completion.”<sup>8</sup>

The word “penalty” is generally defined to mean some type of punishment.<sup>9</sup> “Punishment,” in turn, includes “[a]ny fine, penalty, or confinement inflicted upon a person by the authority of the law and the judgment and sentence of a court, for some crime committed by him. . . .”<sup>10</sup> Finally, a “sentence” is “[t]he judgment formally pronounced by the court or judge upon the defendant after his conviction in a criminal prosecution, imposing the punishment to be inflicted, usually in the form of a fine, incarceration, or probation.”<sup>11</sup>

Therefore, the Commission found that “enforcement of the crime or infraction” means to carry out to completion the “penalty” or “punishment” imposed by the statute. The completion of the enforcement process is the ultimate “sentencing” imposed upon the defendant, which includes probation. Subdivision (g), therefore, encompasses those activities that directly relate to the enforcement of the statute that changes the penalty for the crime from arrest through conviction and sentencing, including probation.

**Exclusion for Changing the Penalty for a Crime.** Probation is “the suspension of the imposition or execution of a sentence and the order of conditional and revocable release in the community under the supervision of a probation officer.”<sup>12</sup> Nonetheless, the Commission noted that Penal Code section 1202.7 includes punishment as one of the primary considerations in granting probation:

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<sup>5</sup> Section 6 of article XIII B of the Constitution provides: “[T]he Legislature may, but need not, provide such subvention of funds for the following mandates: [¶] (b) Legislation defining a new crime or changing an existing definition of a crime. ”

<sup>6</sup> Webster’s New World Dict. (3rd College ed. 1988) p. 450, col. 1.

<sup>7</sup> Black’s Law Dict. (6th ed. 1990) p. 528, col.2.

<sup>8</sup> Black’s Law Dict. (6th ed. 1990) p. 568, col. 1.

<sup>9</sup> Black’s Law Dict. (6th ed. 1990) p. 1133, col.2, (defining “penalty” as “[a]n elastic term with many different shades of meaning; it involves the idea of punishment, corporeal or pecuniary, or civil or criminal . . . .”); Webster’s New World Dict. (3rd College ed. 1988) p. 998, col.1 (defining “penalty” as “a punishment fixed by law, as for a crime or breach of contract” or “any unfortunate consequence or result of an act or condition. ”)

<sup>10</sup> Black’s Law Dict. (6th ed. 1990) p. 1234, col. 1.

<sup>11</sup> Black’s Law Dict. (6th ed. 1990) p. 1362, col. 2.

<sup>12</sup> Pen. Code, § 1203, subd. (a).

“The Legislature finds and declares that the provision of probation services is an essential element in administration of criminal justice. The safety of the public, which shall be a primary goal through enforcement of court-ordered conditions of probation; the nature of the offense, the interests of justice, *including punishment*, reintegration of the offender into the community, and enforcement of conditions of probation; the loss to the victim; and the needs of the defendant shall be the primary considerations in the granting of probation.” (Emphasis added.)

In addition, the successful completion of probation is required before the unconditional release of the defendant. If the convicted defendant does not successfully complete probation, the defendant is subject to further sentencing and incarceration.<sup>13</sup>

The Commission found that the purpose of the test claim legislation is to “treat domestic violence as a serious crime.” Accordingly, the Legislature eliminated diversion as an option in domestic violence cases and subjected all persons arrested for a domestic violence offense to prosecution and conviction. If probation is granted as part of the sentence, the defendant is now required to successfully complete a batterer’s treatment program as a condition of probation. If the defendant does not satisfactorily complete the batterer’s treatment program, Penal Code section 1203.097, subdivision (a)(12), expressly provides that the defendant is subject to further sentencing and incarceration.

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<sup>13</sup> Penal Code section 1203.2 provides authority to revoke probation and impose further sentencing, including incarceration, if the defendant violates *any* term of probation. Section 1203.2 provides, in pertinent part, the following:

“(a) At any time during the probationary period of a person released on probation under the care of a probation officer pursuant to this chapter, or of a person released on conditional sentence or summary probation not under the care of a probation officer, if any probation officer or peace officer has probable cause to believe that the probationer is violating any term or condition of his or her probation, or conditional sentence, the officer may, without warrant or other process and at any time until the final disposition of the case, rearrest the person and bring him or her before the court or the court may, in its discretion, issue a warrant for his or her rearrest. Upon such rearrest, or upon the issuance of a warrant for rearrest the court may revoke and terminate such probation if the interests of justice so require and the court, in its judgment, has reason to believe from the report of the probation officer or otherwise that the person has violated any of the conditions of his or her probation . . . .”

“(c) Upon any revocation and termination of probation the court may, if the sentence has been suspended, pronounce judgment for any time within the longest period for which the person might have been sentenced.”

The Commission found that the activities of a county's probation department under the previous, reimbursable pretrial diversion program and under the new post-conviction batterer's treatment program share many similarities. However, under County of Orange, the similarity between pretrial diversion and probation "does not affect the basic distinction between the two . . . . [P]robation is an alternative sentencing device imposed after conviction, while diversion is a pretrial program designed to avoid conviction." <sup>14</sup>

Based on the foregoing, the Commission found that probation is a penalty for the conviction of domestic violence and that the completion of the batterer's treatment program as a condition of probation is subject to the exclusion in Government Code section 17556, subdivision (g) .

#### CONCLUSION TO ISSUE 1:

Based on the foregoing analysis, the Commission determined that the activities listed below are directly related to the enforcement of the test claim statute which changed the penalty for defendants convicted of a domestic violence offense. Accordingly, the following activities fall under Government Code section 17556, subdivision (g), and, therefore, are not reimbursable.

- Referring the defendant to an appropriate alternative batterer's program if the original program is unsuitable. (Pen. Code, § 1203.097, subd. (a)(9).)
- Monitoring the defendant's progress in the batterer's program, receiving and reviewing reports of violation, and reporting such findings to the court. (Pen. Code, § 1203.097, subd. (a)(10)(A)(B).)
- Requesting a hearing for further sentencing when the defendant is not performing satisfactorily in the assigned program, is not benefiting from the program, has not complied with the condition of probation, or has engaged in criminal conduct. (Pen. Code, § 1203.097, subd. (a)(12).)
- Providing information obtained from the investigation of the defendant's history to the batterer's treatment program-upon request. (Pen. Code, § 1203.097, subd. (b)(1).)
- Investigating the defendant's history to determine the appropriate batterer treatment program, determining which community program would benefit the defendant, and reporting such findings to the court. (Pen. Code, § 1203.097, subd. (b)(1).)
- Assessing the defendant after the court orders the defendant to a batterer's program. The following factors are to be assessed: social, economic and family background; education; vocational achievements; criminal history; medical history; substance abuse history; consultation with the probation officer; and

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<sup>14</sup> *Supra*, 167 Cal.App.3d at 663.

verbal consultation with the victim, if the victim desires to participate. (Pen. Code, § 1203.097, subd. (b)(3).)

- Determining the amount, means, and manner of restitution to the victim or battered women's shelter.<sup>15</sup>

**Issue 2:** Which test claim provisions are reimbursable because they fall outside the exclusion for changing the penalty for a crime under Government Code section 17556, subdivision (g)?

The Commission further determined that the following activities are *not* directly related to the enforcement of the test claim statute because they do not directly penalize the defendant for the crime. The Commission found that these activities fall outside the reimbursement exclusion of Government Code section 17556, subdivision (g):

- Administration and regulation of the batterer's treatment programs. (Pen. Code, § 1203.097, subs. (c)(1), (c)(2), and (c)(5).)
- Providing services for victims of domestic violence. (Pen. Code, § 1203.097, subd. (b)(4) .)
- Assessing the *future* probability of the defendant committing murder. (Pen. Code, § 1203.097, subd. (b)(3)(1).)

Therefore, the Commission continued its inquiry to determine whether the provisions listed above constitute a state mandated program under article XIII B, section 6. In order for a statute that is the subject of a test claim to impose a state mandated program, the statutory language must direct or obligate an activity or task upon local governmental entities. Further, the required activity or task must be new or it must create an increased or higher level of service. To determine if a required activity is new or creates an increased or higher level of service, a comparison must be undertaken between the test claim legislation and the legal requirements in effect immediately prior to the enactment of the test claim legislation?

**Administration and Regulation of the Batterer's Treatment Programs.** Under the test claim legislation, county probation departments continue to administer the batterer's treatment program for probationers as they did under the domestic violence diversion program. The specific requirements governing the administration and

<sup>15</sup> The County of Los Angeles contends that the test claim legislation requires additional duties imposed on probation departments to inquire, determine, recommend and report the amount, means, and manner of restitution payments due the domestic violence victim and/or battered women's shelter, Penal Code sections 273.5, subdivision (h), and 1203.097, subdivision (a)(1), provide that the court may order, as a condition of probation, the payment of restitution to the victim and/or a battered women's shelter based on the defendant's ability to pay.

<sup>15</sup> However the Commission found that neither section 273.5 nor 1203.097 require probation department; to perform any activities with regard to restitution.

<sup>16</sup> *County of Los Angeles v. State of California* (1987) 43 Cal.3d 46, 56; *Camel Valley Fire Protection Dist. v. State of California* (1987) 190 Cal.App.3d 521, 537; *Lucia Mar Unified School Dist. v. Honig* (1988) 44 Cal.3d 830, 835.

regulation of the batterer's treatment program under the diversion program (Chapter 221, Statutes of 1993) have now been incorporated and recodified into the probation provisions of Penal Code section 1203.097, subdivision (c).<sup>17</sup>

Penal Code section 1203.097, subdivision (c)(5), provides that county probation departments have the sole authority to approve the issuance, denial, suspension, or revocation of batterer's treatment programs for domestic violence probationers. County probation departments carry out a basic governmental function by performing these activities, thus providing a service to the public. Such activities are not imposed on state residents generally. Therefore, the Commission found that the statute directs or obligates an activity or task upon local governmental entities.

Moreover, the law in effect immediately prior to the enactment of the test claim legislation (Chapter 221, Statutes of 1993) did not require county probation departments to administer and regulate an approval process for batterer's treatment programs.

Therefore, the Commission found that the administration and regulation of batterer's treatment programs under the test claim legislation constitutes a new program or higher level of service.

**Victim Services.** Penal Code section 1203.097, subdivision (b)(4), requires the county probation department to attempt to contact the victim in order to: (1) notify the victim regarding the requirements for the defendant's participation in the batterer's program; (2) notify the victim of available victim resources; and, (3) inform the victim that participation in the batterer's treatment program is no guarantee that the perpetrator will stop the violence.

Prior to the enactment of the test claim legislation, county probation departments were not required to contact the victim regarding the defendant's attendance in the batterer's treatment program and the information described above. Therefore, the Commission

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<sup>17</sup> The specific requirements of Penal Code section 1203.097, subdivision (c), are:

- To refer defendants only to batterer's programs that follow specified standards. (Pen. Code, § 1203.097, subd. (c)(1).)
- To design and implement an approval and renewal process for batterer's programs with the input from criminal justice agencies and domestic violence victim advocacy programs. (Pen. Code, § 1203.097, subd. (c)(1).)
- To regulate or monitor batterer's programs by providing for the issuance of a provisional approval if the program is in substantial compliance with applicable laws and regulations. If the program is not in substantial compliance with standards set by the department, the probation department shall provide written notice. Thereafter, the probation department is required to review and approve all plans of correction filed by the program. (Pen. Code, § 1203.097, subd. (c)(2).)
- To approve and renew approval of a program by reviewing a written application and demonstration by the program that it possesses adequate administrative and operational capacity to operate a batterer's treatment program. (Pen. Code, § 1203.097, subd. (c)(5).)
- To conduct on-site reviews of the program, including monitoring of a session to determine that the program adheres to applicable statutes and regulations. (Pen. Code, § 1203.097, subd. (c)(5).)

found that the activity of contacting the victim constitutes a new program or higher level of service.

**Assessing the Probability of the Defendant Committing a Future Murder.** Penal Code section 1203.097, subdivision (b)(3), requires county probation departments to conduct an initial assessment of the defendant after the court orders the defendant to a batterer's program. Subparagraph (I) specifically requires the assessment of the future probability of the defendant committing murder.<sup>18</sup>

Prior to the enactment of the test claim legislation, county probation departments were not required to assess the future probability of the defendant committing murder after the court ordered the defendant to a batterer's program. Therefore, the Commission found that this activity constitutes a new program or higher level of service.

## CONCLUSION TO ISSUE 2:

The Commission concluded that the following test claim provisions are state mandated and reimbursable because they fall outside of Government Code, section 17556, subdivision (g):

- Administration and regulation of the batterer's treatment programs (Pen. Code, § 1203.097, subs. (c)(1), (c)(2), and (c)(5)),<sup>19</sup> offset by the claimant's fee authority under Penal Code section 1203.097, subdivision (c)(5)(B).<sup>20</sup>
- Providing services for victims of domestic violence. (Pen. Code, § 1203.097, subd. (b)(4) .)
- Assessing the future probability of the defendant committing murder. (Pen. Code, § 1203.097, subd. (b)(3)(1).)

<sup>18</sup> With the exception of assessing the future probability of the defendant committing murder, staff notes that Penal Code section 1203.097, subdivision (b)(3), is identical to former Penal Code section 1000.95 (Chapter 221, Statutes of 1993.)

<sup>19</sup> See *supra*, note 23.

<sup>20</sup> Penal Code section 1203.097, subdivision (c)(5)(B), provides fee authority to cover the costs of the administration and regulation of non-governmental batterer treatment programs. This provision provides the following:

“The probation department shall fix a fee for approval not to exceed two hundred fifty dollars (\$250) and for approval renewal not to exceed two hundred fifty dollars (\$250) every year in an amount sufficient to cover its cost in administering the approval process under this section. No fee shall be charged for the approval of local governmental entities.”

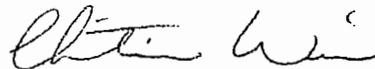
DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare as follows:

I am a resident of the County of Sacramento and I am over the age of 18 years, and not a party to the within action. My place of employment and business address is 1300 I Street, Suite 950, Sacramento, California 95814.

On April 30, 1998, I served the attached Adopted Statement of Decision for "*Domestic Violence Treatment Services – Authorization and Case Management,*" of the Commission on State Mandates by placing a true copy thereof in an envelope addressed to each of the persons listed on the attached mailing list, and by sealing and depositing said envelope in the United States mail at Sacramento, California, with postage thereon fully prepaid.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that this declaration was executed on April 30, 1998, at Sacramento, California.



\_\_\_\_\_  
CHRISTINE WEIN

**DECLARATION OF SERVICE**

Case Name: Domestic Violence Treatment Services - Authorization and Case Management

Case No. : CSM- 96-28 1-01

I declare:

I am employed in the County of Sacramento, California. I am 18 years of age or older and not a party to the within entitled cause; my business address is 1300 I Street, Suite 950 Sacramento, California 95814.

On May 8, 1998, I placed the attached

**STATEMENT OF DECISION PURSUANT TO GOVERNMENT CODE SECTION 17500 ET SEQ.; TITLE 2, CALIFORNIA CODE OF REGULATIONS, DIVISION 2, CHAPTER 2.5, ARTICLE 7.**

by placing a true copy of it enclosed in a sealed envelope with postage thereon fully prepaid, in the United States mail at Sacramento, California, to the attached mailing list:

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration was executed on May 8, 1998 at Sacramento, California.

  
TAMMIE J. BEASLEY  
Commission on State Mandates  
1300 I Street, Suite 950  
Sacramento, CA 95814  
(916) 323-3562

# EXHIBIT C

BEFORE THE  
COMMISSION ON STATE MANDATES  
STATE OF CALIFORNIA

IN RE TEST CLAIM ON:

Penal Code Sections 1000.93, 1000.94 and 1000.95; Penal Code Sections 273.5, Subdivisions (e), (f), (g), (h), and (i); and Penal Code Section 1203.097;  
As Repealed, Added or Amended by Statutes of 1992, Chapters 183 and 184; Statutes of 1994, Chapter 28X; and Statutes of 1995, Chapter 641;

And filed on November 13, 1996;

By County of Los Angeles, Claimant.

NO. CSM-9628101

*Domestic Violence Treatment Services -  
Authorization and Case Management*

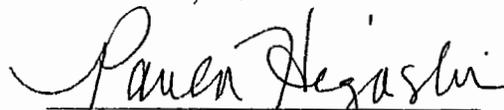
ADOPTION OF PARAMETERS AND  
GUIDELINES PURSUANT TO  
GOVERNMENT CODE SECTION 17557  
AND TITLE 2, CALIFORNIA CODE OF  
REGULATIONS, SECTIONS 1183.12.

(Adopted on November 30, 1998)

**ADOPTED PARAMETERS & GUIDELINES**

The attached Parameters & Guidelines of the Commission on State Mandates is hereby adopted in the above-entitled matter.

This Decision shall become effective on December 8, 1998.

  
Paula Higashi, Executive Director

## Parameters and Guidelines

Penal Code Sections 1000.93, 1000.94 and 1000.95

Penal Code Sections 273 .5, subdivisions (e), (f), (g), (h) and (i)

Penal Code Section 1203.097

As Repealed, Added or Amended by Chapters 183/92, 184/92, 28X/94, 641195  
*Domestic Violence Treatment Services — Authorization and Case Management*

### I. Summary and Source of the Mandate

The test claim legislation provides that if an accused is convicted of a domestic violence crime and granted probation as part of sentencing, the defendant is required to successfully complete a batterer's treatment program as a condition of probation.

The Commission determined that probation *is a penalty* for conviction of a crime. The successful completion of probation is required before the unconditional release of the defendant. If the defendant fails to successfully complete a batterer's treatment program, the test claim legislation subjects the defendant to further sentencing and incarceration.

Since the legislature changed the penalty for domestic violence crimes by changing the requirements for probation, the Commission determined that the "crimes and infractions" disclaimer in Government Code section 17556, subdivision (g), applies to this claim. Based on the plain and ordinary meaning of the words used by the Legislature, the Commission concluded that subdivision (g) applies to those activities required by the test claim legislation that are directly related to the enforcement of the statute which changed the penalty for a crime.

The Commission concluded that the activities listed below are *not* directly related to the enforcement of the test claim statute under Government Code section 17556, subdivision (g), and, therefore, are reimbursable:

- z Administration and regulation of batterers' treatment programs (Pen. Code, § 1203.097, subs. (c)(1), (c)(2), and (c)(5)) offset by the claimant's fee authority under Penal Code section 1203.097, subdivision (c)(5)(B).
- z Providing services for victims of domestic violence. (Pen. Code, § 1203.097, subd. (b)(4) .)
- z Assessing the future probability of the defendant committing murder. (Pen. Code, § 1203.097, subd. (b)(3)(1).)

### II. Eligible Claimants

Eligible claimants include counties, and city and county.

### III. Period of Reimbursement

Section 17557 of the Government Code states that a test claim must be submitted on or before December 31 following a given fiscal year to establish eligibility for reimbursement for that fiscal year. The test claim was filed by the County of Los Angeles on October 4, 1996.

Statutes of 1995, Chapter 641, became effective and operative on January 1, 1996. Therefore, costs incurred on or after January 1, 1996, are eligible for reimbursement.

Actual costs for one fiscal year shall be included in each claim. Estimated costs for the subsequent year may be included on the same claim, if applicable.

Pursuant to Government Code section 1756 1, subdivision (d)( 1), all claims for reimbursement of initial years' costs shall be submitted within 120 days of issuance of the claiming instructions by the State Controller.

If total costs for a given year do not exceed \$200, no reimbursement shall be allowed, except as otherwise allowed by Government Code section 17564.

#### **IV. Reimbursable Activities**

For each eligible claimant, all direct and indirect costs of labor, supplies, services, travel and training, for the following activities are eligible for reimbursement:

- A. Administration and regulation of batterers' treatment programs (Pen. Code, §§ 1203.097, subds. (c)(1), (c)(2) and (c)(5)) offset by the claimant's fee authority under Penal Code section 1203.097, subdivision (c)(5)(B).
  1. Development of an approval and annual renewal process for batterers' programs, not previously claimed under former Penal Code sections 1000.93 and 1000.95. (One-time activity .)
    - a. Meeting and conferring with and soliciting input from criminal justice agencies and domestic violence victim advocacy programs.
    - b. Staff training regarding the administration and regulation of batterers' treatment programs. (One-time for each employee performing the mandated activity.)
  2. Processing of initial and annual renewal approvals for vendors, including:
    - a. Application review.
    - b. On-site evaluations.
    - c. Notification of application approval, denial, suspension or revocation.
- B. Victim Notification. (Pen. Code, § 1203.097, subd (b)(4).)
  1. The probation department shall attempt to:
    - a. Notify victims regarding the requirement for the defendant's participation in a batterer's program.
    - b. Notify victims regarding available victim resources.
    - c. Inform victims that attendance in any program does not guarantee that an abuser will not be violent.
  2. Staff training on the following activities:
    - a. Notify victims regarding the requirement for the defendant's participation in a batterer's program, and inform victims that attendance in any program does not guarantee that an abuser will not be violent. (One-time for each employee performing the mandated activities .)

- b. Notify victims regarding available victim resources. (Once-a-year training for each employee performing the mandated activity .)
- C. Assessing the future probability of the defendant committing murder. (Pen. Code, § 1203.097, subd. (b)(3)(1).)
1. Evaluation and selection of a homicidal risk assessment instrument.
  2. Purchasing or developing a homicidal risk assessment instrument.
  3. Training staff on the use of the homicidal risk assessment instrument.
  4. Evaluation of the defendant using the homicidal risk assessment instrument, interviews and investigation, to assess the future probability of the defendant committing murder.

In the event a local agency obtains a new homicidal risk assessment instrument, documentation substantiating the improved value of the new instrument is required to be provided with the claim.

v. **Claim Preparation**

Claims for reimbursement must be timely filed and identify each cost element for which reimbursement is claimed under this mandate. Claimed costs must be identified to each reimbursable activity identified in Section IV of this document.

**SUPPORTING DOCUMENTATION**

Claimed costs shall be supported by the following cost element information:

**A. Direct Costs**

Direct Costs are defined as costs that can be traced to specific goods, services, units, programs, activities or functions .

Claimed costs shall be supported by the following cost element information:

**1. Salaries and Benefits**

Identify the employee(s), and/or show the classification of the employee(s) involved. Describe the reimbursable activities performed and specify the actual **time** devoted to each reimbursable activity by each employee, productive hourly rate and related fringe benefits.

Reimbursement for personal services includes compensation paid for salaries, wages and employee fringe benefits. Employee fringe benefits include regular compensation paid to an employee during periods of authorized absences (e.g., annual leave, sick leave) and the employer's contribution of social security, pension plans, insurance and worker's compensation insurance. Fringe benefits are eligible for reimbursement when distributed equitably to all job activities which the employee performs.

**2. Materials and Supplies**

Only expenditures that can be identified as direct costs of this mandate may be claimed. List the cost of the materials and supplies consumed specifically for the purposes of this mandate. Purchases shall be claimed at the actual price after deducting cash discounts, rebates and allowances received by the claimant. Supplies that are withdrawn from inventory shall be charged based on a recognized method of costing, consistently applied.

### 3. Contract Services

Provide the name(s) of the contractor(s) who performed the services, including any fixed contracts for services. Describe the reimbursable activity(ies) performed by each named contractor and give the number of actual hours spent on the activities, if applicable. Show the inclusive dates when services were performed and itemize all costs for those services.

### 4. Fixed Assets

List the costs of the fixed assets that have been acquired specifically for the purpose of this mandate. If the fixed asset is utilized in some way not directly related to the mandated program, only the pro-rata portion of the asset which is used for the purposes of the mandated program is eligible for reimbursement.

### 5. Travel

Travel expenses for mileage, per diem, lodging and other employee entitlements are eligible for reimbursement in accordance with the rules of the local jurisdiction. Provide the name(s) of the traveler(s), purpose of travel, inclusive dates and times of travel, destination points and travel costs.

### 6. Training

The cost of training an employee to perform the mandated activities is eligible for reimbursement. Identify the employee(s) by name and job classification. Provide the title and subject of the training session, the date(s) attended and the location. Reimbursable costs may include salaries and benefits, registration fees, transportation, lodging and per diem.

### B. Indirect Costs

Indirect costs are defined as costs which are incurred for a **common** or joint purpose, benefiting more than one program and are not directly assignable to a particular department or program without efforts disproportionate to the result achieved. Indirect costs may include both (1) overhead costs of the unit performing the mandate; and (2) the costs of central government services distributed to other departments based on a systematic and rational basis through a cost allocation plan.

Compensation for indirect costs is eligible for reimbursement utilizing the procedure provided in the OMB A-87. Claimants have the option of using 10% of direct labor, excluding fringe benefits, or preparing an Indirect Cost Rate Proposal (ICRP) for the department if the indirect cost rate claimed exceeds 10%. If more than one department is claiming indirect costs for the mandated program, each department must have its own ICRP prepared in accordance with OMB A-87. An ICRP must be submitted with the **claim** when the indirect cost rate exceeds 10%.

## VI. Supporting Data

For audit purposes, all costs claimed shall be traceable to source documents (e.g., employee time records, invoices, receipts, purchase orders, contracts, worksheets, calendars, declarations, etc.) that show evidence of the validity of such costs and their relationship to the state mandated program. All documentation in support of the claimed costs shall be made available to the State Controller's Office, as may be requested, and all reimbursement claims

are subject to audit during the period specified in Government Code section 17558.5, subdivision (a).

#### **VII. Data for Development of a Statewide Cost Estimate**

The State Controller's Office is directed to include in the claiming instructions a request that claimants send an additional copy of the test claim specific form for the initial years' reimbursement claim by mail or facsimile to the Commission on State Mandates, 1300 I Street, Suite 950, Sacramento, California 958 14, Facsimile number: (9 16) 445-0278. Although providing this information to the Commission on State Mandates is not a condition of reimbursement, claimants are encouraged to provide this information to enable the Commission to develop a statewide cost estimate. which will be the basis for the Legislature's appropriation for this program.

#### **VIII. Offsetting Savings and Other Reimbursement**

Any offsetting savings the claimant experiences as a direct result of the subject mandate must be deducted from the costs claimed. In addition, reimbursement for this mandate received from any source, including but not limited to, service fees collected under Penal Code section 1203.097, subdivision (c)(5)(B), federal funds and other state funds shall be identified and deducted from this claim.

#### **IX. State Controller's Office Required Certification**

An authorized representative of the claimant shall be required to provide a certification of the claim, as specified in the State Controller's claiming instructions, for those costs mandated by the State contained herein.

DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare as follows:

I am a resident of the County of Sacramento and I am over the age of 18 years, and not a party to the within action. My place of employment and business address is 1300 I Street, Suite 950, Sacramento, California 95814.

On December 8, 1998, I served the attached:

**Adopted Parameters and Guidelines for CSM-9628101 Domestic Violence Treatment Services - Authorization and Case Management.**

for the Commission on State Mandates by placing a true copy thereof in an envelope addressed to each of the persons listed on the attached mailing list, and by sealing and depositing said envelope in the United States mail at Sacramento, California, with postage thereon fully prepaid.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that this declaration was executed on December 8, 1998 at Sacramento, California.

  
Caroline Baltazar

# EXHIBIT D

## DOMESTIC VIOLENCE TREATMENT SERVICES AUTHORIZATION AND CASE MANAGEMENT

### 1. Summary of Chapters 183/92, 184/92, 28/94, and 641/95

Penal Code Sections 1000.93, 1000.94, and 1000.95  
Penal Code Sections 273.5, Subdivisions (e), (f), (g), (h), and (i)  
Penal Code Section 1203.097

As repealed, added, or amended by Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, Chapter 641, Statutes of 1995

Legislation provides that if an accused is convicted of a domestic violence crime and granted probation as part of sentencing, the defendant is required to successfully complete a batterer's treatment program as a condition of probation.

The Commission on State Mandates determined that probation is a penalty for conviction of a crime. The successful completion of probation is required before the unconditional release of the defendant. If the defendant fails to successfully complete a batterer's treatment program, the test claim legislation subjects the defendant to further sentencing and incarceration.

Since the Legislature changed the penalty for domestic violence crimes by changing the requirements for probation, the Commission determined that the "crimes and infractions" disclaimer in Government Code Section 17556, Subdivision (g), applies to this claim. The Commission also concluded that Subdivision (g) applies to those activities required by the test claim legislation that are directly related to the enforcement of the statute that changed the penalty for a crime.

On November 30, 1998, the Commission on State Mandates determined that the activities listed below are not directly related to the enforcement of the test claim statute under Government Code Section 17556, Subdivision (g) and, therefore, are reimbursable.

- A. Administration and regulation of batterer's treatment programs (Pen. Code § 1203.097, Subds. (c)(1), (c)(2), and (c)(5)), offset by the claimant's fee authority under Penal Code Section 1203.097, Subdivision (c)(5)(B).
- B. Providing services for victims of domestic violence (Pen. Code § 1203.097, Subd. (b)(4)).
- C. Assessing the future probability of the defendant committing murder (Pen. Code § 1203.097, Subd. (b)(3)(I)).

### 2. Eligible Claimants

Any city, county, or city and county incurring increased costs as a direct result of this mandate is eligible to claim reimbursement of these costs.

### 3. Appropriations

These claiming instructions are issued following the adoption of the program's parameters and guidelines by the Commission on State Mandates. Funding for payment of initial claims covering the period January 1, 1996, through June 30, 1996, and fiscal years 1996-97, 1997-98, and 1998-99 will be made available in a future appropriation act subject to approval of the Legislature and the Governor.

To determine if funding is available for the current fiscal year, refer to the schedule "Appropriations for State Mandated Cost Programs" in the *Annual Claiming Instructions for*

*State Mandated Costs* issued in October of each year to city fiscal officers and county auditors.

**4. Types of Claims****A. Reimbursement and Estimated Claims**

A claimant may file a reimbursement and/or an estimated claim. A reimbursement claim details the costs actually incurred for a prior fiscal year. An estimated claim shows the costs to be incurred for the current fiscal year.

**B. Minimum Claim**

Section 17564(a) of the Government Code provides that no claim shall be filed pursuant to Section 17561 unless such a claim exceeds \$200 per program per fiscal year.

**5. Filing Deadline****A. Initial Claims**

Pursuant to Government Code Section 17561, Subdivision (d)(3), initial claims must be filed within 120 days from the issuance date of claiming instructions. Accordingly:

- (1) Reimbursement claims detailing the actual costs incurred for the period January 1, 1996, through June 30, 1996, and 1996-97 and 1997-98 fiscal years must be filed with the State Controller's Office and postmarked by June 25, 1999. If the reimbursement claim is filed after the deadline of June 16, 1999, the approved claim must be reduced by a late penalty of 10%, not to exceed \$1,000. Claims filed more than one year after the deadline will not be accepted.
- (2) Estimated claims for costs to be incurred during the 1998-99 fiscal year must be filed with the State Controller's Office and postmarked by June 25, 1999. Timely filed estimated claims are paid before late claims. If a payment is received for the estimated claim, a 1998-99 reimbursement claim must be filed by January 15, 2000.

**B. Annually Thereafter**

Refer to the item "Reimbursable State Mandated Cost Programs," contained in the cover letter for mandated cost programs issued annually in October, that identifies the fiscal years for which claims may be filed. If an "x" is shown for the program listed under "19\_\_/19\_\_ Reimbursement Claim," and/or "19\_\_/19\_\_ Estimated Claim," claims may be filed as follows:

- (1) An estimated claim filed with the State Controller's Office must be postmarked by January 15 of the fiscal year in which the costs will be incurred. Timely filed estimated claims will be paid before late claims.

After having received payment for an estimated claim, the claimant must file a reimbursement claim by January 15 of the following fiscal year. If the local agency fails to file a reimbursement claim, monies received for the estimated claim must be returned to the State. If no estimated claim was filed, the agency may file a reimbursement claim detailing the actual costs incurred for the fiscal year, provided there was an appropriation for the program for that fiscal year. For information regarding appropriations for reimbursement claims refer to the "Appropriation for State Mandated Cost Programs" in the previous fiscal year's annual claiming instructions.

- (2) A reimbursement claim detailing the actual costs must be filed with the State Controller's Office and postmarked by January 15 following the fiscal year in

which the cost will be incurred. If the claim is filed after the deadline, but by January 15 of the succeeding fiscal year, the approved claim must be reduced by a late penalty of 10%, not to exceed \$1,000. Claims filed more than one year after the deadline will not be accepted.

## 6. Reimbursable Activities

For each eligible claimant, all direct and indirect costs of labor, supplies, services, training, and travel for the following activities only are eligible for reimbursement:

- A. Administration and regulation of batterers' treatment programs (Pen. Code § 1203.097, Subds. (c)(1), (c)(2), and (c)(5)) offset by the claimant's fee authority under Penal Code Section 1203.097, Subdivision (c)(5)(B).
  - 1. Development of an approval and annual renewal process for batterers' programs not previously claimed under former Penal Code Sections 1000.93 and 1000.95 (one-time activity).
    - a. Meeting and conferring with and soliciting input from criminal justice agencies and domestic violence victim advocacy programs.
    - b. Staff training regarding the administration and regulation of batterers' treatment programs (once for each employee performing the mandated activity).
  - 2. Processing of initial and annual renewal approvals for vendors, including:
    - a. application review;
    - b. on-site evaluations; and
    - c. notification of application approval, denial, suspensions, or revocation.
- B. Victim Notification (Pen. Code § 1203.097, Subd. (b)(4)).
  - 1. The probation department shall attempt to:
    - a. Notify victims regarding the requirement for the defendant's participation in a batterer's program.
    - b. Notify victims regarding available victim resources.
    - c. Inform victims that attendance in any program does not guarantee that an abuser will not be violent.
  - 2. Staff training on the following activities:
    - a. Notify victims regarding the requirement for the defendant's participation in a batterer's program and inform victims that attendance does not guarantee that an abuser will not be violent (once for each employee performing the mandated activities).
    - b. Notify victims regarding available victims resources (once-a-year training for each employee performing the mandated activity).
- C. Assessing the future probability of the defendant committing murder (Pen. Code § 1203.097, Subd. (b)(3)(I)).
  - 1. Evaluation and selection of a homicidal risk assessment instrument.
  - 2. Purchasing or developing a homicidal risk assessment instrument.

3. Training staff on the use of the homicidal risk assessment instrument.
4. Evaluation of the defendant using the homicidal risk assessment instrument, interviews, and investigation to assess the future probability of the defendant committing murder.

In the event a local agency obtains a new homicidal risk instrument, documentation substantiating the improved value of the new instrument is required to be provided with the claim.

**7. Reimbursement Limitations**

Any offsetting savings or reimbursement the claimant received from any source including, but not limited to, service fees collected, federal funds, and other state funds as a direct result of this mandate shall be identified and deducted so only the net local cost is claimed.

**8. Claiming Forms and Instructions**

The diagram "Illustration of Claim Forms" provides a graphical presentation of forms required to be filed with a claim. A claimant may submit a computer-generated report in substitution for forms DVTS-1 and DVTS-2 provided the format of the report and data fields contained within the report are identical to the claim forms included in these instructions. The claim forms provided with these instructions should be duplicated and used by the claimant to file estimated or reimbursement claims. The State Controller's Office will revise the manual and claim forms as necessary. In such instances, new replacement forms will be mailed to claimants.

**A. Form DVTS-2, Component/Activity Cost Detail**

This form is used to segregate the detailed costs by claim component. A separate form DVTS-2 must be completed for each cost component being claimed. Costs reported on this form must be supported as follows:

**(1) Salaries and Benefits**

Identify the employee(s) and/or show the classification of the employee(s) involved. Describe the mandated functions performed by each employee and specify the actual time spent, the productive hourly rate, and related fringe benefits.

Reimbursement of personnel services includes compensation paid for salaries, wages, and employee fringe benefits. Employee fringe benefits include regular compensation paid to an employee during periods of authorized absences (e.g., annual leave, sick leave) and the employer's contribution of social security, pension plans, insurance, and worker's compensation insurance. Fringe benefits are eligible for reimbursement when distributed equitably to all job activities which the employee performs.

Source documents required to be maintained by the claimant may include, but are not limited to, employee time records that show the employee's actual time spent on this mandate.

**(2) Supplies**

Only expenditures that can be identified as a direct cost of this mandate may be claimed. List the cost of materials consumed or expended specifically for the purpose of this mandate. The cost of materials and supplies that are not used exclusively for the mandate is limited to the pro rata portion used to comply with

this mandate. Purchases shall be claimed at the actual price after deducting cash discounts, rebates, and allowances received by the claimant. Supplies that are withdrawn from inventory shall be charged based on a recognized method of costing, consistently applied.

Source documents required to be maintained by the claimant may include, but are not limited to, invoices, receipts, purchase orders, and other documents providing evidence of the validity of the expenditures.

**(3) Contract Services**

Give the name(s) of the contractor(s) who performed the services. Describe the activities performed by each named contractor, actual time spent on this mandate, inclusive dates when services were performed, and itemize all costs for services performed. Attach consultant invoices with the claim.

Source documents required to be maintained by the claimant may include, but are not limited to, contracts, invoices, and other documents providing evidence of the validity of the expenditures.

**(4) Equipment**

Compensation for fixed asset costs are reimbursable utilizing the procedure provided in the Office and Management Budget Circular A-87 (OMB A-87). Example: Compensation for the use of equipment. The claimant may be compensated for the equipment use through a use allowance or depreciation. A use allowance may be computed at an annual rate not to exceed 6 2/3% of acquisition cost. This is reported and claimed through the agency's service-wide cost allocation plan under the cost element "Use Allowance." Where a depreciation method is followed, adequate property records must be maintained and any generally accepted method of computing depreciation may be used. However, the method of computing depreciation must be consistently applied for any specific class of assets for all affected programs.

List the cost of equipment acquired specifically for the purpose of this mandate. If the equipment is acquired for the subject state mandate, but is utilized in some way not directly related to the program, only the pro-rated portion of the equipment that is used for purposes of the program is reimbursable.

Source documents required to be maintained by the claimant may include, but are not limited to, invoices, receipts, purchase orders, and other documents providing evidence of the validity of the purchases.

**(5) Travel**

Travel expenses for mileage, per diem, lodging, and other employee entitlements are reimbursable in accordance with the rules of the local jurisdiction. Give the name(s) of the traveler(s), purpose of travel, inclusive travel dates, destination points, and costs.

Source documents required to be maintained by the claimant may include, but are not limited to, receipts, employee travel expense claims, and other documents providing evidence of the validity of the expenditures.

**(6) Training**

Only the cost of a reasonable number of employees attending the training is reimbursable. Give the class title, dates, location, and name(s) of the employee(s)

attending training associated with the mandate. Reimbursable costs include salaries and benefits for time spent, the registration fee, transportation, lodging, and per diem. Reimbursement for travel expenses, lodging, and per diem shall not exceed those rates which are applicable to state employees. Refer to the Appendix "State of California Travel Expense Guidelines."

Source documents may include, but are not limited to, employee travel expense claims, receipts, and other documents providing evidence of the training expenses.

For audit purposes, all supporting documents must be retained for a period of two years after the end of the calendar year in which the reimbursement claim was filed or last amended, whichever is later. When no funds were appropriated for the initial claim at the time the claim was filed, supporting documents must be retained for two years from the date of initial payment of the claim. Such documents shall be made available to the State Controller's Office on request.

**B. Form DVTS-1, Claim Summary**

This form is used to summarize direct costs by cost component and compute allowable indirect costs for the mandate. Direct costs summarized on this form are derived from form DVTS-2 and carried forward to form FAM-27.

Indirect costs may be computed as 10% of direct labor costs, excluding fringe benefits. If an indirect cost rate greater than 10% is used, include the Indirect Cost Rate Proposal (ICRP) with the claim. If more than one department is involved in the mandated program, each department must have its own ICRP.

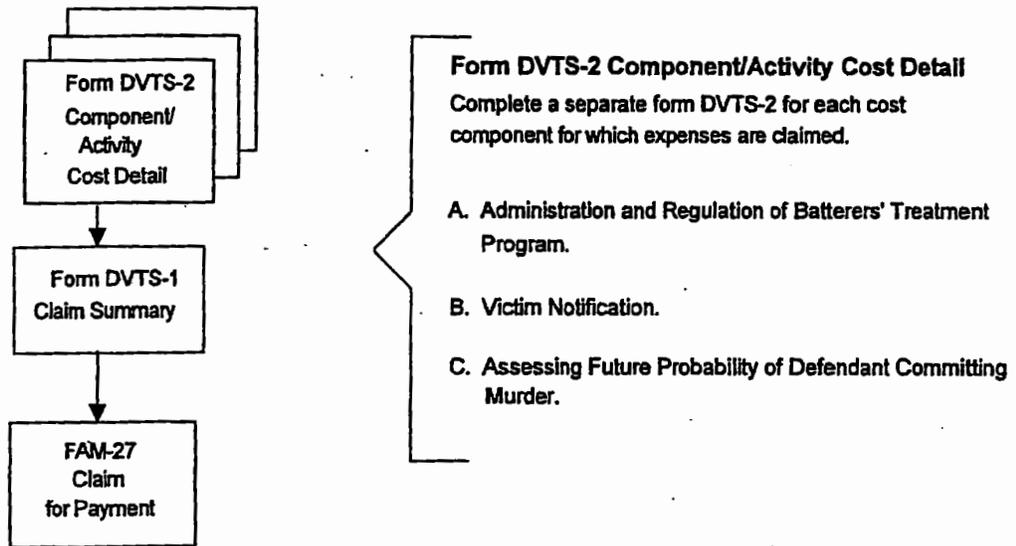
**C. Form FAM-27, Claim for Payment**

This form contains a certification that must be signed by an authorized representative of the local agency. All applicable information from form DVTS-1 must be carried forward to this form for the State Controller's Office to process the claim for payment.

**D. Cost Accounting Statistics**

The Commission on State Mandates requests that claimants send a copy of form DVTS-1 for each of the initial year's reimbursement claims by mail to the Commission on State Mandates, 1300 I Street, Suite 950, Sacramento, CA 95814, or by facsimile, (916) 445-0278. Although providing this information is not a condition of payment, claimants are encouraged to provide this information to enable the Commission to develop a statewide cost estimate and recommend an appropriation to the Legislature.

Illustration of Claim Forms



State Controller's Office

**CLAIM FOR PAYMENT**  
 Pursuant to Government Code Section 17561  
**DOMESTIC VIOLENCE TREATMENT SERVICES**  
**AUTHORIZATION AND CASE MANAGEMENT**

For State Controller Use Only

Program

(19) Program Number 00177  
 (20) Date Filed \_\_\_/\_\_\_/\_\_\_  
 (21) LRS Input \_\_\_/\_\_\_/\_\_\_

**177**

**Reimbursement Claim Data**

L  
A  
B  
E  
L  
  
H  
E  
R  
E

(01) Claimant Identification Number		(22) DVTS-1, (03)(a)	
(02) Claimant Name		(23) DVTS-1, (03)(b)	
County of Location		(24) DVTS-1, (04)(1)(f)	
Street Address or P.O. Box		(25) DVTS-1, (04)(2)(f)	
City		(26) DVTS-1, (04)(3)(f)	
State		(27) DVTS-1, (06)	
Zip Code		(28)	
Suite		(29)	
<b>Type of Claim</b>	<b>Estimated Claim</b>	<b>Reimbursement Claim</b>	
	(03) Estimated <input type="checkbox"/>	(09) Reimbursement <input type="checkbox"/>	
	(04) Combined <input type="checkbox"/>	(10) Combined <input type="checkbox"/>	
	(05) Amended <input type="checkbox"/>	(11) Amended <input type="checkbox"/>	
<b>Fiscal Year of Cost</b>	(06) 20___/20___	(12) 20___/20___	(30)
<b>Total Claimed Amount</b>	(07)	(13)	(31)
<b>Less: 10% Late Penalty, not to exceed \$1,000</b>		(14)	(32)
<b>Less: Prior Claim Payment Received</b>		(15)	(33)
<b>Net Claimed Amount</b>		(16)	(34)
<b>Due to Claimant</b>	(08)	(17)	(35)
<b>Due to State</b>		(18)	(36)

**(37) CERTIFICATION OF CLAIM**

In accordance with the provisions of Government Code § 17561, I certify that I am the officer authorized by the local agency to file claims with the State of California for costs mandated by Chapter 183, Statutes of 1992, and certify under penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1096, inclusive.

I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein; and such costs are for a new program or increased level of services of an existing program mandated by Chapter 183, Statutes of 1992.

The amounts for Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs for the mandated program of Chapter 183, Statutes of 1992, set forth on the attached statements.

Signature of Authorized Officer

Date

Type or Print Name

Title

(38) Name of Contact Person for Claim

Telephone Number ( ) - Ext.

E-Mail Address

<b>Program</b> <b>177</b>	<b>DOMESTIC VIOLENCE TREATMENT SERVICES  AUTHORIZATION AND CASE MANAGEMENT</b> <b>Certification Claim Form</b> <b>Instructions</b>	<b>FORM  FAM-27</b>
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- (01) Leave blank.
- (02) A set of mailing labels with the claimant's I.D. number and address was enclosed with the letter regarding the claiming instructions. The mailing labels are designed to speed processing and prevent common errors that delay payment. Affix a label in the space shown on form FAM-27. Cross out any errors and print the correct information on the label. Add any missing address items, except county of location and a person's name. If you did not receive labels, print or type your agency's mailing address.
- (03) If filing an original estimated claim, enter an "X" in the box on line (03) Estimated.
- (04) If filing an original estimated claim on behalf of districts within the county, enter an "X" in the box on line (04) Combined.
- (05) If filing an amended or combined claim, enter an "X" in the box on line (05) Amended. Leave boxes (03) and (04) blank.
- (06) Enter the fiscal year in which costs are to be incurred.
- (07) Enter the amount of estimated claim. If the estimate exceeds the previous year's actual costs by more than 10%, complete form DVTS-1 and enter the amount from line (11). If more than one form is completed due to multiple department involvement in this mandate, add line (11) of each form.
- (08) Enter the same amount as shown on line (07).
- (09) If filing an original reimbursement claim, enter an "X" in the box on line (09) Reimbursement.
- (10) If filing an original reimbursement claim on behalf of districts within the county, enter an "X" in the box on line (10) Combined.
- (11) If filing an amended or a combined claim on behalf of districts within the county, enter an "X" in the box on line (11) Amended.
- (12) Enter the fiscal year for which actual costs are being claimed. If actual costs for more than one fiscal year are being claimed, complete a separate form FAM-27 for each fiscal year.
- (13) Enter the amount of reimbursement claim from form DVTS-1, line (11). If more than one form is completed due to multiple department involvement in this mandate, add line (11) of each form.
- (14) Reimbursement claims must be filed by January 15 of the following fiscal year in which costs are incurred or the claims shall be reduced by a late penalty. Enter either the product of multiplying line (13) by the factor 0.10 (10% penalty) or \$1,000, whichever is less.
- (15) If filing a reimbursement claim and a claim was previously filed for the same fiscal year, enter the amount received for the claim. Otherwise, enter a zero.
- (16) Enter the result of subtracting line (14) and line (15) from line (13).
- (17) If line (16) Net Claimed Amount is positive, enter that amount on line (17) Due from State.
- (18) If line (16) Net Claimed Amount is negative, enter that amount in line (18) Due to State.
- (19) to (21) Leave blank.
- (22) to (36) Reimbursement Claim Data. Bring forward the cost information as specified on the left-hand column of lines (22) through (26) for the reimbursement claim, e.g., DVTS-1, (03)(a), means the information is located on form DVTS-1, block (03), line (a). Enter the information on the same line but in the right-hand column. Cost information should be rounded to the nearest dollar, i.e., no cents. Indirect costs percentage should be shown as a whole number and without the percent symbol, i.e., 35.19% should be shown as 35. Completion of this data block will expedite the payment process.
- (37) Read the statement "Certification of Claim." If it is true, the claim must be dated, signed by the agency's authorized officer, and must include the person's name and title, typed or printed. Claims cannot be paid unless accompanied by a signed certification.
- (38) Enter the name, telephone number, and e-mail address of the person to contact if additional information is required.

**SUBMIT A SIGNED, ORIGINAL FORM FAM-27 WITH ALL OTHER FORMS AND SUPPORTING DOCUMENTS (NO COPIES NECESSARY) TO:**

*Address, if delivered by U.S. Postal Service:*

OFFICE OF THE STATE CONTROLLER  
ATTN: Local Reimbursements Section  
Division of Accounting and Reporting  
P.O. Box 942850  
Sacramento, CA 94250

*Address, if delivered by other delivery service:*

OFFICE OF THE STATE CONTROLLER  
ATTN: Local Reimbursements Section  
Division of Accounting and Reporting  
3301 C Street, Suite 500  
Sacramento, CA 95816

MANDATED COSTS DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT CLAIM SUMMARY						FORM DVTS-1
(01) Claimant	(02) Type of Claim Reimbursement <input type="checkbox"/> Estimated <input type="checkbox"/>				Fiscal Year 19__/19__	
(03) (a) Number of vendor applications reviewed during the fiscal year of claim						
(b) Number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim						
<b>Direct Costs</b>						
(04) Reimbursable Components	(a) Salaries	(b) Benefits	(c) Services and Supplies	(d) Training and Travel	(e) Fixed Assets	(f) Total
1. Administration and Regulation of Batterers' Treatment Programs						
2. Victim Notification						
3. Assessing Future Probability of Defendant Committing Murder						
(05) Total Direct Costs						
<b>Indirect Costs</b>						
(06) Indirect Cost Rate	[From ICRP]					%
(07) Total Indirect Costs	[Line (06) x line (05)(a)] or [line (06) x {line (05)(a) + line (05)(b)}]					
(08) Total Direct and Indirect Costs	[Line (05)(f) + line (07)]					
<b>Cost Reduction</b>						
(09) Less: Offsetting Savings, if applicable						
(10) Less: Amount Received from Penal Code § 1203.097(c)(5)(B) and Other Applicable						
(11) Total Claimed Amount	[Line (08) - {Line (09) + Line (10)}]					

<b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>CLAIM SUMMARY</b> <b>Instructions</b>	<b>FORM</b> <b>DVTS-1</b>
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- (01) Enter the name of the claimant.
- (02) Type of Claim. Check a box, Reimbursement or Estimated, to identify the type of claim being filed. Enter the fiscal year for which costs were incurred or are to be incurred.
- Form DVTS-1 must be filed for a reimbursement claim. Do not complete form DVTS-1 if you are filing an estimated claim and the estimate does not exceed the previous fiscal year's actual costs by more than 10%. Simply enter the amount of the estimated claim on form FAM-27, line (07). However, if the estimated claim exceeds the previous fiscal year's actual costs by more than 10%, form DVTS-1 must be completed and a statement attached explaining the increased costs. Without this information the high estimated claim will automatically be reduced to 110% of the previous fiscal year's actual costs.
- (03) (a) Enter the number of vendor applications that were reviewed during the fiscal year of claim.  
(b) Enter the number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim.
- (04) Reimbursable Components. For each reimbursable component, enter the totals from form DVTS-2, line (05), columns (d) through (h) to form DVTS-1, block (04) columns (a) through (e) in the appropriate row. Total the rows.
- (05) Total Direct Costs. Total columns (a) through (f).
- (06) Indirect Cost Rate. Indirect costs may be computed as 10% of direct labor costs, excluding fringe benefits. If an indirect cost rate of greater than 10% is used, include the Indirect Cost Rate Proposal (ICRP) with the claim. If more than one department is reporting costs, each must have their own ICRP.
- (07) Total Indirect Costs. Multiply Total Salaries, line (05)(a), by the Indirect Cost Rate, line (06). If both salaries and benefits were used in the distribution base for the computation of the indirect cost rate, then multiply the sum of Total Salaries, line (05)(a), and Total Benefits, line (05)(b), by the Indirect Cost Rate, line (06).
- (08) Total Direct and Indirect Costs. Enter the sum of Total Direct Costs, line (05)(f), and Total Indirect Costs, line (07).
- (09) Less: Offsetting Savings, if applicable. Enter the total savings experienced by the claimant as a direct result of this mandate. Submit a detailed schedule of savings with the claim.
- (10) Less: Other Reimbursements, if applicable. Enter the amount of other reimbursements received from Penal Code Section 1203.097(c)(5)(B), including but not limited to, service fees collected, federal funds, and other state funds, which reimbursed any portion of the mandated cost program. Submit a schedule detailing the reimbursement sources and amounts.
- (11) Total Claimed Amount. Subtract the sum of Offsetting Savings, line (09), and Other Reimbursements, line (10), from Total Direct and Indirect Costs, line (08). Enter the remainder on this line and carry the amount forward to form FAM-27, line (13) for the Reimbursement Claim.

<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b>	<b>FORM</b> <b>DVTS-2</b>
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(01) Claimant	(02) Fiscal Year Costs Were Incurred
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(03) Reimbursable Components: Check **only** one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs

Victim Notification  Assessing Future Probability of Defendant Committing Murder

(04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment

(05) Total <input type="checkbox"/> Subtotal <input type="checkbox"/> Page: _____ of _____							
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<b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b> Instructions	<b>FORM</b> <b>DVTS-2</b>
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- (01) Enter the name of the claimant.
- (02) Enter the fiscal year in which costs were incurred.
- (03) Reimbursable Components. Check the box which indicates the cost component being claimed. Check only one box per form. A separate form DVTS-2 shall be prepared for each component which applies.
- (04) Description of Expenses. The following table identifies the type of information required to support reimbursable costs. To detail costs for the component activity box "checked" in line (03), enter the employee's name, position title, a brief description of the activities performed, actual time spent by each employee, productive hourly rates, fringe benefits, supplies used, contract services, training and travel expenses. The descriptions required in column (4)(a) must be of sufficient detail to explain the cost of activities or items being claimed. For audit purposes, all supporting documents must be retained by the claimant for a period of not less than two years after the end of the calendar year in which the reimbursement claim was filed or last amended, whichever is later. When no funds are appropriated for the initial claim at the time the claim is filed, supporting documents must be retained for two years from the date of initial payment of the claim. Such documents shall be made available to the State Controller's Office on request.

Object/ Subobject Accounts	Columns							Submit these supporting documents with the claim	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)		(h)
Salaries	Employee Name	Hourly Rate	Hours Worked	Salaries = Hourly Rate x Hours					
Benefits	Title	Benefit Rate			Benefits = Benefit Rate x Salaries				
Services and Supplies	Description of Supplies Used	Unit Cost	Quantity Used			Cost = Unit Cost x Quantity Used			
Contracted Services	Name of Contractor Specific Tasks Performed	Hourly Rate	Hours Worked Inclusive Dates of Service			Itemized Cost of Services Performed			Invoices
Travel and Training	Purpose of Trip Name and Title	Per Diem Rate Mileage Rate	Days Miles Transportation Mode			Rate x Days or Miles Total Transportation Cost			
Training	Employee Name & Title Name of Class		Dates Attended			Registration Fee			
Equipment	Description of Equipment Purchased Equipment ID	Unit Cost	Quantity Used				Itemized Cost of Equipment		Invoice

(05) Total line (04), columns (d), (e), (f), (g), and (h) and enter the sum on this line. Check the appropriate box to indicate if the amount is a total or subtotal. If more than one form is needed for the component/activity, number each page. Enter totals from line (05), columns (d), (e), (f), (g), and (h) to form DVTS-1, block (04), columns (a), (b), (c), (d), and (e) in the appropriate row.

# EXHIBIT E

<p><b>CLAIM FOR PAYMENT</b> Pursuant to Government Code Section 17561 <b>DOMESTIC VIOLENCE TREATMENT SERVICES</b> <b>AUTHORIZATION AND CASE MANAGEMENT</b></p>	<p><small>For State Controller Use Only</small></p> <p>(19) Program Number 00177 (20) Date File _____/_____/_____ (21) LRS Input _____/_____/_____</p>
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<p>(01) Claimant Identification Number 9943</p> <p>AUDITOR-CONTROLLER COUNTY OF SANTA CLARA 70 W HEDDING ST., EAST WING SAN JOSE CA 95110</p> <p>City _____ State _____ Zip Code _____</p>	<p>Reimbursement Claim Data</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>(22) DVTS-1, (03)(a)</td> <td style="text-align: center;">15</td> </tr> <tr> <td>(23) DVTS-1, (03)(b)</td> <td style="text-align: center;">3742</td> </tr> <tr> <td>(24) DVTS-1, (04)(1)(f)</td> <td style="text-align: center;">127,102</td> </tr> <tr> <td>(25) DVTS-1, (04)(2)(f)</td> <td style="text-align: center;">101,592</td> </tr> <tr> <td>(26) DVTS-1, (04)(3)(f)</td> <td style="text-align: center;">120,892</td> </tr> </table>	(22) DVTS-1, (03)(a)	15	(23) DVTS-1, (03)(b)	3742	(24) DVTS-1, (04)(1)(f)	127,102	(25) DVTS-1, (04)(2)(f)	101,592	(26) DVTS-1, (04)(3)(f)	120,892
(22) DVTS-1, (03)(a)	15										
(23) DVTS-1, (03)(b)	3742										
(24) DVTS-1, (04)(1)(f)	127,102										
(25) DVTS-1, (04)(2)(f)	101,592										
(26) DVTS-1, (04)(3)(f)	120,892										

Type of Claim	Estimated Claim	Reimbursement Claim	(27) DVTS-1, (06)
	(03) Estimated <input checked="" type="checkbox"/>	(09) Reimbursement <input checked="" type="checkbox"/>	99.67%
	(04) Combined <input type="checkbox"/>	(10) Combined <input type="checkbox"/>	(28)
	(05) Amended <input type="checkbox"/>	(11) Amended <input type="checkbox"/>	(29)
Fiscal Year of Cost	(06) 1999/ <del>19</del> 2000	(12) 1998/1999	(30)
Total Claimed Amount	(07) 650,000	(13) 698,015	(31)
ss: 10% Late Penalty, not to exceed \$1,000		(14)	(32)
Less: Estimated Claim Payment Received		(15) 560,000	(33)
Net Claimed Amount		(16) 138,015	(34)
Due from State	(08) 650,000	(17) 138,015	(35)
Due to State		(18) - 0 -	(36)

**(38) CERTIFICATION OF CLAIM**  
In accordance with the provisions of Government Code § 17561, I certify that I am the person authorized by the local agency to file claims with the State of California for costs mandated by Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, Chapter 641, Statutes of 1995, and certify under penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1096, inclusive.

I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein; and such costs are for a new program or increased level of services of an existing program mandated by Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995.

The amounts for Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs for the mandated program of Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, set forth on the attached statements.

Signature of Authorized Representative	Date
	1/18/00
JOHN CAVALLI	CHIEF PROBATION OFFICER
Type or Print Name	Title

(39) Name of Contact Person for Claim	Telephone Number
ANDY BALANCE	(408) 435 2265 Ext. _____

<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>CLAIM SUMMARY</b>	FORM DVTS-1
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(01) Claimant SANTA CLARA COUNTY PROBATION DEPARTMENT	(02) Type of Claim Reimbursement <input checked="" type="checkbox"/> Estimated <input type="checkbox"/>	Fiscal Year 19_98/_99_
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(03) (a) Number of vendor applications reviewed during the fiscal year of claim	15
(b) Number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim	3742

**Direct Costs**

(04) Reimbursable Components	(a) Salaries	(b) Benefits	(c) Services and Supplies	(d) Training and Travel	(e) Fixed Assets	(f) Total
1. Administration and Regulation of Batterers' Treatment Programs	96,734	30,368				127,102
2. Victim Notification	77,320	24,273				101,592
3. Assessing Future Probability of Defendant Committing Murder	92,008	28,884				120,892
(05) Total Direct Costs	266,062	83,524				349,586



**Indirect Costs**

(06) Indirect Cost Rate	[From ICRP]	99.67%
(07) Total Indirect Costs	[Line (06) x line (05)(a)] or line [(06) x line {(05)(a) + line (05)(b)}]	348,429
(08) Total Direct and Indirect Costs	[Line (05)(f) + line (07)]	698,015



**Cost Reduction**

(09) Less: Offsetting Savings, if applicable	
(10) Less: Amount Received from Penal Code 1203.097 (c)(5)(B) and other Applicable Reimbursements	
(11) Total Claimed Amount	[Line (08) - (Line (09) + Line (10))] 698,015

**MANDATED COSTS**  
**DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT**  
**COMPONENT/ACTIVITY COST DETAIL**

FORM  
DVTS-2

01) Claimant **SANTA CLARA COUNTY PROBATION DEPARTMENT** (02) Fiscal Year Costs Were Incurred **1998 - 1999**

03) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs

Victim Notification  Assessing Future Probability of Defendant Committing Murder

04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process new applications and review application corrections. Conduct onsite administrative and group reviews. - Provide progress report evaluations, editing standards and policy reviews. - Prepare meeting agendas, minutes and reports for Certification meetings. - Prepare notification of approval, renewal, suspension, and or revocations. - Respond to in-house and public inquiries and miscellaneous correspondence. - Meet and confer with other criminal justice agencies and victim advocacy programs. - Staff training regarding administration and regulation of batterers programs. - Provide resources to victims in the form of handbooks.	35.53	2,723	96,734	30,368			

(05) Total  Subtotal  Page:  1  of  1  96,734    30,368    0    0    0

MANDATED COSTS  
DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT  
COMPONENT/ACTIVITY COST DETAIL

FORM  
DVTS-2

(01) Claimant **SANTA CLARA COUNTY PROBATION DEPARTMENT** (02) Fiscal Year Costs Were Incurred **1998 - 1999**

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Administration and Regulation of Batterers' Treatment Programs

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<p>Various Deputy Probation Officers</p> <ul style="list-style-type: none"> <li>- Process victim notifications regarding the requirements for the defendant's participation in a batterers program.</li> <li>- Process notifications to victims regarding available victim resources.</li> <li>- Provide information to the victims that attendance to any of the programs does not guarantee that an abuser will no longer be violent.</li> </ul>	35.53	2,176	77,320	24,273			

(05) Total  Subtotal  Page:  1  of  1  77,320 24,273 0 0 0

MANDATED COSTS  
DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT  
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 Administration and Regulation of Batterers' Treatment Programs  
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(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Assist in evaluating and selection of a homicidal risk assessment instrument. - Assess or evaluate defendants for the possibility of committing murder in the future. - Perform interviews and investigate dependants.	35.53	2,590	92,008	28,884			

(05) Total  Subtotal  Page:  1  of  1  92,008 28,884 0 0 0

**Santa Clara County**  
**Domestic Violence Treatment Services Program**  
**Audit Period from July 1, 1998 through June 30, 2001**  
**Audit ID# S03-MCC-0002**

**Analysis of Indirect Cost Rate Calculation 1998-99**

<u>Description of Cost</u>	<u>Claimed Indirect Costs</u>	<u>Allowable per Audit</u>	<u>Adjustments</u>
Salaries and Benefits	4,558,562	4,558,562	-
Services and Supplies	1,221,173	1,221,173	-
General Admin Cost Allocation	1,693,743	1,693,743	-
Cost Plan Costs Allocation	<u>2,904,819</u>	<u>2,699,253</u>	<u>205,566</u>
<b>Total Indirect Costs</b>	<b><u>10,378,297</u></b>	<b><u>10,172,731</u></b>	<b><u>205,566</u></b>
Claimed Direct Labor	10,412,769	10,412,769	-
Indirect cost rate	99.67%	97.69%	1.97%

04/27/07

Hi Fernyn,

Enclosed are the data for ICRP calculation for each of the audited years - FY99-FY01.

Thanks.  
HCA

**INDIRECT COST RATE PROPOSAL**  
**PROBATION DEPARTMENT**  
**ADULT INVESTIGATION & ADULT SUPERVISION**  
**CHAPTERS 183192, 184192, 28194, and 641195 - DOMESTIC VIOLENCE TREATMENT SERVICES**  
**AUTHORIZATION AND CASE MANAGEMENT**  
 (FY 99 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

DESCRIPTION OF COST		TOTAL COST	EXCLUDABLE UNALLOWABLE COST	ALLOWABLE INDIRECT COST	ALLOWABLE DIRECT COST
<b>SALARIES AND BENEFITS</b>					
Salaries & Wages	1185,1187,1193,1195	11,253,880		3,357,447	7,896,433
Overtime	1191, 1391	3,509	0	1,691	1,818
Benefits	1183,1192,1196,1197,1198,1199	3,713,942		1,199,424	2,514,518
<b>SUBTOTAL</b>		<b>14,971,331</b>	<b>0</b>	<b>4,558,562</b>	<b>10,412,769</b>
<b>SERVICES &amp; SUPPLIES</b>					
Telephone Services	2124,2125,2126	121,098		121,098	
Maintenance-Equip & Struct Imp.	2211,2225	22,295		4,258	18,037
Rents & Leases - Equip & Office	2451,2472	852,883		852,883	0
Small Tools & Inst.	2501	36,454		13,337	23,117
Printing & Reproduction	2585,2586	17,171		17,171	
Automobile Mileage	2752	12,704		12,704	
Garage Auto Services	2756	124,345		124,345	
Others (all other obj. 2)	all other obj. 2	75,377		75,377	0
<b>SUBTOTAL</b>		<b>1,262,327</b>	<b>0</b>	<b>1,221,173</b>	<b>41,154</b>
<b>TOTAL</b>		<b>16,233,658</b>	<b>0</b>	<b>5,779,735</b>	<b>10,453,923</b>
GENERAL ADMIN COST ALLOC		1,693,743		1,693,743	
COST PLAN COSTS ALLOCATION		2,904,819		2,904,819	
UNALLOWABLE COSTS		0	0		
<b>TOTAL COSTS</b>		<b>20,832,220</b>	<b>0</b>	<b>10,378,297</b>	<b>10,453,923</b>
<b>INDIRECT COST RATE</b>				<b>99.67%</b>	

**PROBATION DEPARTMENT**

(FY 99 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

**SALARIES AND BENEFITS**

Class	Title	1185	1187	TOTAL	Allowable Indirect Costs	Allowable Direct Costs
<b><u>ADMIN &amp; SUPPORT</u></b>						
	TOTAL	1,947,662	158,269	2,105,931	2,105,931	
	Premium Pay (1193,1195,1391)			18,738	18,738	
1191	Overtime			1,691	1,691	
	Benefits(1183,1192,1196, 1197,1198,1199)			846,602	846,602	
	<b>SUBTOTAL</b>			<b>2,972,962</b>		
<b><u>ADULT INVESTIGATION</u></b>						
X44	Probation Manager	81,695		81,695	81,695	
X48	Supv Probation Officer	504,516		504,516	504,516	
X50	Deputy Probation Officer	3,431,332	60,301	3,491,633		3,491,633
W85	Deputy Probation Officer	57,695		57,695		57,695
Q96	Training Instructor	96,250	6,357	102,607		102,607
E19	Prob Community Worker	31,691	16,856	48,547		48,547
	<b>SUBTOTAL</b>	<b>4,203,179</b>	<b>83,514</b>	<b>4,286,693</b>		
	Premium Pay (1193,1195,1391)			11,632		11,632
1191	Overtime			-		
	Benefits(1183,1192,1196, 1197,1198,1199)			1,334,549	167,774	1,166,775
	<b>SUBTOTAL</b>			<b>5,632,874</b>		
<b><u>ADULT SUPERVISION</u></b>						
E07	Community Worker		13,686	13,686		13,686
E19	Prob Comm Worker	48,336		48,336		48,336
Q94	Probation Comm Worker	40,224		40,224		40,224
W82	Supv Probation Officer	1,977		1,977		1,977
W85	Dep Probation Officer	83,208		83,208		83,208
X27	Sr Group Counselor	51,292		51,292	51,292	
X44	Probation Manager	81,057		81,057	81,057	
X48	Supv Probation Officer	514,218		514,218	514,218	
X50	Deputy Probation Officer	3,901,778	76,088	3,977,866		3,977,866
	<b>SUBTOTAL</b>	<b>4,722,090</b>	<b>89,774</b>	<b>4,811,864</b>		
	Premium Pay (1193,1195,1391)			19,022		19,022
1191	Overtime			1,818		1,818
	Benefits(1183,1192,1196, 1197,1198,1199)			1,532,791	185,048	1,347,743
	<b>SUBTOTAL</b>			<b>6,365,495</b>		
<b>TOTAL (Salaries &amp; Benefits)</b>				<b>14,971,331</b>		
<b>TOTAL (Direct &amp; Indirect Costs)</b>					<b>4,558,562</b>	<b>10,412,769</b>

**ADULT PROBATION  
FISCAL YEAR 1999**

ESBJ	DESCRIPTION	3712 Adm/Supr	3724 Investigation	3726 Supervision	TOTAL
1183	RETIREE MEDICAL EXPENSE	95,941	124,005	141,480	361,426
1185	PERMANENT EMPLOYEES	1,947,662	4,203,179	4,722,090	10,872,931
1187	TEMPORARY HELP	158,269	83,514	89,774	331,557
1191	OVERTIME	1,691		1,818	3,509
1192	UNEMPLOYMENT INSURANCE	2,776	5,613	6,313	14,702
1193	PREMIUM PAY	18,738	11,632	13,767	44,137
1195	CALL DUTY			5,255	5,255
1196	HEALTH INSURANCE	291,925	372,245	428,494	1,092,664
1197	FICA-EMPLOYER SHARE	155,473	35,609	44,434	235,516
1198	PERS-RETIREMENT	253,062	701,220	804,208	1,758,490
1199	WORKERS' COMPENSATION	47,425	95,857	107,862	251,144
1391	HOLIDAY OVERTIME				0
<b>Object 1</b>		<b>2,972,962</b>	<b>5,632,874</b>	<b>6,365,495</b>	<b>14,971,331</b>
2100	TRAINING-SERV & SUPPLIES				0
2111	CLOTHING & PERS. EXPENSE	31	148	137	316
2112	SAFETY SHOES				0
2124	COMS TECHNICAL SERVICE				0
2125	INTERNAL TELEPHONE SRVCS	22,352	2,451		24,803
2126	COMM & TELEPHONE SRVCS	89,743	745	5,807	96,295
2161	HOUSEHOLD EXPENSE		437		437
2206	INTERPRETER FEES	94	4,092	958	5,144
2211	MAINTENANCE - EQUIPMENT	3,316	127	17,910	21,353
2225	MAINT-STRUCT IMP. & GRD.	942			942
2251	MED, DENTAL & LAB SUPPLIES	29			29
2285	MISCELLANEOUS EXPENSE	7,107	965	3,052	11,124
2301	OFFICE EXPENSE	18,406	698	581	19,685
2322	PROF AND SPECIAL SERVICE	7,200	300		7,500
2331	DATA PROCESSING SERVICES			8,684	8,684
2401	PUBLICITY'S & LEGAL NOTICES	300	4,625		4,925
2451	RENTS & LEASES - EQUIPMENT	49,416	3,475		52,891
2472	OFFICE RENTS	799,992			799,992
2501	SMALL TOOLS & INSTRUM.	13,337	351	22,766	36,454
2547	EDUCATION EXPENSE			1,160	1,160
2574	MEMBERSHIP DUES			75	75
2585	EXTERNAL PRINTING	30			30
2586	PRINTING & REPRODUCTION	14,141		3,000	17,141
2624	SPECIAL DEPT. EXPENSE	1,265	265	595	2,125
2751	TRANSPORTATION & TRAVEL	986	1,375	2,331	4,692
2752	AUTOMOBILE MILEAGE	3,108	7,082	2,514	12,704
2756	GARAGE AUTO SERVICES	16,863	17,554	89,928	124,345
2963	PC SOFTWARE		6,766		6,766
2991	EXTERNAL POSTAGE	25			25
2993	TRANSPORTATION		2,690		2,690
<b>Object 2</b>		<b>1,048,683</b>	<b>54,146</b>	<b>159,498</b>	<b>1,262,327</b>
7300	REIMB-PROF & SPECIAL SRVCS	0	(30,611)	(135,552)	(166,163)
<b>Object 7</b>		<b>0</b>	<b>(30,611)</b>	<b>(135,552)</b>	<b>(166,163)</b>
	<b>TOTAL</b>	<b>4,021,645</b>	<b>5,656,409</b>	<b>6,389,441</b>	<b>16,067,495</b>

PROBATION DEPARTMENT  
FY99 PROGRAM COST ANALYSIS

PROGRAM	SALARY & BENEFIT	SRVCS & SUPPLS	ADM/SUP ALLOC	GEN ADM ALLOC	REIMB	VAC/SICK ACCRUAL	COST PLAN ALLOC	TOTAL COST	UNALLOWABLE	REVENUE	NET COST	BED/MAN DAYS	COST PER DAY
JUVENILE DIVISION	12,039,810	897,612	88,794	3,323,842			1,485,200	17,835,258		403,876	17,431,382	105,978	\$164.48
JUVENILE HALL	2,603,719	402,158	155,890	854,447			310,094	4,326,308		188,940	4,137,368	33,076	\$125.09
JAMES RANCH	2,450,401	368,054	154,887	830,944			283,326	4,087,612		91,534	3,996,078	32,115	\$124.43
HOLDEN RANCH	1,639,368	219,676	89,676	423,640			174,198	2,546,558		66,515	2,480,043	14,263	\$173.88
WRIGHT CENTER	4,893,376	195,239	711,647	666,421			441,181	6,907,864		2,289	6,905,575		
JUVENILE SERVICES	5,082,590	2,312,575	726,878	991,788			450,623	9,564,454		1,483,140	8,081,314		
COMMUNITY SERVICES	93,211	11,704	0	523,440			45,084	673,439		20,410	653,029	65,984	\$7.42
Traffic	268,003	107,347	38,328	52,297			23,761	489,737			489,737	35,775	\$6.00
EMP/VMP	134,002	23,426	19,164	26,148			11,881	214,620			214,620		
CRP													
TOTAL JUVENILE DIVISION	29,204,480	4,537,791	1,985,264	7,692,967	0	0	3,225,348	46,645,850	0	2,256,704	44,389,146		
ADULT DIVISION													
ADULT INVESTIGATION	4,840,872	48,605	1,831,320	574,562	(30,611)		431,281	7,696,029		81,679	7,614,350		
Adult Screening	792,002	5,541	91,162	91,200			68,457	1,048,362			1,048,362		
ADULT SUPERVISION	6,365,495	159,498	1,901,247	1,027,981	(135,552)		2,405,081	11,723,750			11,723,750		
WORK FURLOUGH PROGRAM	1,710,506	396,488	135,039	239,501			427,784	2,909,319			2,909,319	83,492	\$34.85
Women's Residential Center	1,033,374	208,890	148,721	159,668			285,190	1,835,842			1,835,842	16,757	\$109.56
TOTAL ADULT DIVISION	14,742,248	819,022	4,107,489	2,092,912	(166,163)	0	3,617,793	25,213,302	0	81,679	25,131,623		
TOTAL OTHER			59,548	1,953,456			(1,755,215)	257,789		0	257,789		
TOTAL PROBATION DEPT	43,946,728	5,356,813	6,152,301	11,739,335	(166,163)	0	5,087,926	72,116,941	0	2,338,383	69,778,558		

PROBATION DEPARTMENT  
COST PLAN COSTS ALLOCATION (FY99) Revised

DESCRIPTION	COST PLAN	UNL.	TRAF	7.0	194.5	34.0	34.0	21.0	66.5	75.5	35.0	73.0	91.0	ADJUSTMENT	TOTAL
BUILDING USE	326,432	0	5,088	141,387	24,716	24,716	15,265	49,795	54,883	1,861	3,882	4,839			633.5
EQUIPMENT USE	63,529	0	702	19,505	3,410	3,410	2,106	6,869	7,571	3,510	7,321	9,126		32,985	63.529
SPACE RENTAL	32,985	0	0	10	2	2	1	3	4	2	4	5			32.985
INTERNAL AUDIT	32	0	0	0	0	0	0	0	0	0	0	0			32
COUNTY EXECUTIVE	70,749	0	782	21,722	3,797	3,797	2,345	7,660	8,432	3,909	8,153	10,163			70,749
CONTROLLER I	211,079	0	2,332	64,806	11,329	11,329	6,997	22,824	25,166	11,662	24,323	30,321			211,079
CONTROLLER II	3,293	0	36	1,011	177	177	109	356	392	182	379	473			3,293
CONTROLLER III	23,081	0	255	7,086	1,239	1,239	765	2,496	2,751	1,275	2,660	3,316			23,081
PURCHASING	58,413	0	645	17,934	3,135	3,135	1,936	6,316	6,962	3,227	6,731	8,391			58,413
PERSONNEL	290,006	0	3,204	89,039	15,565	15,565	9,613	31,398	34,563	16,022	33,418	41,658			290,006
LABOR RELATIONS	87,116	0	963	26,747	4,676	4,676	2,888	9,420	10,382	4,813	10,039	12,514			87,116
TRAINING/STAFF DEV	46,330	0	512	14,224	2,487	2,487	1,536	5,010	5,522	2,560	5,339	6,655			46,330
GSA-MAINTENANCE	867,719	0	348,277	108,050	108,050	108,050	71,683	25,876	34,968	152,458	9,179	9,179			867,719
GSA-CUSTODIAL & GRD	243,467	0	97,720	30,317	30,317	30,317	20,113	7,260	9,811	42,777	2,575	2,575			243,467
GSA-UTILITIES	852,610	0	342,212	106,168	106,168	106,168	70,435	25,425	34,359	149,804	9,019	9,019			852,610
GSA-EMERGENCY	4,661	0	52	1,431	250	250	155	504	555	258	537	670			4,661
GSA-CAPITAL PROJECTS	159,846	0	1,766	49,077	8,579	8,579	5,299	17,284	19,050	8,831	18,420	22,961			159,846
GSA-OTHER SERVICES	41,760	0	461	12,821	2,241	2,241	1,384	4,515	4,977	2,307	4,812	5,999			41,760
BUDGET & ANALYSIS	73,189	0	809	22,471	3,928	3,928	2,426	7,914	8,723	4,044	8,434	10,513			73,189
CRIMINAL JUSTICE	462,790	0	266	7,388	1,292	1,292	798	2,602	2,868	81,395	169,767	211,628			462,790
COUNTY COUNSEL	24,064	0	232,159	90,978	64,250	64,250	38,887	3,225	3,555	510,411	78,714	1,877,600			24,064
REVENUE & COLLECTION	2,893,000	0	330	9,158	1,601	1,601	989	3,225	3,555	1,648	3,437	4,285			2,893,000
OTHER CENTRAL SRVCS	29,827	0	0	0	0	0	0	0	0	0	0	0			29,827
ESA-DEPT OVRHDD	6,865,978	0	18,204	1,526,186	423,934	397,206	255,732	236,703	275,483	1,004,286	409,915	2,285,345			6,865,979
TOTAL ALLOCATED	6,865,978	0	18,204	1,526,186	423,934	397,206	255,732	236,703	275,483	1,004,286	409,915	2,285,345		32,985	6,865,979
PV ADJ - OVERPAYMENT	(1,788,200)													0	0
PERS CREDIT														(1,788,200)	(1,788,200)
NET ALLOCATED	5,077,778	0	18,204	1,526,186	423,934	397,206	255,732	236,703	275,483	1,004,286	409,915	2,285,345		(1,755,215)	5,077,779
ROLL FORWARD	10,146	0	27	2,266	629	590	380	351	409	1,491	609	3,393			10,146
PROPOSED COSTS	5,087,924	0	18,231	1,528,452	424,563	397,796	256,112	237,055	275,892	1,005,777	410,524	2,288,739		(1,755,215)	5,087,925

2699, 283

Allocation of GSA Charges  
Based on Building Sq. Footage

Building Address	Description	Sq.Foot	Used By	Index	Allocation	Comments
840 Guadalupe Parkway	Juv. Detention Center 1st Floor	44,000	JH	3706		
840 Guadalupe Parkway	Juv. Detention Center 2nd Floor	5,000	JH	3706		
840 Guadalupe Parkway	Kitchen	8,700	JH	3706		
840 Guadalupe Parkway	Osborn School	9,300	JH	3706		
840 Guadalupe Parkway	JH. 1st Floor	11,000	JH	3706		
840 Guadalupe Parkway	JH. 2nd Floor	11,600	JH	3706		
840 Guadalupe Parkway	Gymnasium	10,000	JH	3706		
	Total JH	<u>99,600</u>			36.437%	Allocated to JH
840 Guadalupe Parkway	Probation Bldg. 2nd Floor	7,400	JS	3702	2.707%	Allocated to Juv.Serv
840 Guadalupe Parkway	Probation Bldg. 3rd Floor	10,000	CS	3708	3.658%	Allocated to Com. Serv.
840 Guadalupe Parkway	Probation Bldg. 1st Floor	4,800	Admn	3720		
840 Guadalupe Parkway	Probation Bldg. 4th Floor	10,000	Admn	3720		
840 Guadalupe Parkway	Lower Level	10,400	Admn	3720		
		<u>25,200</u>			9.219%	Admn. Allocated to all.
19050 Malaguerra	James Ranch	30,900	JR	3714	11.304%	allocated to James Ranch
19050 Malaguerra	Holden Ranch	30,900	HR	3716	11.304%	Alloc. To Holden
295 Bernal Ave, W.	Wright Center	20,500	WC	3718	7.500%	alloc. To Wright
245 George St.	Women's Residential Center	10,400	WRC	3439	3.805%	alloc. To WRC
590 Middlefield	Men's Work Furlough	33,200	MWF	3710	12.146%	alloc. To MWF
270 Grant St. 3rd Floor	North County Office	3,430	Adult			
80 Highland Ave.		700	Adult			
12425 Monterey Rd.	South County Office	1,120	Adult			
		<u>5,250</u>			1.921%	0.95% to Adult Inv. 3724 0.95% to Adult Supv. 3726
	Tot. Sq.Ft. for County owned bldg occupied by Probation Dept.	<b>273,350</b>			100.000%	

PROBATION DEPARTMENT  
COST PLAN COSTS ALLOCATION (FY99)

DESCRIPTION	COST PLAN	SMALL	TRAF	194.5	34.0	34.0	21.0	68.5	75.5	35.0	73.0	91.0	ADJUSTMENT	TOTAL
BUILDING USE	326,432	0	5,088	141,387	24,716	24,716	15,265	49,795	54,863	1,861	3,882	4,839		326,432
EQUIPMENT USE	63,529	0	702	19,505	3,410	3,410	2,106	6,889	7,571	3,510	7,321	9,126		63,529
SPACE RENTAL	32,985												32,985	32,985
INTERNAL AUDIT	32			10	2	2	1	3	4	2	4	5		32
COUNTY EXECUTIVE	70,749	0	782	21,722	3,797	3,797	2,345	7,650	8,432	3,909	8,153	10,163		70,749
CONTROLLER I	211,079	0	2,332	64,806	11,329	11,329	6,997	22,824	25,156	11,662	24,323	30,321		211,079
CONTROLLER II	3,293	0	36	1,011	177	177	109	356	392	182	379	473		3,293
CONTROLLER III	23,081	0	255	7,086	1,239	1,239	765	2,486	2,751	1,275	2,660	3,316		23,081
PURCHASING	58,413	0	645	17,934	3,135	3,135	1,936	6,316	6,962	3,227	6,731	8,391		58,413
PERSONNEL	290,006	0	3,204	89,039	15,565	15,565	9,613	31,358	34,563	16,022	33,418	41,658		290,006
LABOR RELATIONS	87,116	0	963	26,747	4,676	4,676	2,888	9,420	10,382	4,813	10,039	12,514		87,116
TRAINING/STAFF DEV	46,330	0	512	14,224	2,487	2,487	1,536	5,010	5,522	2,560	5,339	6,655		46,330
GSA-MAINTENANCE	867,719	0	9,588	266,411	46,571	46,571	28,764	93,826	103,414	47,940	99,990	124,645		867,719
GSA-CUSTODIAL & GRD	243,467	0	2,690	74,750	13,067	13,067	8,071	26,326	29,016	13,451	28,055	34,973		243,467
GSA-UTILITIES	852,610	0	9,421	261,772	45,760	45,760	28,263	92,192	101,613	47,106	98,249	122,474		852,610
GSA-EMERGENCY	4,661	0	52	1,431	250	250	155	504	555	258	537	670		4,661
GSA-CAPITAL PROJECTS	159,846	0	1,766	49,077	8,579	8,579	5,299	17,284	19,050	8,831	18,420	22,961		159,846
GSA-OTHER SERVICES	41,760	0	461	12,821	2,241	2,241	1,384	4,515	4,977	2,307	4,812	5,999		41,760
BUDGET & ANALYSIS	73,189	0	809	22,471	3,928	3,928	2,426	7,914	8,723	4,044	8,434	10,513		73,189
CRIMINAL JUSTICE	462,790	0	5,114	142,088	24,838	24,838	15,341	50,041	55,155	25,569	53,329	66,478		462,790
COUNTY COUNSEL	24,064	0	266	7,388	1,292	1,292	798	2,602	2,868	1,330	2,773	3,457		24,064
REVENUE & COLLECTION	2,893,000			232,159	90,978	64,250	38,887	0	0	510,411	78,714	1,877,600		2,893,000
OTHER CENTRAL SVCS	29,827	0	330	9,158	1,601	1,601	989	3,225	3,555	1,648	3,437	4,285		29,827
ESA-DEPT OVH/D		0	0	0	0	0	0	0	0	0	0	0		0
TOTAL ALLOCATED	6,865,978	0	45,017	1,482,998	309,634	282,906	173,939	440,527	485,544	711,916	498,997	2,401,515	32,985	6,865,978
BY ADJ - OVERPAYMENT	(1,788,200)												0	(1,788,200)
PERS CREDIT	5,077,778	0	45,017	1,482,998	309,634	282,906	173,939	440,527	485,544	711,916	498,997	2,401,515	(1,788,200)	5,077,778
ROLL FORWARD	10,146	0	67	2,202	460	420	258	654	721	1,057	741	3,566		10,146
PROPOSED COSTS	5,087,924	0	45,084	1,485,200	310,094	283,326	174,198	441,181	486,265	712,974	499,738	2,405,081	(1,755,215)	5,087,924

2904,819

FY99

**JUVENILE DIVISION**  
GLENN ARIMA  
288.5 - POSITIONS

**JUVENILE ADMIN & SUPPORT**  
3707 - GLENN ARIMA  
47 - POSITIONS

**ADMINISTRATIONS**  
1 - DEPUTY CHIEF PO  
1 - POSITION

**DEL. CTS / ISS. SUPPORT**  
1 - ADMIN SUPP OFFICER 3703/  
5 - SUPERVISING CLERK 3715  
5 - TRANSCRIPTIONIST  
24.5 - ADV CLERK TYPIST  
1.5 - CLERK TYPIST  
2 - LAW ENF. RECORD CLERK  
6 - JUSTICE SYSTEMS CLERK  
1 - DATA ENTRY OPERATOR  
46 - POSITIONS

**COMMUNITY SERVICES**  
3708 - BOB CREAMER  
77 - POSITIONS

**INVESTIGATIONS / R/P**  
1 - PROBATION MANAGER  
1 - SUPV PROB OFFICER  
9 - DEPUTY PROB OFFICER  
4 - PROB COMMUNITY WRKR  
15 - POSITIONS

**PLACEMENT**  
1 - SUPV PROB OFFICER  
8 - DEPUTY PROB OFFICER  
9 - POSITIONS

**SCREENING / EMP / CRP**  
1 - SUPV PROB OFFICER  
3 - DEPUTY PROB OFFICER  
10 - SR GROUP COUNSELOR  
14 - POSITIONS

**FOCUS / SCREENING INTAKE**  
1 - SUPV PROB OFFICER  
10 - DEPUTY PROB OFFICER  
11 - POSITIONS

**JUVENILE COURT UNIT / TRAFFIC**  
2 - SUPV PROB OFFICER / 1 THO  
10 - DEPUTY PROB OFFICER  
12 - POSITIONS

**RESTORATIVE JUST. / G / YOMP**  
2 - SUPV PROB OFFICER  
9 - DEPUTY PROB OFFICER  
5 - PROB COMMUNITY WRKR  
16 - POSITIONS

**JUVENILE SERVICES**  
3702 - SUE PANIGHETTI  
79.5 - POSITIONS

**JUVENILE SERVICES / DOM VIOLE**  
1 - PROBATION MANAGER  
5 - SUPV PROB OFFICER  
50.5 - DEPUTY PROB OFFICER  
56.5 - POSITIONS

**GANG UNIT**  
1 - SUPV PROB OFFICER  
13 - DEPUTY PROB OFF  
14 - POSITIONS

**JUVENILE TREATMENT COURT**  
1 - SUPV PROB OFFICER  
3 - DEPUTY PROB OFF  
1 - PROB COMMUNITY WRKR  
5 - POSITIONS

**ALL PLACEMENT ACADEMY**  
1 - SUPV PROB OFFICER  
2 - DEPUTY PROB OFF  
1 - SR GROUP COUNSELOR  
4 - POSITIONS

**WILLIAM JAMES RANCH**  
3714 - KATHY DUQUE  
1 - PROBATION MANAGER  
3 - SUPV PROB COUNSELOR  
21 - PROB COUNSELOR  
3 - PROBATION ASSISTANT  
1 - RANCH MAINT SUPV  
29 - POSITIONS

**HAROLD HOLDEN RANCH**  
3716 - MIKE MATHIASEN  
1 - PROBATION MANAGER  
3 - SUPV PROB COUNSELOR  
21 - PROB COUNSELOR  
3 - PROBATION ASSISTANT  
1 - PROB COMMUNITY WRKR  
29 - POSITIONS

**WRIGHT RESIDENTIAL CENTER**  
3718 - MARTY BREWER  
1 - PROBATION MANAGER  
3 - SUPV PROB COUNSELOR  
17 - PROB COUNSELOR  
6 - PROBATION ASSISTANT  
27 - POSITIONS

**JUVENILE DETENTION DIV**  
PAT SHANNON  
178.5 - POSITIONS

**BOV HALL ADMIN & SUPPORT**  
3705/07 - PAT SHANNON  
19.5 - POSITIONS

**ADMINISTRATIONS**  
1 - JH SUPERINTENDENT  
1 - SECRETARY II  
2 - POSITIONS

**JUVENILE HALL SUPPORT**  
1 - ADMIN SUPP OFFICER  
1 - SUPERVISING CLERK  
1 - RECEPTIONIST  
2 - ACCOUNT CLERK II  
4.5 - LAW ENFORC. CLERK  
7 - ADV CLERK TYPIST  
16.5 - POSITIONS

**TRANSPORTATION**  
1 - COORDINATOR (X28)

**BOV HALL OPERATIONS CONTROL (52)**  
CAROL ROUGH  
1 - PROBATION MANAGER  
5 - SUPV GROUP COUNSELOR  
35 - SR GROUP COUNSELOR  
6 - NIGHT ATTENDANT  
47 - POSITIONS

**CLERK WORK PROG / YOMP / JMB**  
1 - SUPV GROUP COUN  
4 - SR GROUP COUNSELOR / (U)  
5 - POSITIONS

**JUV HALL OPERATIONS - LIVING (107)**  
GERRY NEARY  
1 - PROBATION MANAGER  
1 - SUPV GROUP COUNSELOR / RAP  
2 - POSITIONS

1 - SUPV GROUP COUNS.  
B - 1 (24 CAP)  
9 - SR GROUP COUNSELOR  
B - 2 (50 CAP)  
10 - SR GROUP COUNS.  
20 - POSITIONS

1 - SUPV GROUP COUNS.  
B - 3 (50 CAP)  
10 - SR GROUP COUNS.  
PROGRAMS  
11 - POSITIONS

1 - SUPV GROUP COUNS.  
B - 4 (42 CAP)  
10 - SR GROUP COUNS.  
B - 5 (42 CAP)  
10 - SR GROUP COUNS.  
21 - POSITIONS

1 - SUPV GROUP COUNS.  
B - 6 (24 CAP)  
6 - SR GROUP COUNSELOR  
G - 1 (48 CAP)  
10 - SR GROUP COUNS.  
17 - POSITIONS

1 - SUPV GROUP COUNS.  
B - 7 (30 CAP)  
9 - SR GROUP COUNSELOR  
10 - POSITIONS

1 - SUPV GROUP COUNS.  
NEW WING  
25 - SR GROUP COUNS  
26 - POSITIONS

**ADMINISTRATIVE DIV**  
ANN CLARKE  
104.5 - POSITIONS 3720

**GENERAL ADMINISTRATION**  
1 - CHIEF PROBATION OFFICER  
1 - ADMIN SERVICES MANAGER  
1 - SECRETARY III  
2 - SECRETARY II

**INFORMATION SYSTEM**  
1 - INFORMATION SYSTEM MGR  
1 - DEPT INFO SYSTEM SPEC II  
5 - DEPT INFO SYSTEM SPEC I  
2 - DEPT INFO SYSTEM ANALYST  
1 - PROGRAMMING ANALYST II  
1 - OFFICE AUTO SYSTEM COORD  
5 - ADVANCED CLERK TYPIST

**PUBLIC INFORMATION OFF / EVAL**  
1 - SR MANAGEMENT ANALYST  
3 - MANAGEMENT ANALYST  
1 - ADMIN SUPPORT OFFICER III

**WAREHOUSE / LAB / LAUNDRY**  
1 - ADMIN SUPPORT OFFICER III  
1 - LAUNDRY OPERATIONS SUPV  
4 - LAUNDRY WORKER II  
1 - ACCOUNT CLERK II  
1 - ADVANCED CLERK TYPIST  
1 - SUPV STOREKEEPER  
5 - STOREKEEPER  
4 - FORENSIC CHEMIST

**PERSONNEL / FACILITY / FLEET**  
1 - SR MANAGEMENT ANALYST  
1 - ADMIN SUPPORT OFFICER III  
2 - PERSONNEL SERVICES CLERK  
1 - ACCOUNT CLERK II  
1 - ADVANCED CLERK TYPIST  
1 - STOCK CLERK

**ACCOUNTING / PRCHSING / TRAFFIC**  
1 - DEPT FISCAL OFFICER  
2 - ACCOUNTANT III  
1 - ACCOUNTANT ASSISTANT  
1 - SUPV ACCOUNT CLERK II  
5 - ACCOUNT CLERK II  
1 - SUPV DEP COURT CLERK II 3728  
5 - ASST SUPV DEPT CRT CLERK  
5 - DEPUTY COURT CLERK II

**FOOD SERVICES ADMIN**  
RICHARD MUNLEY  
41 - POSITIONS

**JUVENILE HALL**  
1 - DIR NUT'M & FD SRVCS  
1 - HEAD COOK  
1 - COOK II  
2 - COOK I  
7 - FOOD SERVICE WRKR II  
7 - FOOD SERVICE WRKR I  
19 - POSITIONS

**JAMES RANCH**  
1 - COOK II  
2 - COOK I  
3 - FOOD SERVICE WRKR II  
6 - POSITIONS

**HOLDEN RANCH**  
1 - COOK II  
2 - COOK I  
3 - FOOD SERVICE WRKR II  
6 - POSITIONS

**WRIGHT CENTER**  
1 - COOK II  
2 - COOK I  
3 - POSITIONS

**MEN'S WORK FURLOUGH**  
1 - HEAD COOK  
3 - COOK II  
4 - POSITIONS

**WOMEN'S RESID. CENTER**  
1 - COOK II  
2 - COOK I  
3 - POSITIONS

**ADULT DIVISION**  
GARY SANCHEZ  
271.5 - POSITIONS

**ADULT ADMIN & SUPPORT**  
3712 - GARY SANCHEZ  
73.5 - POSITIONS

**ADMINISTRATIONS**  
1 - DEPUTY CHIEF PO  
1 - ADMIN SUPP OFFICER  
1 - SECRETARY II  
1 - SECRETARY I  
4 - POSITIONS

**STAFF TRAINING**  
1 - SUPV PROB OFFICER  
1 - DEPUTY PROB OFFICER  
1 - ADV CLERK TYPIST  
3 - POSITION

**ADULT INV/SUP SUPPORT**  
5 - SUPERVISING CLERK  
1 - CLERICAL OFFICE SUPV  
10 - TRANSCRIPTIONIST  
2 - RECEPTIONIST  
6 - JUSTICE SYSTEM CLERK  
27.5 - ADV CLERK TYPIST  
7 - CLERK TYPIST  
1 - OFFICE CLERK  
39.5 - POSITIONS

**ASST WF WRK SUPPORT**  
1 - SUPERVISING CLERK  
1 - LAW ENFORC. REC TECH  
2 - LAW ENFORC. CLERK  
3 - ADV CLERK TYPIST  
7 - POSITIONS

**ADULT INVESTIGATION**  
3725 - EDDIE JITUS  
83 - POSITIONS

**ADULT INVESTIGATION**  
1 - PROBATION MANAGER  
4 - SUPV PROB OFFICER  
37 - DEPUTY PROB OFF  
42 - POSITIONS

**SUBSTANCE ABUSE**  
1 - SUPV PROB OFFICER  
6 - DEPUTY PROB OFFICER  
7 - POSITIONS

**DRUG TREATMENT COURT**  
7 - DEPUTY PROB OFFICER  
4 - COMMUNITY WORKER  
11 - POSITIONS

**ADULT COURT UNIT**  
1 - SUPV PROB OFFICER  
10 - DEPUTY PROB OFFICER  
11 - POSITIONS

**ADULT SCREENING UNIT**  
1 - SUPV PROB OFFICER  
1 - PROB COMM WORKER  
10 - DEPUTY PROB OFFICER  
12 - POSITIONS

**ADULT SUPERVISION**  
3726 - KITA LONCARICH  
87 - POSITIONS

**ADULT SUPERVISION**  
1 - PROBATION MANAGER  
6 - SUPV PROB OFFICER  
55 - DEPUTY PROB OFF  
2 - PROB COMM WORKER  
64 - POSITIONS

**DOMESTIC VIOLENCE**  
1 - SUPV PROB OFFICER  
11 - DEPUTY PROB OFFICER  
1 - PROB COMM WORKER  
13 - POSITIONS

**SPECIAL PROG UNIT**  
1 - SUPV PROB OFFICER  
8 - DEPUTY PROB OFFICER  
1 - SR GROUP COUNSELOR  
10 - POSITIONS

**MEN'S WORK FURLOUGH PROG**  
3710 - KATHY MCNAMEE  
1 - PROBATION MANAGER  
4 - SUPV GROUP COUNS  
12 - SR GROUP COUNSELOR  
17 - POSITIONS

**WOMEN'S RESIDENTIAL CENTER**  
3439 - RUTH AUTEN  
1 - PROBATION MANAGER  
2 - SUPV GROUP COUNS  
8 - SR GROUP COUNSELOR  
11 - POSITIONS

3725/372

3711

3736

3748

3735

3739

3741

3737

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 28  
 FUND= 0001 GENERAL FUND DIVISION= 16 JUVENILE DIVISION  
 FUND-DTL= SOBJ FABC BUREAU= 07 WRIGHT RES CENTER  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP SOBJ FABC	ECC TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES----- CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
2302	OPERATING EXPENSE - OTHE	0	0	866	0	866-.0%	0
2322	PROF AND SPECIAL SERVICE	40,000	1,934	16,165	22,500	1,335 96.7%	0
2329	CONTRACT SERVICES	55,500	12,274	55,900	0	400- 100.7%	0
2401	PUBLICATIONS AND LEGAL N	0	0	1,509	0	1,509-.0%	0
2451	RENTS AND LEASES - EQUIP	4,000	458	3,695	0	305 92.4%	0
2501	SMALL TOOLS AND INSTRUME	12,435	0	8,726	2,201	1,508 87.9%	0
2547	EDUCATION EXPENSE	1,000	0	10	0	990 1.0%	0
2574	MEMBERSHIP DUES	0	0	50	0	50-.0%	0
2624	SPECIAL DEPARTMENT EXPEN	0	0	432	0	432-.0%	0
2751	TRANSPORTATION AND TRAVE	1,000	0	833	0	167 83.3%	0
2752	AUTOMOBILE MILEAGE	500	0	524	0	24 104.8%	0
2756	GARAGE AUTOMOBILE SERVIC	77,100	3,839	39,109	0	37,991 50.7%	0
2993	TRANSPORTATION	500	317	2,532	0	2,832- 506.4%	0
* TOTAL 2	SERVICES AND SUPPLIES	294,535	28,414	219,676	24,701	50,158 83.0%	0
* TOTAL 07	WRIGHT RES CENTER	1,785,297	41,176	1,859,044	24,701	98,448- 105.5%	0
* TOTAL 16	JUVENILE DIVISION	23,345,882	828,505	22,935,510	1,169,036	758,664- 103.2%	0

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 29  
 FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= SOBJ FABC BUREAU= 01 ADULT ADMIN AND SUPPORT  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP SOBJ FABC	ECC TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES----- CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
1183	RETIREE MEDICAL EXPENSE	115,125	1,035	95,944	0	19,184 83.3%	0
1184	SALARY SAVINGS FACTOR	130,194	0	0	0	130,194-.0%	0
1185 A82	DEPUTY CHIEF PROBATION O	92,203	1,090	91,825	0	378 99.6%	0
1185 B2R	ADMINISTRATIVE SUPPORT OF	49,845	0	45,364	0	4,481 91.0%	0
1185 D1A	SECRETARY II W/O/SH	0	223	30,638	0	30,638-.0%	0
1185 D11	TRANSCRIPTIONIST	356,815	2,069	252,194	0	104,621 70.7%	0
1185 D17	RECEPTIONIST	65,052	374	38,914	0	26,138 59.8%	0
1185 D2A	SECRETARY I	0	0	1,167	0	1,167-.0%	0
1185 D27	SECRETARY II	37,832	0	0	0	37,832-.0%	0
1185 D28	SECRETARY I	29,133	412	33,360	0	4,227 114.5%	0
1185 D34	SUPERVISING CLERK I	253,548	1,866	156,154	0	97,394 61.6%	0
1185 D36	ADVANCED CLERK TYPIST	1,286,424	9,531	1,026,647	0	259,777 79.8%	0
1185 D38	CLERK II	0	319	28,181	0	28,181-.0%	0
1185 D39	CLERK TYPIST	194,675	1,556	104,995	0	89,680 53.9%	0
1185 D40	CLERK I	28,263	595	39,759	0	11,496 140.7%	0
1185 D42	LAW ENFORCEMENT RECORDS	42,984	495	38,249	0	4,735 89.0%	0
1185 D43	LAW ENFORCEMENT CLERK	0	566	7,480	0	7,480-.0%	0
1185 D60	CLERICAL OFFICE SUPERVIS	37,367	388	37,644	0	277 100.7%	0
1185 E38	UNKNOWN	0	1,268	15,091	0	15,091-.0%	0
* 1185 TOTAL	PERMANENT EMPLOYEES	2,474,141	21,452	1,947,662	0	526,479 78.7%	0
1186	SALARIES WITHOUT BENEFIT	2,144	0	0	0	2,144-.0%	0

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 30  
 FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 01 ADULT ADMIN AND SUPPORT  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP	ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
SOBJ	FABC			CURRENT	YEAR-TO-DATE			
1187		TEMPORARY EMPLOYEES	6,546	0	0	0	6,546	0
1187	D11	TRANSCRIPTIONIST	0	169	20,055	0	20,055	0
1187	D34	SUPERVISING CLERK I	0	0	1,756	0	1,756	0
1187	D36	ADVANCED CLERK TYPIST	0	698	58,905	0	58,905	0
1187	D40	CLERK I	0	410	60,917	0	60,917	0
1187	X29	GROUP COUNSELOR I	0	0	496	0	496	0
1187	X98	INTERMITTEN OFFICE CLERK	0	0	19,652	0	19,652	0
*	1187	TOTAL TEMPORARY EMPLOYEES	6,546	1,277	158,269	0	151,723	0
	1191	OVERTIME	0	0	1,691	0	1,691	0
	1192	UNEMPLOYMENT INSURANCE	3,240	30	2,776	0	2,417.8%	0
	1193	PREMIUM PAY	18,860	194	18,738	0	85.7%	0
	1196	HEALTH INSURANCE	331,712	3,599	291,925	0	99.4%	0
	1197	FICA - EMPLOYER SHARE	189,555	1,667	155,473	0	39,787	0
	1198	PERS - RETIREMENT	309,932	2,771	253,062	0	88.0%	0
	1199	WORKERS' COMPENSATION	52,766	516	47,425	0	34,082	0
*	TOTAL 1	SALARIES AND BENEFITS	3,369,539	32,541	2,972,962	0	56,870	0
	2111	CLOTHING & PERSONAL SUPP	0	0	31	0	81.7%	0
	2125	COMM TELEPHONE SERVICES	20,328	2,203	22,352	0	5,341	0
	2126	COMM AND TELEPHONE SERVI	92,000	9,887	89,743	0	89.9%	0
	2206	INTERPRETER FEES	0	0	94	0	94	0

DAFR6030 1 246 AP14 01 0001 SPL1 PY 4(OR4) 4(OB4) RUN DATE= 07/30/99 TIME= 17:35:23 STARS  
 07/30/99 (16.51) CYCLE 02537 PH OPEN PP OPEN PY CLOSED FICHE: 246 0001 0246 17 PROBATION DEPARTMENT (246) VERSION 2.0

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 31

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 01 ADULT ADMIN AND SUPPORT  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP	ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
SOBJ	FABC			CURRENT	YEAR-TO-DATE			
2211		MAINTENANCE - EQUIPMENT	33,800	399	3,316	0	30,484	0
2225		HAINT-STRUCT IMPROVE & G	1,000	79	942	0	9.8%	0
2251		MED, DENTAL & LAB SUPPLI	0	0	29	0	29	0
2285		MISCELLANEOUS EXPENSE	500	403	7,107	0	6,607	0
2301		OFFICE EXPENSE	12,000	1,982	18,406	0	1421.4%	0
2322		PROF AND SPECIAL SERVICE	0	7,200	7,200	0	6,406	0
2401		PUBLICATIONS AND LEGAL N	1,000	0	300	0	153.4%	0
2451		RENTS AND LEASES - EQUIP	49,000	6,493	49,416	0	7,200	0
2472		OFFICE RENTS	799,999	66,938	799,992	7	0	0
2501		SMALL TOOLS AND INSTRUME	5,068	0	13,337	27,957	100.0%	0
2585		EXTERNAL PRINTING AND RE	0	0	30	0	36,226	0
2586		PRINTING AND REPRODUCTIO	21,129	0	14,141	0	814.8%	0
2624		SPECIAL DEPARTMENT EXPEN	0	0	1,265	0	30	0
2751		TRANSPORTATION AND TRAVE	2,000	129	986	0	0.2%	0
2752		AUTOMOBILE MILEAGE	1,500	297	3,108	0	1,014	0
2756		GARAGE AUTOMOBILE SERVIC	10,000	1,413	16,863	0	49.3%	0
2991		EXTERNAL POSTAGE	0	0	25	0	1,608	0
*	TOTAL 2	SERVICES AND SUPPLIES	1,049,324	97,423	1,048,843	27,964	207.2%	0
7500		REIMB - PROFESSIONAL & S	0	0	0	0	6,863	0

PROBATION DEPARTMENT (246)  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 32  
 FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= SOBJ FABC BUREAU= 01 ADULT ADMIN AND SUPPORT  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT	EXPENDITURES YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
* TOTAL 7		EXPENDITURE REIMBURSEMENT	0	0	0	0	0.0%	0
* TOTAL 01		ADULT ADMIN AND SUPPORT	4,418,863	129,964	4,021,645	27,964	369,254 91.6%	0

3712

PROBATION DEPARTMENT (246)  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 33  
 FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= SOBJ FABC BUREAU= 02 ADULT INVESTIGATION  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT	EXPENDITURES YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
1183		RETIREE MEDICAL EXPENSE	117,708	1,354	124,005	0	6,297-	0
1184		SALARY SAVINGS FACTOR	198,261-	0	0	0	198,261-	0
1185	E19	PROB COMM WORKER	34,483	405	31,691	0	2,792	0
1185	Q96	TRAINING INSTRUCTOR	109,481	1,042	96,250	0	13,231	0
1185	W85	DEPUTY PROBATION OFFICER	50,047	692	57,695	0	7,648-	0
1185	X44	PROBATION MANAGER	81,633	848	81,695	0	115,37	0
1185	X48	SUPERVISING PROBATION OF	467,152	5,449	504,516	0	37,364-	0
1185	X50	DEPUTY PROBATION OFFICER	3,483,545	37,471	2,452,275	0	1,031,270	0
1185	X52	DEPUTY PROBATION OFFICER	0	7,834	634,280	0	634,280-	0
1185	X53	DEPUTY PROBATION OFFICER	0	3,146	344,777	0	344,777-	0
* 1185	TOTAL	PERMANENT EMPLOYEES	4,226,341	56,887	4,203,179	0	23,162	0
1186		SALARIES WITHOUT BENEFIT	15,969	0	0	0	15,969	0
1187		TEMPORARY EMPLOYEES	62,031	0	0	0	62,031	0
1187	E07	COMMUNITY WORKER	0	163	15,587	0	15,587-	0
1187	Q96	TRAINING INSTRUCTOR	0	0	6,357	0	6,357-	0
1187	X50	DEPUTY PROBATION OFFICER	0	632	46,691	0	46,691-	0
1187	X53	DEPUTY PROBATION OFFICER	0	171	13,610	0	13,610-	0
1187	X64	ASSISTANT REHABILITATION	0	0	1,269	0	1,269-	0
* 1187	TOTAL	TEMPORARY EMPLOYEES	62,031	966	83,514	0	21,483-	0
1192		UNEMPLOYMENT INSURANCE	5,587	76	5,613	0	154.6%	0
1193		PREMIUM PAY	5,760	139	11,632	0	201.9%	0

100

DAFR8030 1 246 AP14 01 0001 SPLI PY 4(OR4) 4(OB4)		PY CLOSED FICHE: 246 0001		RUN DATE= 07/30/99 TIME= 17.35.23 STARS				
07/30/99 (16.51) CYCLE 02537 PH OPEN PP OPEN		PROBATION DEPARTMENT (246)		0246 17				
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT								
REPORT PERIOD= FISCAL YEAR 99								
*****PAGE 36								
FUND= 0001 GENERAL FUND		DIVISION= 17 ADULT DIVISION						
FUND-DTL=		BUREAU= 03 ADULT SUPERVISION						
BDGT-UNIT= 0246		SECTION=						
PERCENT OF YEAR ELAPSED 0%								
EXP SOBJ FABC	ECC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE	
1183		RETIREE MEDICAL EXPENSE	138,776	1,636	141,480	0	2,704-	0
1184		SALARY SAVINGS FACTOR	240,657	0	0	0	101.92	0
1185	E19	PROB COMM WORKER	40,624	853	48,336	0	240,657-	0
1185	Q94	PROBATION COMMUNITY WORK	40,624	467	40,224	0	7,712-	0
1185	W82	SUPERVISING PROBATION OF	66,736	0	1,977	0	119.02	0
1185	W85	DEPUTY PROBATION OFFICER	87,038	1,385	83,208	0	400	0
1185	X27	SENIOR GROUP COUNSELOR	51,268	528	51,292	0	99.02	0
1185	X44	PROBATION MANAGER	81,633	848	81,057	0	64,759	0
1185	X48	SUPERVISING PROBATION OF	467,152	5,449	514,218	0	3.02	0
1185	X50	DEPUTY PROBATION OFFICER	4,136,836	23,692	2,319,419	0	3,830	0
1185	X52	DEPUTY PROBATION OFFICER	0	12,705	1,024,904	0	95.62	0
1185	X53	DEPUTY PROBATION OFFICER	0	8,114	557,455	0	24-	0
* 1185	TOTAL	PERMANENT EMPLOYEES	4,971,911	54,111	4,722,090	0	100.02	0
1186		SALARIES WITHOUT BENEFIT	42,846	0	0	0	576	0
1187		TEMPORARY EMPLOYEES	61,013	0	0	0	99.32	0
1187	E07	COMMUNITY WORKER	0	0	13,686	0	47,066-	0
1187	X50	DEPUTY PROBATION OFFICER	0	1,627	76,088	0	110.12	0
* 1187	TOTAL	TEMPORARY EMPLOYEES	61,013	1,627	89,774	0	1,817,417	0
1191		OVERTIME	0	0	1,818	0	56.12	0
1192		UNEMPLOYMENT INSURANCE	6,530	73	6,313	0	1,024,904-	0
1193		PREMIUM PAY	9,480	165	13,767	0	557,455-	0
							92.72	0

DAFR8030 1 246 AP14 01 0001 SPLI PY 4(OR4) 4(OB4)		PY CLOSED FICHE: 246 0001		RUN DATE= 07/30/99 TIME= 17.35.23 STARS				
07/30/99 (16.51) CYCLE 02537 PH OPEN PP OPEN		PROBATION DEPARTMENT (246)		0246 17				
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT								
REPORT PERIOD= FISCAL YEAR 99								
*****PAGE 37								
FUND= 0001 GENERAL FUND		DIVISION= 17 ADULT DIVISION						
FUND-DTL=		BUREAU= 03 ADULT SUPERVISION						
BDGT-UNIT= 0246		SECTION=						
PERCENT OF YEAR ELAPSED 0%								
EXP SOBJ FABC	ECC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE	
1195		CALL DUTY	6,625	45	5	0	6,620	0
1195	X50	DEPUTY PROBATION OFFICER	0	0	3,450	0	12	0
1195	X52	DEPUTY PROBATION OFFICER	0	0	1,800	0	0.02	0
* 1195	TOTAL	CALL DUTY	6,625	45	5,255	0	1,800-	0
1196		HEALTH INSURANCE	399,872	5,292	428,494	0	79.32	0
1197		FICA - EMPLOYER SHARE	17,355	554	44,434	0	28,622-	0
1198		PERS - RETIREMENT	864,351	2,395	804,208	0	107.22	0
1199		WORKERS' COMPENSATION	105,942	1,252	107,862	0	27,079-	0
* TOTAL 1		SALARIES AND BENEFITS	6,384,044	74,150	6,365,495	0	256.02	0
							60,143	0
							93.02	0
							1,920-	0
							101.82	0
2111		CLOTHING & PERSONAL SUPP	0	50	137	0	137-	0
2126		COMM AND TELEPHONE SERVI	0	944	5,807	0	0.02	0
2206		INTERPRETER FEES	0	0	958	0	5,807-	0
2211		MAINTENANCE - EQUIPMENT	47,972	0	17,910	17,800	0.02	0
2285		MISCELLANEOUS EXPENSE	1,000	1,478	3,052	0	74.42	0
2301		OFFICE EXPENSE	0	0	581	0	2,052-	0
2329		CONTRACT SERVICES	100,000	0	0	0	305.22	0
2331		DATA PROCESSING SERVICES	0	0	8,684	0	581-	0
2451		RENTS AND LEASES - EQUIP	31,850	0	0	0	0.02	0
2501		SMALL TOOLS AND INSTRUME	23,857	101	22,766	3,919	100,600	0
2547		EDUCATION EXPENSE	0	267	1,160	0	74.42	0

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 34

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 02 ADULT INVESTIGATION  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED . 0Z

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE	(MEMO)
				CURRENT	YEAR-TO-DATE		ALLOTMENT	
1196		HEALTH INSURANCE	339,433	4,411	372,245	0	32,812-	0
1197		FICA - EMPLOYER SHARE	21,438	429	35,609	0	109.7Z	0
1198		PERS - RETIREMENT	734,012	8,032	701,220	0	14,171-	0
1199		WORKERS' COMPENSATION	90,582	1,296	95,857	0	166.1Z	0
* TOTAL 1		SALARIES AND BENEFITS	5,420,600	73,590	5,632,874	0	32,792	0
							95.5Z	0
							5,275-	0
							105.8Z	0
2111		CLOTHING & PERSONAL SUPP	0	0	148	0	148-	0
2125		COMS TELEPHONE SERVICES	0	360	2,451	0	.0Z	0
2126		COMM AND TELEPHONE SERVI	0	62	745	0	2,451-	0
2161		HOUSEHOLD EXPENSE	0	437	437	0	.0Z	0
2206		INTERPRETER FEES	10,000	45	4,092	0	745-	0
2211		MAINTENANCE - EQUIPMENT	2,300	0	127	0	.0Z	0
2285		MISCELLANEOUS EXPENSE	1,000	494	965	0	2,173	0
							5.5Z	0
2301		OFFICE EXPENSE	0	0	698	0	35	0
							96.5Z	0
2322		PROF AND SPECIAL SERVICE	4,000	0	300	0	698-	0
							.0Z	0
2401		PUBLICATIONS AND LEGAL N	0	4,453	4,625	0	3,700	0
							7.5Z	0
2451		RENTS AND LEASES - EQUIP	6,469	809	3,475	0	4,625-	0
							.0Z	0
2501		SMALL TOOLS AND INSTRUME	10,000	0	351	0	2,994	0
							53.7Z	0
2624		SPECIAL DEPARTMENT EXPEN	0	0	265	0	9,649	0
							3.5Z	0
2751		TRANSPORTATION AND TRAVE	4,000	235	1,375	0	265-	0
							.0Z	0
2752		AUTOMOBILE HILEAGE	9,000	399	7,082	0	2,625	0
							34.4Z	0
							1,918	0
							78.7Z	0

ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 35

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 02 ADULT INVESTIGATION  
 BDGT-UNIT= 0246 SECTION=  
 PERCENT OF YEAR ELAPSED 0Z

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE	(MEMO)
				CURRENT	YEAR-TO-DATE		ALLOTMENT	
2756		GARAGE AUTOMOBILE SERVIC	2,531	2,173	17,554	0	15,023-	0
							693.6Z	0
2952		SERVICES & SUPPLIES - OT	10,000	0	0	0	10,000	0
							.0Z	0
2963		PC SOFTWARE	0	0	6,766	0	6,766-	0
							.0Z	0
2993		TRANSPORTATION	0	225	2,690	0	2,690-	0
							.0Z	0
* TOTAL 2		SERVICES AND SUPPLIES	59,300	9,692	54,146	0	5,154	0
							91.3Z	0
7300		REIMB - PROFESSIONAL & S	41,275-	11,415-	30,611-	0	10,664-	0
							74.2Z	0
* TOTAL 7		EXPENDITURE REIMBURSEMENT	41,275-	11,415-	30,611-	0	10,664-	0
							74.2Z	0
* TOTAL 02		ADULT INVESTIGATION	5,438,625	71,867	5,656,409	0	217,784-	0
							104.0Z	0

3724

PROBATION DEPARTMENT (246)  
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 38

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
FUND-DTL= BUREAU= 03 ADULT SUPERVISION  
BDGT-UNIT= 0246  
PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
			CURRENT	YEAR-TO-DATE				
2574		MEMBERSHIP DUES	0	0	75	0	75-.02	0
2585		EXTERNAL PRINTING AND RE	2,000	0	0	0	2,000-.02	0
2586		PRINTING AND REPRODUCTIO	0	0	3,000	0	3,000-.02	0
2624		SPECIAL DEPARTMENT EXPEN	0	105	595	0	595-.02	0
2751		TRANSPORTATION AND TRAVE	1,000	504	2,331	0	1,531-.02	0
2752		AUTOMOBILE MILEAGE	7,400	51	2,514	0	233.17	0
2756		GARAGE AUTOMOBILE SERVIC	109,116	455	89,928	0	4,886	0
							34.02	0
							19,188	0
							82.42	0
* TOTAL 2		SERVICES AND SUPPLIES	324,175	4,555	159,498	21,719	142,958	0
							55.92	0
7300		REIMB - PROFESSIONAL & S	154,198-	36,690-	135,552-	0	18,646-	0
							87.92	0
* TOTAL 7		EXPENDITURE REIMBUSEMENT	154,198-	36,690-	135,552-	0	18,646-	0
							87.92	0
* TOTAL 03		ADULT SUPERVISION	6,554,021	42,015	6,389,441	21,719	142,861	0
							97.82	0

3726

PROBATION DEPARTMENT (246)  
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
REPORT PERIOD= FISCAL YEAR 99

\*\*\*\*\*PAGE 39

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
FUND-DTL= BUREAU= 04 MEN'S WORK FURLOUGH  
BDGT-UNIT= 0246  
PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----		OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
			CURRENT	YEAR-TO-DATE				
1183		RETIREE MEDICAL EXPENSE	33,117	360	46,231	0	13,114-	0
1184		SALARY SAVINGS FACTOR	55,798-	0	0	0	139.62	0
1185	H56	HEAD COOK	46,766	486	46,523	0	55,798-.02	0
1185	H59	COOK II	102,663	394	54,561	0	243	0
							99.52	0
1185	H66	FOOD SERVICE WORKER II	4,375	364	30,957	0	48,102	0
							55.12	0
1185	X25	SUPERVISING GROUP COUNSE	239,812	2,200	235,679	0	26,582-	0
							707.62	0
1185	X27	SENIOR GROUP COUNSELOR	598,233	5,449	499,515	0	4,133	0
							98.32	0
1185	X28	GROUP COUNSELOR II	0	1,014	91,746	0	98,718	0
							83.52	0
1185	X44	PROBATION MANAGER	81,633	848	81,097	0	91,746-.02	0
							536	0
1185	X50	DEPUTY PROBATION OFFICER	0	662	26,689	0	99.32	0
							26,689-	0
1185	X53	DEPUTY PROBATION OFFICER	0	0	1,608	0	.02	0
							.02	0
* 1185 TOTAL		PERMANENT EMPLOYEES	1,073,482	11,417	1,068,375	0	5,107	0
							99.52	0
1186		SALARIES WITHOUT BENEFIT	9,661	0	0	0	2,661	0
							.02	0
1187		TEMPORARY EMPLOYEES	81,000	6,752-	18,164-	0	99,164-	0
							-22.42	0
1187	X27	SENIOR GROUP COUNSELOR	0	351	27,501	0	27,501-	0
							.02	0
1187	X29	GROUP COUNSELOR I	0	946	100,776	0	100,776-	0
							.02	0
* 1187 TOTAL		TEMPORARY EMPLOYEES	81,000	5,455-	110,113	0	29,113-	0
							135.92	0
1191		OVERTIME	18,000	1,713	52,087	0	34,087-	0
							289.42	0
1192		UNEMPLOYMENT INSURANCE	1,600	103	1,687	0	87-	0
							.02	0
1193		PREMIUM PAY	30,558	366	30,834	0	105.42	0
							276-	0
							100.92	0

# EXHIBIT F

ORIGINAL AS FILED

CLAIM FOR PAYMENT

Pursuant to Government Code Section 17561  
DOMESTIC VIOLENCE TREATMENT SERVICES  
AUTHORIZATION AND CASE MANAGEMENT

For State Controller Use Only

(19) Program Number 00177  
(20) Date File \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
(21) LRS Input \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

(01) Claimant Identification Number

9943

AUDITOR-CONTROLLER  
COUNTY OF SANTA CLARA  
70 W HEDDING ST, EAST WING  
SAN JOSE CA 95110

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Reimbursement Claim Data

(22) DVTS-1, (03)(a)	17
(23) DVTS-1, (03)(b)	3259
(24) DVTS-1, (04)(1)(f)	163,666
(25) DVTS-1, (04)(2)(f)	93,889
(26) DVTS-1, (04)(3)(f)	139,576
(27) DVTS-1, (06)	99.50%

Type of Claim	Estimated Claim	Reimbursement Claim
	(03) Estimated <input checked="" type="checkbox"/>	(09) Reimbursement <input checked="" type="checkbox"/>
	(04) Combined <input type="checkbox"/>	(10) Combined <input type="checkbox"/>
	(05) Amended <input type="checkbox"/>	(11) Amended <input type="checkbox"/>

Fiscal Year of Cost	(06) 2000/2001	(12) 1999/2000
Total Claimed Amount	(07) 650,000	(13) 789,289
Less: 10% Late Penalty, not to exceed \$1,000		(14)
Less: Estimated Claim Payment Received		(15) 650,000
Net Claimed Amount		(16) 139,289
Due from State	(08) 650,000	(17) 139,289
Due to State		(18) - 0 -

(38) CERTIFICATION OF CLAIM

In accordance with the provisions of Government Code § 17561, I certify that I am the person authorized by the local agency to file claims with the State of California for costs mandated by Chapter 183, Statutes of 1992, Chapter 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995; and certify under penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1096, inclusive.

I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein; and such costs are for a new program or increased level of services of an existing program mandated by Chapter 183, Statutes of 1992, Chapter 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995.

The amounts for Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs for the mandated program of Chapter 183, Statutes of 1992, Chapter 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, set forth on the attached statements.

Signature of Authorized Representative

*John Cavalli*

JOHN CAVALLI

Type or Print Name

Date

01/11/01

CHIEF PROBATION OFFICER

Title

(39) Name of Contact Person for Claim

ANDY BALANCE

Telephone Number (408) 435 - 2265 Ext. \_\_\_\_\_

E-mail Address

abalance@LNGATE.apd.co.santa-clara.ca.us

MANDATED COSTS DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT CLAIM SUMMARY						FORM DVTS-1
(01) Claimant SANTA CLARA COUNTY PROBATION DEPARTMENT		(02) Type of Claim Reimbursement <input checked="" type="checkbox"/> Estimated <input type="checkbox"/>			Fiscal Year 1999 / 2000	
(03) (a) Number of vendor applications reviewed during the fiscal year of claim						17
(b) Number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim						3,259
<b>Direct Costs</b>						
(04) Reimbursable Components	(a) Salaries	(b) Benefits	(c) Services and Supplies	(d) Training and Travel	(e) Fixed Assets	(f) Total
1. Administration and Regulation of Batterers' Treatment Programs	135,836	27,830				163,666
2. Victim Notification	77,924	15,965				93,889
3. Assessing Future Probability of Defendant Committing Murder	115,842	23,733				139,576
(05) Total Direct Costs	329,603	67,528				397,131
<b>Indirect Costs</b>						
(06) Indirect Cost Rate	[From ICRP]					99.50%
(07) Total Indirect Costs	[Line (06) x line (05)(a) or line ((06) x line ((05)(a) + line (05)(b))]					395,158
(08) Total Direct and Indirect Costs	[Line (05)(f) + line (07)]					792,289
<b>Cost Reduction</b>						
(09) Less: Offsetting Savings, if applicable						(3,000)
(10) Less: Amount Received from Penal Code 1203.097 (c)(5)(B) and other Applicable Reimbursements						
(11) Total Claimed Amount	[Line (08) - (Line (09) + Line (10))]					789,289

**MANDATED COSTS**  
**DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT**  
**COMPONENT/ACTIVITY COST DETAIL**

FORM  
DVTS-2

1) Claimant **SANTA CLARA COUNTY PROBATION DEPARTMENT** (02) Fiscal Year Costs Were Incurred **1999 - 2000**

3) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs

Victim Notification  Assessing Future Probability of Defendant Committing Murder

4) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process new applications and review application corrections. Conduct onsite administrative and group reviews. - Provide progress report evaluations, editing standards and policy reviews. - Prepare meeting agendas, minutes and reports for Certification meetings. - Prepare notification of approval, renewal, suspension, and or revocations. - Respond to in-house and public inquiries and miscellaneous correspondence. - Meet and confer with other criminal justice agencies and victim advocacy programs. - Staff training regarding administration and regulation of batterers programs. - Provide resources to victims in the form of handbooks.	39.01	3,482	135,836	27,830			

05) Total  Subtotal  Page: 1 of 107 135,836 27,830 0 0 0

**MANDATED COSTS**  
**DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT**  
**COMPONENT/ACTIVITY COST DETAIL**

FORM  
DVTS-2

(01) Claimant **SANTA CLARA COUNTY PROBATION DEPARTMENT** (02) Fiscal Year Costs Were Incurred **1999 - 2000**

(03) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification  Assessing Future Probability of Defendant Committing Murder

(04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process victim notifications regarding the requirements for the defendant's participation in a batterers program. - Process notifications to victims regarding available victim resources. - Provide information to the victims that attendance to any of the programs does not guarantee that an abuser will no longer be violent.	39.01	1,997	77,924	15,965			

(05) Total  Subtotal  Page: 1 of 1 77,924    15,965    0    0    0

**MANDATED COSTS**  
**DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT**  
**COMPONENT/ACTIVITY COST DETAIL**

FORM  
DVTS-2

01) Claimant **SANTA CLARA COUNTY PROBATION DEPARTMENT** (02) Fiscal Year Costs Were Incurred **1999 - 2000**

03) Reimbursable Components: Check only one box per form to identify the component being claimed.  
 Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification  Assessing Future Probability of Defendant Committing Murder

04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Assist in evaluating and selection of a homicidal risk assessment instrument. - Assess or evaluate defendants for the possibility of committing murder in the future. - Perform interviews and investigate dependants.	39.01	2,969	115,842	23,733			

(05) Total  Subtotal  Page: 1 of 1 115,842    23,733    0    0    0

**CALCULATION OF PRODUCTIVE HOURLY AND BENEFIT RATES**

**FY00 for 3724 & 3726**

	DAFR8030	# of PO's	X50/X52/X53	X48	X44
	Salaries (1185)			Salaries (1185)	Salaries (1185)
W85	126,250	14/99	145	16	1,171,028
X50	7,887,227 *	07/99	142	15	167,945
		26/98	143	15	
		20/98	140	15	
	8,013,477	15/98	137	15	
			705	76	
		Avg. Empl	141	# of Employees	15.2
				# of Employees	2
	<b>Average Salaries</b>				<b>77,847</b>
					<b>59,106</b>

\* - Includes X52 & X53's

**CALCULATION OF BENEFIT RATE**

		X50's	X44/X48
1183	\$ 1,878/Empl	1,878	1,878
1196	\$ 5,042/Empl	5,042	5,042
1198	0.07	4,137	5,449
1199	0.0169	999	1,316
1192	0.0009	53	70
		12,110	13,755
	<b>Benefit Rate</b>	<b>20.49%</b>	<b>17.67%</b>

**TOTAL REGULAR HOURS**

	2,080
1. Vacation/STO Earned	248
2. Sick Leave Earned	64
3. Paid Holiday	88
4. Authorized Breaks	101
5. Training , etc.	40
6. Staff Meeting	24
	565
	<b>1,515</b>

**Productive Hourly Rate**

	X50's	X44/X48
	<b>\$ 39.01</b>	<b>\$ 51.38</b>

Note: 11/20/97:

- Per Vicci Hult - Training hours for Supv. Group Counselor & Supv. Probation Counselor annually is 40 hours.
- Per Jerry Neary the Staff meeting is 2 hrs. every month for Supv. Group Counselor.
- Per Marty Brewer the staff meeting is 1 hr twice a month.

BREAK CALCULATION:

(Reg. Hours - (VacSTO + SL + Pd Hol + Training + Mtg))

\*\* (2080-(248+64+88+40+24)) = 1616/8 = 202\*.5 = 101



' AMENDED '

CLAIM FOR PAYMENT Pursuant to Government Code Section 17561 DOMESTIC VIOLENCE TREATMENT SERVICES AUTHORIZATION AND CASE MANAGEMENT			For State Controller Use Only	
(01) Claimant Identification Number <b>9943</b>			(19) Program Number	<b>00177</b>
(02) Mailing Address			(20) Date Filed	_ / _ / _
Claimant Name <b>AUDITOR-CONTROLLER</b>			(21) LRS Input	_ / _ / _
County of Location <b>COUNTY OF SANTA CLARA</b>			<b>Reimbursement Claim Data</b>	
Street Address or P.O. Box			(22) DVTS-1,(03)(a)	<b>17</b>
City <b>70 W.HEDDING ST</b>			(23) DVTS-1,(03)(b)	<b>3,259</b>
State <b>CA</b>			(24) DVTS-1,(04)(1)(d)	<b>165,199</b>
Zip Code <b>95110</b>			(25) DVTS-1,(04)(2)(d)	<b>94,768</b>
City <b>70 W.HEDDING ST</b>			(26) DVTS-1,(04)(3)(d)	<b>140,882</b>
State <b>CA</b>			(27) DVTS-1,(06)	<b>99.50%</b>
Zip Code <b>95110</b>			(28)	
Type of Claim			(29)	
Estimated Claim			(30)	
Reimbursement Claim			(31)	
(03) Estimated <input type="checkbox"/>			(32)	
(04) Combined <input type="checkbox"/>			(33)	
(05) Amended <input type="checkbox"/>			(34)	
(09) Reimbursement <input type="checkbox"/>			(35)	
(10) Combined <input type="checkbox"/>			(36)	
(11) Amended <input checked="" type="checkbox"/>			(37)	
Fiscal Year of Cost			(38)	
(06) <b>2000-2001</b>			(39)	
(12) <b>1999-2000</b>			(40)	
Total Claimed Amount			(41)	
(07) <b>\$796,707</b>			(42)	
LESS: 10% Late Penalty, but not to exceed \$1,000 (if applicable)			(43)	
(14)			(44)	
LESS: Estimated Claim Payment Received			(45)	
(15) <b>\$328,046</b>			(46)	
Net Claimed Amount			(47)	
(16) <b>\$468,661</b>			(48)	
Due from State			(49)	
(08) <b>\$468,661</b>			(50)	
Due to State			(51)	
(18)			(52)	
<b>(38) CERTIFICATION OF CLAIM</b>				
<p>In accordance with the provisions of Government Code 17561, I certify that I am the person authorized by the local agency to file claims with the State of California for costs mandated by Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, and certify under the penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1096, inclusive.</p> <p>I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein; and such costs are for a new program or increased level of services of an existing program mandated by Chapter 183/92, Chapter 184/92, Chapter 28/94, and Chapter 641/95</p> <p>The amount for Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs for the mandated program of Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, set forth on the attached statements.</p>				
Signature of Authorized Representative			Date	
 <b>ANDY BALANCE</b>			<b>10/25/01</b>	
Print or type name			Title	
<b>CHAMPA MANIAN</b>			<b>DEPT. FISCAL OFFICER</b>	
(39) Name of Contact Person for Claim			Telephone Number	
<b>CHAMPA MANIAN</b>			<b>408-468-1817</b>	

MANDATED COSTS DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT CLAIM SUMMARY						FORM DVTS-1
(01) Claimant SANTA CLARA COUNTY PROBATION DEPARTMENT		(02) Type of Claim Reimbursement <input checked="" type="checkbox"/> Estimated <input type="checkbox"/>			Fiscal Year 1999 / 2000	
(03) (a) Number of vendor applications reviewed during the fiscal year of claim						17
(b) Number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim						3,259
<b>Direct Costs</b>						
(04) Reimbursable Components	(a) Salaries	(b) Benefits	(c) Services and Supplies	(d) Training and Travel	(e) Fixed Assets	(f) Total
1. Administration and Regulation of Batterers' Treatment Programs	135,836	29,362				165,199
2. Victim Notification	77,924	16,844				94,768
3. Assessing Future Probability of Defendant Committing Murder	115,842	25,040				140,882
(05) Total Direct Costs	329,603	71,246				400,849
<b>Indirect Costs</b>						
(06) Indirect Cost Rate	[From ICRP]					99.50%
(07) Total Indirect Costs	[Line (06) x line (05)(a)] or line [(06) x line {(05)(a) + line (05)(b)}]					398,858
(08) Total Direct and Indirect Costs	[Line (05)(f) + line (07)]					799,707
<b>Cost Reduction</b>						
(09) Less: Offsetting Savings, if applicable						(3,000)
(10) Less: Amount Received from Penal Code 1203.097 (c)(5)(B) and other Applicable Reimbursements						
(11) Total Claimed Amount	[Line (08) - (Line (09) + Line (10))]					796,707

<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b>	<b>FORM</b> <b>DVTS-2</b>
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(01) Claimant <b>SANTA CLARA COUNTY</b> <b>PROBATION DEPARTMENT</b>	(02) Fiscal Year Costs Were Incurred <p style="text-align: right;">1999 - 2000</p>
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(03) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification
  Assessing Future Probability of Defendant Committing Murder

(04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process new applications and review application corrections. Conduct onsite administrative and group reviews. - Provide progress report evaluations, editing standards and policy reviews. - Prepare meeting agendas, minutes and reports for Certification meetings. - Prepare notification of approval, renewal, suspension, and or revocations. - Respond to in-house and public inquiries and miscellaneous correspondence. - Meet and confer with other criminal justice agencies and victim advocacy programs. - Staff training regarding administration and regulation of batterers programs. - Provide resources to victims in the form of handbooks.	39.01	3,482	135,836	29,362			

(05) Total	<input checked="" type="checkbox"/>	Subtotal	<input type="checkbox"/>	Page: <u>  1  </u> of <u>  </u>	135,836	29,362	0	0	0
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<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b>	<b>FORM</b> <b>DVTS-2</b>
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(01) Claimant <b>SANTA CLARA COUNTY PROBATION DEPARTMENT</b>	(02) Fiscal Year Costs Were Incurred <p style="text-align: center;">1999 - 2000</p>
--	--

(03) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification                       Assessing Future Probability of Defendant Committing Murder

(04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process victim notifications regarding the requirements for the defendant's participation in a batterers program. - Process notifications to victims regarding available victim resources. - Provide information to the victims that attendance to any of the programs does not guarantee that an abuser will no longer be violent.	39.01	1,997	77,924	16,844			

(05) Total	<input checked="" type="checkbox"/>	Subtotal	<input type="checkbox"/>	Page: <u>  1  </u> of <u>  1  </u>	77,924	16,844	0	0	0
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<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b>	<b>FORM</b> <b>DVTS-2</b>
---	------------------------------

<b>(01) Claimant</b> <b>SANTA CLARA COUNTY</b> <b>PROBATION DEPARTMENT</b>	<b>(02) Fiscal Year Costs Were Incurred</b> <p style="text-align: center;">1999 - 2000</p>
---	---

**(03) Reimbursable Components:** Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification                       Assessing Future Probability of Defendant Committing Murder

**(04) Description of Expenses:** Complete columns (a) through (h).                      **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Assist in evaluating and selection of a homicidal risk assessment instrument. - Assess or evaluate defendants for the possibility of committing murder in the future. - Perform interviews and investigate dependants.	39.01	2,969	115,842	25,040			

<b>(05) Total</b>	<input checked="" type="checkbox"/>	Subtotal	<input type="checkbox"/>	Page: <u>  1  </u> of <u>  1  </u>	115,842	25,040	0	0	0
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**CALCULATION OF PRODUCTIVE HOURLY AND BENEFIT RATES**

**FY00 for 3724 & 3726**

DAFR8030		<u># of PO's</u>	<u>X50/X52/X53</u>	<u>X48</u>	<u>X44</u>	
	<u>Salaries (1185)</u>			<u>Salaries (1185)</u>	<u>Salaries (1185)</u>	
<b>W85</b>	126,250	14/99	145	16	1,171,028	167,945
<b>X50</b>	7,887,227 *	07/99	142	15		
		26/98	143	15		
		20/98	140	15		
	<u>8,013,477</u>	15/98	137	15		
			<u>705</u>	<u>76</u>	<u># of Employees</u>	<u># of Employees</u>
		<i>Avg. Empl</i>	<u>141</u>	<u>15.2</u>	<u>2</u>	
<b>Average Salaries</b>			<b>59,106</b>			<b>77,847</b>

**CALCULATION OF BENEFIT RATE**

		<u>X50's</u>	<u>X44/X48</u>
1183	\$ 1,878/Empl	1,878	1,878
1196	\$ 5,042/Empl	5,042	5,042
1198	0.07	4,137	5,449
1199	0.0169	999	1,316
1192	0.0009	53	70
(1) 1197	0.0145	667	878
		<u>12,776</u>	<u>14,633</u>
<b>Benefit Rate</b>		<b>21.62%</b>	<b>18.80%</b>

TOTAL REGULAR HOURS		2,080
1. Vacation/STO Earned	248	
2. Sick Leave Earned	64	
3. Paid Holiday	88	
4. Authorized Breaks	101	
5. Training , etc.	40	
6. Staff Meeting	24	565
		<u>1,515</u>

<u>Productive Hourly Rate</u>	<u>X50's</u>	<u>X44/X48</u>
	<b>\$ 39.01</b>	<b>\$ 51.38</b>

Note: 11/20/97:

- Per Vicci Hult - Training hours for Supv. Group Counselor & Supv. Probation Counselor annually is 40 hours.
- Per Jerry Neary the Staff meeting is 2 hrs. every month for Supv. Group Counselor.
- Per Marty Brewer the staff meeting is 1 hr twice a month.

BREAK CALCULATION: (Reg. Hours - (VacSTO + SL + Pd Hol + Training + Mtg))

\*\* (2080-(248+64+88+40+24)) = 1616/8 = 202\*.5 = 101

(1) Medicare portion of FICA applies only to Probation Officers hired after 4/1/86. Based on a review of Probation Officers on staff in fiscal year 99-00, 22.2% were employed prior to 4/1/86. Accordingly, annual FICA benefits have been proportionately reduced.

**CALCULATION OF PRODUCTIVE HOURLY AND BENEFIT RATES**

**FY00 for 3724 & 3726**

DAFR8030		# of PO's	X50/X52/X53	X48	X44
Salaries (1185)				Salaries (1185)	Salaries (1185)
<b>W85</b>	126,250	14/99	145	16	1,171,028
<b>X50</b>	7,887,227 *	07/99	142	15	167,945
		26/98	143	15	
		20/98	140	15	
		15/98	137	15	
	<u>8,013,477</u>		<u>705</u>	<u>76</u>	
* - Includes X52 & X53's		Avg. Empl	<u>141</u>	# of Employees	<u>15.2</u>
				# of Employees	<u>2</u>
<b>Average Salaries</b>			<b>59,106</b>		<b>77,847</b>

**CALCULATION OF BENEFIT RATE**

		X50's	X44/X48
1183	\$ 1,878/Empl	1,878	1,878
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(1) 1197	0.0145	667	878
		<u>12,776</u>	<u>14,633</u>
<b>Benefit Rate</b>		<b>21.62%</b>	<b>18.80%</b>

TOTAL REGULAR HOURS		2,080
1. Vacation/STO Earned	248	
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6. Staff Meeting	24	565
		<u>1,515</u>

<u>Productive Hourly Rate</u>	X50's	X44/X48
	<b>\$ 39.01</b>	<b>\$ 51.38</b>

Note: 11/20/97:

- Per Vicci Hult - Training hours for Supv. Group Counselor & Supv. Probation Counselor annually is 40 hours.
- Per Jerry Neary the Staff meeting is 2 hrs. every month for Supv. Group Counselor.
- Per Marty Brewer the staff meeting is 1 hr twice a month.

BREAK CALCULATION: (Reg. Hours - (VacSTO + SL + Pd Hol + Training + Mtg))

\*\* (2080-(248+64+88+40+24)) = 1616/8 = 202\*.5 = 101

(1) Medicare portion of FICA applies only to Probation Officers hired after 4/1/86. Based on a review of Probation Officers on staff in fiscal year 99-00, 22.2% were employed prior to 4/1/86. Accordingly, annual FICA benefits have been proportionately reduced.

**Santa Clara County**  
**Domestic Violence Treatment Services Program**  
**Audit Period from July 1, 1999 through June 30, 2001**  
**Audit ID# 003-MCC-0002**

**Analysis of Indirect Cost Rate Calculation 1999-2000**

<u>Description of Cost</u>	<u>Claimed Indirect Costs</u>	<u>Allowable per Audit</u>	<u>Adjustments</u>
Salaries and Benefits	3,987,854	3,987,854	-
Services and Supplies	1,352,202	1,352,202	-
General Admin Cost Allocation	1,745,097	1,745,097	-
Cost Plan Costs Allocation	<u>3,738,083</u>	<u>2,014,494</u>	<u>1,723,589</u>
Total Indirect Costs	<u><u>10,823,236</u></u>	<u><u>9,099,647</u></u>	<u><u>1,723,589</u></u>
Claimed Direct Labor	10,877,285	10,877,285	-
Indirect cost rate	99.50%	83.66%	15.85%

*Revised  
Differences  
to  
Permit Audit*

**INDIRECT COST RATE PROPOSAL**  
**PROBATION DEPARTMENT**  
**ADULT INVESTIGATION & ADULT SUPERVISION**  
**CHAPTERS 183192, 184192, 28194, and 641195 - DOMESTIC VIOLENCE TREATMENT SERVICES**  
**AUTHORIZATION AND CASE MANAGEMENT**  
(FY 00 ACTUAL)  
SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

DESCRIPTION OF COST		TOTAL COST	EXCLUDABLE UNALLOWABLE COST	ALLOWABLE INDIRECT COST	ALLOWABLE DIRECT COST
<b>SALARIES AND BENEFITS</b>					
Salaries & Wages	1185,1187,1193,1195	12,022,067		3,079,771	8,942,296
Overtime	1191, 1391	3,197	0	1,424	1,773
Benefits	1183,1192,1196,1197,1198,1199	2,839,879		906,663	1,933,216
<b>SUBTOTAL</b>		<b>14,865,143</b>	<b>0</b>	<b>3,987,858</b>	<b>10,877,285</b>
<b>SERVICES &amp; SUPPLIES</b>					
Telephone Services	2124,2125,2126	113,833		113,833	
Maintenance-Equip & Struct Imp.	2211,2225	66,470		44,465	22,005
Rents & Leases - Equip & Office	2451,2472	871,329		871,329	0
Small Tools & Inst.	2501	69,349		58,094	11,255
Printing & Reproduction	2585,2586	12,691		12,691	
Automobile Mileage	2752	12,245		12,245	
Garage Auto Services	2756	167,373		167,373	
Others (all other obj. 2)	all other obj. 2	72,172		72,172	0
<b>SUBTOTAL</b>		<b>1,385,462</b>	<b>0</b>	<b>1,352,202</b>	<b>33,260</b>
<b>TOTAL</b>		<b>16,250,605</b>	<b>0</b>	<b>5,340,060</b>	<b>10,910,545</b>
GENERAL ADMIN COST ALLOC					
		1,745,097		1,745,097	
COST PLAN COSTS ALLOCATION					
		3,738,083		3,738,083	
<b>TOTAL COSTS</b>		<b>21,733,785</b>	<b>0</b>	<b>10,823,240</b>	<b>10,910,545</b>
<b>INDIRECT COST RATE</b>				<b>99.50%</b>	

PROBATION DEPARTMENT  
FY00 PROGRAM COST ANALYSIS

PROGRAM	SALARY & BENEFIT	SRVCS & SUPPLS	ADM/SUP ALLOC	GEN/ADM ALLOC	REIMB	VAC/SICK ACCRUAL	COST PLAN ALLOC	TOTAL COST	UNALLOWABLE	REVENUE	NET COST	BED/MAN DAYS	COST PER DAY
JUVENILE DIVISION													
JUVENILE HALL	13,140,137	1,053,224	83,826	3,234,644	(235,295)		1,984,492	19,261,028		385,839	18,875,189	109,144	\$172.94
JAMES RANCH	2,714,980	521,934	141,430	711,298			423,057	4,512,700		150,540	4,362,160	26,994	\$161.60
HOLDEN RANCH	2,665,760	487,069	141,678	712,022			402,116	4,408,645		80,790	4,327,855	27,317	\$158.43
WRIGHT CENTER	2,018,360	459,993	113,452	464,919			310,250	3,366,975		49,315	3,317,660	13,016	\$254.89
JUVENILE SERVICES	5,475,068	1,064,066	752,452	842,529			700,613	8,834,728		7,502	8,827,226		
COMMUNITY SERVICES	5,004,909	2,875,993	667,040	906,469	(61,650)		621,085	10,013,846		3,087,415	6,926,431		
Traffic	88,639	7,613		544,828			61,689	702,770		11,248	691,522		
EMP/PMMP	308,877	90,510	41,166	55,943			38,330	534,827			534,827	43,405	\$12.32
CRP	154,439	22,064	20,583	27,971			19,165	244,222			244,222	18,568	\$13.15
TOTAL JUVENILE DIVISION	31,571,169	6,582,466	1,961,628	7,500,624	(296,945)	0	4,560,797	51,879,740		3,772,649	48,107,091		
ADULT DIVISION													
ADULT INVESTIGATION	4,908,326	55,261	1,975,311	562,585	(65,020)		649,825	8,086,289		0	8,086,289		
Adult Screening	765,385	3,328	100,709	95,085			109,830	1,074,335			1,074,335		
ADULT SUPERVISION	6,118,234	191,903	1,873,500	1,087,428	(132,582)		2,978,428	12,116,910			12,116,910		
WORK FURLOUGH PROGRAM	1,689,464	340,666	170,288	259,299			508,765	2,968,482			2,968,482	80,833	\$36.72
Women's Residential Center	1,088,590	244,615	174,714	172,866			339,177	2,020,062			2,020,062	19,121	\$105.65
TOTAL ADULT DIVISION	14,570,099	835,774	4,294,521	2,177,261	(197,602)	0	4,586,025	26,266,078	0	0	26,266,078		
TOTAL OTHER			57,648	2,093,309			(1,742,469)	408,488		0	408,488		
TOTAL PROBATION DEPT	46,141,268	7,418,240	6,313,798	11,771,195	(494,547)	0	7,404,353	78,554,306	0	3,772,649	74,781,657		

121

PROBATION DEPARTMENT  
 COST PLAN COSTS ALL OCCATION (FY00) - Revised based on 01/08/99 Cost Plan Report

DESCRIPTION	COST PLAN	SMALL	TRAF	7.0	197.5	35.0	35.0	30.0	79.5	77.0	35.0	83.0	87.0	ADJUSTMENT	TOTAL
BUILDING USE	345,711	0	5,091	732	143,648	25,457	25,457	21,820	57,623	56,004	1,778	4,216	4,419	0	345,711
EQUIPMENT USE	69,603	0	732	20,641	3,658	3,658	3,658	3,135	8,308	8,047	3,658	8,674	9,092	44,908	69,603
SPACE RENTAL	44,908	0	0	0	11	2	2	2	5	4	2	5	5	0	44,908
INTERNAL AUDIT	38	0	0	0	11	2	2	2	5	4	2	5	5	0	38
COUNTY EXECUTIVE	86,977	0	914	25,793	4,571	4,571	4,571	3,918	10,382	10,056	4,571	10,839	11,362	86,977	86,977
CONTROLLER I	200,304	0	2,105	59,399	10,526	10,526	10,526	9,023	23,910	23,158	10,526	24,963	26,166	200,304	200,304
CONTROLLER II	3,191	0	34	946	168	168	168	144	381	369	168	398	417	3,191	3,191
CONTROLLER III	32,474	0	341	9,630	1,707	1,707	1,707	1,463	3,876	3,755	1,707	4,047	4,242	32,474	32,474
PURCHASING	70,628	0	742	20,944	3,712	3,712	3,712	3,181	8,431	8,166	3,712	8,802	9,226	70,628	70,628
PERSONNEL	267,812	0	2,815	79,419	14,074	14,074	14,074	12,064	31,989	30,963	14,074	33,376	34,984	267,812	267,812
LABOR RELATIONS	70,665	0	743	20,955	3,714	3,714	3,714	3,183	8,435	8,170	3,714	8,807	9,231	70,665	70,665
TRAINING/STAFF DEV	50,896	0	535	15,093	2,675	2,675	2,675	2,293	6,075	5,884	2,675	6,343	6,649	50,896	50,896
GSA-MAINTENANCE	1,143,714	0	0	459,053	142,417	142,417	142,417	124,484	34,106	46,090	200,951	12,099	12,098	1,143,714	1,143,714
GSA-CUSTODIAL & GRD	317,099	0	0	127,274	39,486	39,486	39,486	26,196	9,456	12,779	55,715	3,354	3,354	317,099	317,099
GSA-UTILITIES	868,454	0	0	348,572	108,141	108,141	108,141	71,744	25,898	34,997	152,588	9,187	9,186	868,454	868,454
GSA-EMERGENCY	15,630	0	164	4,635	821	821	821	704	1,866	1,807	821	1,948	2,042	15,630	15,630
GSA-CAPITAL PROJECTS	3,175	0	340	9,605	1,702	1,702	1,702	1,459	3,866	3,745	1,702	4,036	4,231	3,175	3,175
GSA-OTHER SERVICES	32,388	0	889	25,096	4,447	4,447	4,447	3,812	10,102	9,784	4,447	10,547	11,055	32,388	32,388
BUDGET & ANALYSIS	84,628	0	0	25,096	4,447	4,447	4,447	3,812	10,102	9,784	4,447	10,547	11,055	84,628	84,628
CRIMINAL JUSTICE	654,060	0	0	5,022	890	890	890	763	2,021	1,958	890	2,110	2,212	654,060	654,060
COUNTY COUNSEL	16,934	0	178	5,022	890	890	890	763	2,021	1,958	890	2,110	2,212	16,934	16,934
REVENUE & COLLECTION	1,389,210	0	0	98,660	46,347	37,879	37,879	18,548	0	3,070	229,061	37,243	921,472	1,389,210	1,389,210
OTHER CENTRAL SRVCS	26,556	0	279	7,875	1,396	1,396	1,396	1,196	3,170	3,070	1,396	3,310	3,469	26,556	26,556
ESA-DEPT OVHDD	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
TOTAL ALLOCATED	5,795,055	0	15,904	1,485,446	415,909	407,441	407,441	279,131	250,081	268,806	805,823	459,117	1,362,488	5,795,055	5,795,055
PY ADJ - OVERPAYMENT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PEERS CREDIT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NET ALLOCATED	5,795,055	0	15,904	1,485,446	415,909	407,441	407,441	279,131	250,081	268,806	805,823	459,117	1,362,488	5,795,055	5,795,055
ROLL FORWARD	608,878	0	1,684	157,293	44,040	43,144	43,144	29,557	26,481	28,464	85,328	48,616	144,273	608,878	608,878
PROPOSED COSTS	6,403,933	0	17,588	1,642,739	459,950	450,585	450,585	308,688	276,562	297,270	891,151	507,733	1,506,761	6,403,933	6,403,933

V  
 2014, 494

Allocation of GSA Charges						
Based on Building Sq. Footage						
Building Address	Description	Sq.Foot	Used By	Index	Allocation	Comments
840 Guadalupe Parkway	Juv. Detention Center 1st Floor	44,000	JH	3706		
840 Guadalupe Parkway	Juv. Detention Center 2nd Floor	5,000	JH	3706		
840 Guadalupe Parkway	Kitchen	8,700	JH	3706		
840 Guadalupe Parkway	Osborn School	9,300	JH	3706		
840 Guadalupe Parkway	JH. 1st Floor	11,000	JH	3706		
840 Guadalupe Parkway	JH. 2nd Floor	11,600	JH	3706		
840 Guadalupe Parkway	Gymnasium	10,000	JH	3706		
	Total JH	99,600			36.437%	Allocated to JH
840 Guadalupe Parkway	Probation Bldg. 2nd Floor	7,400	JS	3702	2.707%	Allocated to Juv. Serv
840 Guadalupe Parkway	Probation Bldg. 3rd Floor	10,000	CS	3708	3.658%	Allocated to Com. Serv.
840 Guadalupe Parkway	Probation Bldg. 1st Floor	4,800	Admn	3720		
840 Guadalupe Parkway	Probation Bldg. 4th Floor	10,000	Admn	3720		
840 Guadalupe Parkway	Lower Level	10,400	Admn	3720		
		25,200			9.219%	Admn. Allocated to all.
19050 Malaguerra	James Ranch	30,900	JR	3714	11.304%	allocated to James Ranch
19050 Malaguerra	Holden Ranch	30,900	HR	3716	11.304%	Alloc. To Holden
295 Bernal Ave, W.	Wright Center	20,500	WC	3718	7.500%	alloc. To Wright
245 George St.	Women's Residential Center	10,400	WRC	3439	3.805%	alloc. To WRC
590 Middlefield	Men's Work Furlough	33,200	MWF	3710	12.146%	alloc. To MWF
270 Grant St. 3rd Floor	North County Office	3,430	Adult			
80 Highland Ave.		700	Adult			
12425 Monterey Rd.	South County Office	1,120	Adult			
		5,250			1.921%	0.95% to Adult Inv. 3724
						0.95% to Adult Supv. 3726
	Tot. Sq.Ft. for County owned bldgs occupied by Probation Dept.	273,350			100.000%	

PROBATION DEPARTMENT  
COST PLAN COSTS ALLOCATION (FY00)

DESCRIPTION	COST PLAN	JMALL	TRAF	7.0	497.5	350.0	35.0	30.0	79.5	77.0	35.0	83.0	87.0	ADJUSTMENT	TOTAL
BUILDING USE	345,711	0	5,091	143,648	25,457	28,457	21,820	57,823	56,004	1,778	4,216	4,419	0	(1,788,214)	666,000
EQUIPMENT USE	69,603	0	732	20,641	3,658	3,658	3,135	8,308	8,047	3,658	8,674	9,092	0	(1,788,214)	629,397
SPACE RENTAL	45,745	0	0	11	2	2	2	4	4	2	4	5	0	(1,788,214)	59,603
INTERNAL AUDIT	36	0	0	0	0	0	0	0	0	0	0	0	0	(1,788,214)	36
COUNTY EXECUTIVE	86,644	0	911	25,694	4,553	4,553	3,903	10,343	10,017	4,553	10,798	11,318	0	(1,788,214)	86,644
CONTROLLER I	240,082	0	2,523	71,195	12,617	12,617	10,815	28,658	27,757	12,617	29,920	31,362	0	(1,788,214)	240,082
CONTROLLER II	3,193	0	34	947	168	168	144	381	369	168	398	417	0	(1,788,214)	3,193
CONTROLLER III	28,629	0	301	8,490	1,505	1,505	1,290	3,417	3,310	1,505	3,568	3,740	0	(1,788,214)	28,629
PURCHASING	67,659	0	711	20,064	3,556	3,556	3,048	8,076	7,822	3,556	8,432	8,838	0	(1,788,214)	67,659
PERSONNEL	427,321	0	4,491	126,721	22,457	22,457	19,249	51,009	49,405	22,457	53,255	55,821	0	(1,788,214)	427,321
LABOR RELATIONS	99,065	0	1,041	29,377	5,206	5,206	4,462	11,825	11,453	5,206	12,346	12,941	0	(1,788,214)	99,065
TRAINING/STAFF DEV	52,823	0	555	15,664	2,776	2,776	2,379	6,305	6,107	2,776	6,583	6,900	0	(1,788,214)	52,823
GSA-MAINTENANCE	1,150,590	0	12,093	341,203	60,466	60,466	51,828	137,345	133,026	60,466	143,392	150,302	0	(1,788,214)	1,150,590
GSA-CUSTOMERIAL & GRD	318,690	0	3,380	94,506	16,748	16,748	14,355	38,042	36,846	16,748	39,717	41,631	0	(1,788,214)	318,690
GSA-UTILITIES	869,037	0	9,134	257,710	45,670	45,670	39,146	103,736	100,474	45,670	108,303	113,523	0	(1,788,214)	869,037
GSA-EMERGENCY	15,714	0	185	4,660	826	826	708	1,876	1,817	826	1,958	2,053	0	(1,788,214)	15,714
GSA-CAPITAL PROJECTS	424,205	0	4,459	125,797	22,293	22,293	19,108	50,637	49,045	22,293	52,866	55,414	0	(1,788,214)	424,205
GSA-OTHER SERVICES	44,961	0	473	13,333	2,363	2,363	2,025	5,367	5,198	2,363	5,603	5,873	0	(1,788,214)	44,961
BUDGET & ANALYSIS	71,823	0	755	21,299	3,774	3,774	3,235	8,573	8,304	3,774	8,951	9,382	0	(1,788,214)	71,823
CRIMINAL JUSTICE	661,612	0	6,954	196,199	34,769	34,769	29,802	78,976	76,493	34,769	82,453	86,427	0	(1,788,214)	661,612
COUNTY COUNSEL	15,028	0	158	4,457	790	790	677	1,794	1,737	790	1,873	1,963	0	(1,788,214)	15,028
REVENUE & COLLECTION	3,018,815	0	278	214,393	100,714	100,714	82,312	40,307	3,162	497,759	80,931	2,002,399	0	(1,788,214)	3,018,815
OTHER CENTRAL SVCS	26,493	0	0	7,856	1,392	1,392	1,193	3,063	3,063	1,392	3,302	3,461	0	(1,788,214)	26,493
ESA-DEPT OVHDD	8,083,479	0	54,209	1,743,865	371,759	353,357	272,631	615,661	596,300	745,126	667,543	2,617,282	0	(1,788,214)	8,083,479
PV ADJ - OVERPAYMENT	(1,788,214)	0	0	0	0	0	0	0	0	0	0	0	0	(1,788,214)	0
PERS CREDIT	6,295,265	0	54,209	1,743,865	371,759	353,357	272,631	615,661	596,300	745,126	667,543	2,617,282	0	(1,788,214)	6,295,265
NET ALLOCATED	1,109,088	0	7,480	240,627	51,297	48,758	37,619	84,952	82,281	102,816	92,111	361,146	0	(1,788,214)	1,109,088
ROLL FORWARD	7,404,353	0	61,689	1,984,492	423,057	402,116	310,250	700,613	678,581	847,942	759,654	2,978,428	0	(1,788,214)	7,404,353
PROPOSED COSTS	7,404,353	0	61,689	1,984,492	423,057	402,116	310,250	700,613	678,581	847,942	759,654	2,978,428	0	(1,788,214)	7,404,353

2,738,083

**PROBATION DEPARTMENT**

(FY 00 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

**SALARIES AND BENEFITS**

Class	Title	1185	1187	TOTAL	Allowable Indirect Costs	Allowable Direct Costs
<b><u>ADMIN &amp; SUPPORT</u></b>						
	TOTAL	2,098,721	173,592	2,272,313	2,272,313	
	Premium Pay (1193,1195,1391)			22,825	22,825	
	Overtime (1191, 1391)			1,424	1,424	
	Benefits (1183,1192,1196, 1197,1198,1199)			776,636	776,636	
	<b>SUBTOTAL</b>			<b>3,073,198</b>		
<b><u>ADULT INVESTIGATION</u></b>						
X44	Probation Manager	81,491		81,491	81,491	
X48	Supv Probation Officer	567,953		567,953	567,953	
X5x	Deputy Prob Officer (w85)	3,794,906	69,743	3,864,649		3,864,649
X27	Sr Group Counselor	4,187		4,187	4,187	-
Q96	Training Instructor / Others	27,430	1,981	29,411	1,981	27,430
E19	Prob Community Worker	125,484	14,250	139,734		139,734
	<b>SUBTOTAL</b>	<b>4,601,451</b>	<b>85,974</b>	<b>4,687,425</b>		
	Premium Pay (1193,1195,1391)			11,484		11,484
1191	Overtime			274		274
	Benefits(1183,1192,1196, 1197,1198,1199)			974,528	114,752	859,776
	<b>SUBTOTAL</b>			<b>5,673,711</b>		
<b><u>ADULT SUPERVISION</u></b>						
E19	Prob Comm Worker	75,110		75,110		75,110
Q94	Probation Comm Worker	42,338		42,338		42,338
W82	Supv Probation Officer			-		-
W85	Dep Probation Officer	126,250		126,250		126,250
X27	Sr Group Counselor	42,567		42,567	42,567	-
X2x	Group Counselor I/II	7,613		7,613		7,613
X44	Probation Manager	86,454		86,454	86,454	
X48	Supv Probation Officer	596,535	6,540	603,075		603,075
X50	Deputy Probation Officer	3,927,792	94,786	4,022,578		4,022,578
	<b>SUBTOTAL</b>	<b>4,904,659</b>	<b>101,326</b>	<b>5,005,985</b>		
	Premium Pay (1193,1195,1391)			23,291		23,291
1191	Overtime			243		243
	Benefits(1183,1192,1196, 1197,1198,1199)			1,088,715	15,276	1,073,439
	<b>SUBTOTAL</b>			<b>6,118,234</b>		
<b>TOTAL (Salaries &amp; Benefits)</b>				<b>14,865,143</b>		
<b>TOTAL (Direct &amp; Indirect Costs)</b>					<b>3,987,858</b>	<b>10,877,285</b>

ADULT PROBATION  
FISCAL YEAR 2000

ESBJ	DESCRIPTION	37/12 Adm/Supr	37/24 Investigation	37/26 Supervision	TOTAL
1183	RETIREE MEDICAL EXPENSE	108,558	141,843	159,076	409,477
1185	PERMANENT EMPLOYEES	2,098,721	4,601,451	4,904,659	11,604,831
1187	TEMPORARY HELP	173,592	85,974	101,326	360,892
1191	OVERTIME	1,338	274	243	1,855
1192	UNEMPLOYMENT INSURANCE	1,600	3,271	3,497	8,368
1193	PREMIUM PAY	22,825	11,484	15,930	50,239
1195	CALL DUTY			6,105	6,105
1196	HEALTH INSURANCE	321,152	404,855	462,518	1,188,525
1197	FICA-EMPLOYER SHARE	165,276	40,278	49,861	255,415
1198	PERS-RETIREMENT	140,565	303,351	327,375	771,291
1199	WORKERS' COMPENSATION	39,485	80,930	86,388	206,803
1391	HOLIDAY OVERTIME	86		1,256	1,342
<b>Object 1</b>		<b>3,073,198</b>	<b>5,673,711</b>	<b>6,118,234</b>	<b>14,865,143</b>
				149	149
2100	TRAINING-SERV & SUPPLIES				
2111	CLOTHING & PERS. EXPENSE	87	225	2,659	2,971
2125	INTERNAL TELEPHONE SRVCS	15,896	8,385		24,281
2126	COMM & TELEPHONE SRVCS	75,185	2,237	12,130	89,552
2206	INTERPRETER FEES		1,581	1,480	3,061
2211	MAINTENANCE - EQUIPMENT	41,993		22,005	63,998
2225	MAINT-STRUCT IMP. & GRD.	2,472			2,472
2251	MED, DENTAL & LAB SUPPLIES	130	493		623
2285	MISCELLANEOUS EXPENSE	726	884	256	1,866
2301	OFFICE EXPENSE	30,993	1,067	951	33,011
2322	PROF AND SPECIAL SERVICE	900			900
2331	DATA PROCESSING SERVICES			1,617	1,617
2401	PUBLICITY'S & LEGAL NOTICES	385	555	25	965
2451	RENTS & LEASES - EQUIPMENT	49,125	2,218		51,343
2455	SHOP SUPPLIES	457			457
2472	OFFICE RENTS	819,986			819,986
2501	SMALL TOOLS & INSTRUM.	58,094	1,128	10,127	69,349
2574	MEMBERSHIP DUES	35			35
2585	EXTERNAL PRINTING	(520)			(520)
2586	PRINTING & REPRODUCTION	12,460		751	13,211
2624	SPECIAL DEPT. EXPENSE	485	858	2,140	3,483
2751	TRANSPORTATION & TRAVEL	861	9,116	2,980	12,957
2752	AUTOMOBILE MILEAGE	2,149	8,499	1,597	12,245
2756	GARAGE AUTO SERVICES	16,742	17,634	132,997	167,373
2963	PC SOFTWARE	6,766			6,766
2991/2	EXTERNAL POSTAGE	14			14
2993	TRANSPORTATION	6	3,709	39	3,754
<b>Object 2</b>		<b>1,135,427</b>	<b>58,589</b>	<b>191,903</b>	<b>1,385,919</b>
7300	REIMB-PROF & SPECIAL SRVCS	0	(65,020)	(132,582)	(197,602)
<b>Object 7</b>		<b>0</b>	<b>(65,020)</b>	<b>(132,582)</b>	<b>(197,602)</b>
	<b>TOTAL</b>	<b>4,208,625</b>	<b>5,667,280</b>	<b>6,177,555</b>	<b>16,053,460</b>

PROBATION DEPARTMENT  
**JOHN CAVALLI**  
 (246) 845.5 - POSITIONS

<b>JUVENILE DIVISION</b> GLENN ARIMA 290 - POSITIONS
<b>JUVENILE ADMIN &amp; SUPPORT</b> 3705 - GLENN ARIMA 46.5 - POSITIONS
<b>ADMINISTRATION</b> 1 - DEPUTY CHIEF PO 1 - POSITION
<b>OFFICE / INST SUPPORT</b> 1 - ADMIN SUPP OFFICER 5 - SUPERVISING CLERK 5 - TRANSCRIPTIONIST 24.5 - ADV CLERK TYPIST 1 - CLERK TYPIST 2 - LAW ENF. RECORD CLRK 6 - JUSTICE SYSTEMS CLRK 1 - DATA ENTRY OPERATOR 35.5 - POSITIONS
<b>COMMUNITY SERVICES</b> 3706 - BOB CREAMER 77 - POSITIONS
<b>RIP DIVERSIONS YOUTH TEAM</b> 1 - PROBATION MANAGER 3 - SUPV PROB OFFICER 18 - DEPUTY PROB OFFICER 9 - PROB COMMUNITY WRKR 31 - POSITIONS
<b>PLACEMENT</b> 1 - SUPV PROB OFFICER 8 - DEPUTY PROB OFFICER 9 - POSITIONS
<b>SCREENING/EMPLOY</b> 1 - SUPV PROB OFFICER 3 - DEPUTY PROB OFFICER 10 - SR GROUP COUNSELOR 14 - POSITIONS
<b>OUTPATIENT SCREENING INTAKE</b> 1 - SUPV PROB OFFICER 10 - DEPUTY PROB OFF/3 11 - POSITIONS
<b>JUVENILE COURT UNIT/TRAFFIC</b> 2 - SUPV PROB OFFICER/1 THO 10 - DEPUTY PROB OFFICER 12 - POSITIONS
<b>JUVENILE SERVICES</b> 3702 - SUE PARIGRETTI 81.5 - POSITIONS
<b>JUVENILE SERVICES - HOME VISIT</b> 1 - PROBATION MANAGER 5 - SUPV PROB OFFICER 51.5 - DEPUTY PROB OFF/2.5 1 - PROB COMM WORKER/DV 58.5 - POSITIONS
<b>LANG UNIT</b> 1 - SUPV PROB OFFICER 13 - DEPUTY PROB OFF 14 - POSITIONS
<b>JUVENILE TREATMENT COURT</b> 1 - SUPV PROB OFFICER 3 - DEPUTY PROB OFF 1 - PROB COMMUNITY WRKR 5 - POSITIONS
<b>ALL PLACEMENTAL AGENCY</b> 1 - SUPV PROB OFFICER 2 - DEPUTY PROB OFF 1 - SR GROUP COUNSELOR 4 - POSITIONS
<b>WILLIAM JAMES RANCH</b> 3714 - KATHY DUQUE 1 - PROBATION MANAGER 3 - SUPV PROB COUNSELOR 21 - PROB COUNSELOR 3 - PROBATION ASSISTANT 1 - RANCH MAINT SUPV 29 - POSITIONS
<b>HAROLD HOLDEN RANCH</b> 3716 - MIKE MATHIASSEN 1 - PROBATION MANAGER 3 - SUPV PROB COUNSELOR 21 - PROB COUNSELOR 3 - PROBATION ASSISTANT 1 - PROB COMMUNITY WRKR 29 - POSITIONS
<b>WRIGHT RESIDENTIAL CENTER</b> 3718 - MARTY BREWER 1 - PROBATION MANAGER 3 - SUPV PROB COUNSELOR 17 - PROB COUNSELOR 6 - PROBATION ASSISTANT 27 - POSITIONS

<b>JUVENILE DETENTION DIV</b> PAT SHANNON 178.5 - POSITIONS
<b>JUV HALL ADMIN &amp; SUPPORT</b> 3706/7 - PAT SHANNON 19.5 - POSITIONS
<b>ADMINISTRATION</b> 1 - JH SUPERINTENDENT 1 - SECRETARY II 2 - POSITIONS
<b>JUVENILE HALL SUPPORT</b> 1 - ADMIN SUPP OFFICER 1 - SUPERVISING CLERK 1 - RECEPTIONIST 2 - ACCOUNT CLERK II 4.5 - LAW ENFORC. CLERK 7 - ADV CLERK TYPIST 16.5 - POSITIONS
<b>TRANSPORTATION</b> 1 - COORDINATOR (X28)
<b>JUV HALL OPERATIONS-CONTROL (52)</b> CAROL HUGH 1 - PROBATION MANAGER 5 - SUPV GROUP COUNSELOR 35 - SR GROUP COUNSELOR 6 - NIGHT ATTENDANT 47 - POSITIONS
<b>CRK WORK PROGRAMS/ADULT</b> 1 - SUPV GROUP COUN 4 - SR GROUP COUNSELOR/1 (U) 5 - POSITIONS
<b>JUV HALL OPERATIONS-LIVING (107)</b> GERRY NEARY 1 - PROBATION MANAGER 1 - SUPV GROUP COUNSELOR/RAP 2 - POSITIONS
1 - SUPV GROUP COUNS B - 1 (24 CAP) 9 - SR GROUP COUNSELOR B - 2 (50 CAP) 10 - SR GROUP COUNS. 20 - POSITIONS
1 - SUPV GROUP COUNS B - 3 (50 CAP) 10 - SR GROUP COUNS PROGRAMS 11 - POSITIONS
1 - SUPV GROUP COUNS B - 4 (42 CAP) 10 - SR GROUP COUNS B - 5 (42 CAP) 10 - SR GROUP COUNS 21 - POSITIONS
1 - SUPV GROUP COUNS B - 6 (24 CAP) 6 - SR GROUP COUNSELOR G - 1 (48 CAP) 10 - SR GROUP COUNS 17 - POSITIONS
1 - SUPV GROUP COUNS B - 7 (30 CAP) 9 - SR GROUP COUNSELOR 10 - POSITIONS
1 - SUPV GROUP COUNS NEW WING 25 - SR GROUP COUNS 26 - POSITIONS

<b>ADMINISTRATIVE DIV</b> ANN CLARKE 105.5 - POSITIONS
<b>GENERAL ADMINISTRATION</b> 1 - CHIEF PROBATION OFFICER 1 - ADMIN SERVICES MANAGER 1 - SECRETARY III 2 - SECRETARY II
<b>INFORMATION SYSTEM</b> 1 - INFORMATION SYSTEM MGR 1 - DEPT INFO SYSTEM SPEC II 5 - DEPT INFO SYSTEM SPEC I 3 - DEPT INFO SYSTEM ANALYST 1 - PROGRAMMING ANALYST II 5 - ADVANCED CLERK TYPIST
<b>PUBLIC INFORMATION OFF/EVAL.</b> 1 - SR MANAGEMENT ANALYST 3 - MANAGEMENT ANALYST 1 - ADMIN SUPPORT OFFICER III
<b>WAREHOUSE / LAB / LAUNDRY</b> 1 - ADMIN SUPPORT OFFICER III 1 - LAUNDRY OPERATIONS SUPV 4 - LAUNDRY WORKER II 1 - ACCOUNT CLERK II 1 - ADVANCED CLERK TYPIST 1 - SUPV STOREKEEPER 5 - STOREKEEPER 4 - FORENSIC CHEMIST
<b>PERSONNEL / FACILITY / FLEET</b> 1 - SR MANAGEMENT ANALYST 1 - ADMIN SUPPORT OFFICER III 3 - PERSONNEL SERVICES CLERK 1 - ACCOUNT CLERK II 1 - ADVANCED CLERK TYPIST 1 - STOCK CLERK
<b>ACCOUNTING/PRCHSNG/TRAFFIC</b> 1 - DEPT FISCAL OFFICER 2 - ACCOUNTANT III 1 - ACCOUNTANT ASSISTANT 1 - SUPV ACCOUNT CLERK II 5 - ACCOUNT CLERK II 1 - SUPV DEP COURT CLERK II 1 - ASST SUPV DEPUTY CRT CLRK 5 - DEPUTY COURT CLERK II
<b>FOOD SERVICES ADMIN</b> RICHARD MURLEY 41 - POSITIONS
<b>JUVENILE HALL</b> 1 - DIR NUT'N & FD SRVCS 1 - HEAD COOK 1 - COOK II 2 - COOK I 7 - FOOD SERVICE WRKR II 7 - FOOD SERVICE WRKR I 19 - POSITIONS
<b>JAMES RANCH</b> 1 - COOK II 2 - COOK I 3 - FOOD SERVICE WRKR II 6 - POSITIONS
<b>HOLDEN RANCH</b> 1 - COOK II 2 - COOK I 3 - FOOD SERVICE WRKR II 6 - POSITIONS
<b>WRIGHT CENTER</b> 1 - COOK II 2 - COOK I 3 - POSITIONS
<b>MEN'S WORK FURLOUGH</b> 1 - HEAD COOK 3 - COOK II 4 - POSITIONS
<b>WOMEN'S RESID. CENTER</b> 1 - COOK II 2 - COOK I 3 - POSITIONS

<b>ADULT DIVISION</b> GARY SANCHEZ 271.5 - POSITIONS
<b>ADULT ADMIN &amp; SUPPORT</b> 3715 - GARY SANCHEZ 73.5 - POSITIONS
<b>ADMINISTRATION</b> 1 - DEPUTY CHIEF PO 1 - ADMIN SUPP OFFICER 1 - SECRETARY II 1 - SECRETARY I 7 - POSITIONS
<b>STAFF TRAINING</b> 1 - SUPV PROB OFFICER 1 - DEPUTY PROB OFFICER 1 - ADV CLERK TYPIST 3 - POSITION
<b>ADULT INVESTIG. SUPPORT</b> 5 - SUPERVISING CLERK 1 - CLERICAL OFFICE SUPV 10 - TRANSCRIPTIONIST 2 - RECEPTIONIST 6 - JUSTICE SYSTEM CLERK 27.5 - ADV CLERK TYPIST 7 - CLERK TYPIST 1 - OFFICE CLERK 39.5 - POSITIONS
<b>ADULT WORKERS CENTER</b> 1 - SUPERVISING REC TECH 1 - LAW ENFORC CLERK 3 - LAW ENFORC CLERK 2 - ADV CLERK TYPIST 7 - POSITIONS
<b>ADULT INVESTIGATION</b> 3724 - EDDIE JITTUS 43 - POSITIONS
<b>ADULT INVESTIGATION</b> 1 - PROBATION MANAGER 4 - SUPV PROB OFFICER 37 - DEPUTY PROB OFF 42 - POSITIONS
<b>SUBSTANCE ABUSE</b> 1 - SUPV PROB OFFICER 6 - DEPUTY PROB OFFICER 7 - POSITIONS
<b>DRUG TREATMENT COURT</b> 7 - DEPUTY PROB OFFICER 4 - COMMUNITY WORKER 11 - POSITIONS
<b>ADULT COURT UNIT</b> 1 - SUPV PROB OFFICER 10 - DEPUTY PROB OFFICER 11 - POSITIONS
<b>ADULT SCREENING UNIT</b> 1 - SUPV PROB OFFICER 1 - PROB COMM WORKER 10 - DEPUTY PROB OFFICER 12 - POSITIONS
<b>ADULT SUPERVISION</b> 3726 - KITA DONAGIUS 47 - POSITIONS
<b>ADULT SUPERVISION</b> 1 - PROBATION MANAGER 6 - SUPV PROB OFFICER 55 - DEPUTY PROB OFF 2 - PROB COMM WORKER 64 - POSITIONS
<b>DOMESTIC VIOLENCE</b> 1 - SUPV PROB OFFICER 11 - DEPUTY PROB OFFICER 1 - PROB COMM WORKER 13 - POSITIONS
<b>SPECIAL PROGRAMS</b> 1 - SUPV PROB OFFICER 8 - DEPUTY PROB OFFICER 1 - SR GROUP COUNSELOR 10 - POSITIONS
<b>MEN'S WORK FURLOUGH PROG.</b> 3710 - KATHY MCNAMEE 1 - PROBATION MANAGER 4 - SUPV GROUP COUNS 12 - SR GROUP COUNSELOR 17 - POSITIONS
<b>WOMEN'S RESIDENTIAL CENTER</b> 3739 - RUTH AUTEN 1 - PROBATION MANAGER 2 - SUPV GROUP COUNS. 8 - SR GROUP COUNSELOR 11 - POSITIONS

DAFR8030 1 246 AP14 01 0001 SPL1 PY 4(OR4) 4(084) RUN DATE= 07/29/00 TIME= 04.37.18 STARS  
07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED BY CLOSED FICHE: 246 0001 0246 17 VERSION 2.0  
PROBATION DEPARTMENT (246)  
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
REPORT PERIOD= FISCAL YEAR 00

\*\*\*\*\*PAGE 36

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
FUND-DTL= BUREAU= 01 ADULT ADMIN AND SUPPORT  
BDGT-UNIT= 0246 SECTION=  
PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT	EXPENDITURES YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
1183		RETIREE MEDICAL EXPENSE	57,312	2,127	108,558	0	51,246-	0
1184		SALARY SAVINGS FACTOR	130,194-	0	0	0	189.42	0
1185	A82	DEPUTY CHIEF PROBATION O	95,228	1,955	99,215	0	130,194-	0
1185	B2R	ADMINISTRATIVE SUPPORT OF	50,246	997	47,555	0	.02	0
1185	D1A	SECRETARY II ACE W/O/SH	38,022	792	41,645	0	104.22	0
1185	D11	TRANSCRIPTIONIST	338,207	4,125	213,629	0	2,691	0
1185	D17	RECEPTIONIST	62,520	563	49,696	0	94.62	0
1185	D28	SECRETARY I W/O STENO	31,778	677	26,036	0	3,623-	0
1185	D34	SUPERVISING CLERK I	259,660	5,311	247,154	0	109.52	0
1185	D36	ADVANCED CLERK TYPIST	1,043,838	15,726	838,769	0	124,578	0
1185	D38	CLERK II	0	0	745	0	63.22	0
1185	D39	CLERK TYPIST	196,720	2,171	108,941	0	12,824	0
1185	D40	CLERK I	29,486	1,127	72,211	0	79.52	0
1185	D42	LAW ENFORCEMENT RECORDS	42,324	859	18,378	0	5,742	0
1185	D43	LAW ENFORCEMENT CLERK	64,080	1,398	89,078	0	81.92	0
1185	D60	CLERICAL OFFICE SUPERVIS	37,654	762	28,660	0	12,506	0
1185	F38	JUSTICE SYSTEMS CLERK I	221,664	4,568	206,808	0	95.22	0
1185	X98	INTERMITTEN OFFICE CLERK	0	369	10,201	0	205,069	0
* 1185		TOTAL PERMANENT EMPLOYEES	2,511,427	41,400	2,098,721	0	80.42	0
1186		SALARIES WITHOUT BENEFIT	5,021	0	0	0	745-	0
1187		TEMPORARY EMPLOYEES	6,546	0	0	0	.02	0

DAFR8030 1 246 AP14 01 0001 SPL1 PY 4(OR4) 4(084) RUN DATE= 07/29/00 TIME= 04.37.18 STARS  
07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED BY CLOSED FICHE: 246 0001 0246 17 VERSION 2.0  
PROBATION DEPARTMENT (246)  
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
REPORT PERIOD= FISCAL YEAR 00

\*\*\*\*\*PAGE 37

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
FUND-DTL= BUREAU= 01 ADULT ADMIN AND SUPPORT  
BDGT-UNIT= 0246 SECTION=  
PERCENT OF YEAR ELAPSED 0%

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	EXPENDITURES CURRENT	EXPENDITURES YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
1187	D11	TRANSCRIPTIONIST	0	427	27,866	0	27,866-	0
1187	D36	ADVANCED CLERK TYPIST	0	0	37,666	0	.02	0
1187	D39	CLERK TYPIST	0	0	361-	0	37,666-	0
1187	D40	CLERK I	0	0	20,679	0	.02	0
1187	D43	LAW ENFORCEMENT CLERK	0	0	2,018	0	361	0
1187	X50	DEPUTY PROBATION OFFICER	0	969	43,576	0	.02	0
1187	X98	INTERMITTEN OFFICE CLERK	0	680	21,869	0	43,576-	0
1187	Y36	INTERMITTENT ADVANCED CL	0	1,014	20,279	0	.02	0
* 1187		TOTAL TEMPORARY EMPLOYEES	6,546	3,090	173,592	0	20,279-	0
1191		OVERTIME	0	0	1,338	0	.02	0
1192		UNEMPLOYMENT INSURANCE	2,241	32	1,600	0	641	0
1193		PREMIUM PAY	18,860	467	22,825	0	71.42	0
1194		BUDGET SALARY REDUCTION	6,474-	0	0	0	3,965-	0
1196		HEALTH INSURANCE	315,642	6,595	320,101	0	121.02	0
1196	A82	DEPUTY CHIEF PROBATION O	0	0	40	0	6,474-	0
1196	B2R	ADMINISTRATIVE SUPPORT OF	0	0	15	0	.02	0
1196	D11	TRANSCRIPTIONIST	0	0	92	0	.02	0
1196	D28	SECRETARY I W/O STENO	0	0	30	0	.02	0
1196	D34	SUPERVISING CLERK I	0	0	147	0	.02	0
1196	D36	ADVANCED CLERK TYPIST	0	0	128	0	.02	0
1196	D40	CLERK I	0	0	57	0	.02	0

DAFR8030 1 246 AP14 01 0001 SPL1		PY 4(OR4)		4(084)		RUN DATE= 07/29/00		TIME= 04.37.18 STARS	
07/29/00 (02.53)		CYCLE 02698		PM CLOSED PP CLOSED BY CLOSED FICHE: 246 0001		0246 17		VERSION 2.0	
PROBATION DEPARTMENT (246)									
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT									
REPORT PERIOD= FISCAL YEAR 00									
*****PAGE 38									
FUND= 0001		GENERAL FUND		DIVISION= 17		ADULT DIVISION			
FUND-DTL=				BUREAU= 01		ADULT ADMIN AND SUPPORT			
BDGT-UNIT= 0246				SECTION=					
PERCENT OF YEAR ELAPSED 0%									
*****									
EXP	ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT	PERCENT USED	(MEMO) PRE-ENCUMBRANCE
SOBJ	FABC			CURRENT					
*****									
1196	D43	LAW ENFORCEMENT CLERK	0	0	18	0	18-	0	0
1196	F38	JUSTICE SYSTEMS CLERK I	0	0	114	0	114-	0	0
* 1196		TOTAL HEALTH INSURANCE	315,642	6,595	321,152	0	5,510-	0	0
1197		FICA - EMPLOYER SHARE	149,057	3,232	165,276	0	101.7%	0	0
1198		PERS - RETIREMENT	164,330	2,786	140,565	0	16,219-	0	0
1199		WORKERS' COMPENSATION	54,937	774	39,485	0	110.9%	0	0
1391		HOLIDAY OVERTIME	0	0	86	0	23,765	0	0
1397		COUNTY CONTRI MEDICARE T	34,847	0	0	0	85.5%	0	0
* TOTAL 1		SALARIES AND BENEFITS	3,183,552	60,503	3,073,198	0	15,452	0	0
							71.9%	0	0
							86-	0	0
							.0%	0	0
							34,847	0	0
							.0%	0	0
							110,354	0	0
							96.5%	0	0
2111		CLOTHING & PERSONAL SUPP	0	0	87	0	87-	0	0
2125		COMS TELEPHONE SERVICES	29,734	1,971	15,896	0	.0%	0	0
2126		COMM AND TELEPHONE SERVI	102,600	5,334	75,185	0	13,838	0	0
2211		MAINTENANCE - EQUIPMENT	33,800	20,457	41,993	1,670	53.5%	0	0
2225		MAINT-STRUCT IMPROVE & G	1,000	0	2,472	0	27,415	0	0
2251		MED, DENTAL & LAB SUPPLI	0	0	130	0	73.3%	0	0
2285		MISCELLANEOUS EXPENSE	500	0	726	0	9,863-	0	0
2301		OFFICE EXPENSE	12,000	2,200	30,993	0	129.2%	0	0
2322		PROF AND SPECIAL SERVICE	0	0	900	0	1,472-	0	0
2401		PUBLICATIONS AND LEGAL N	1,000	0	385	0	247.2%	0	0
2451		RENTS AND LEASES - EQUIP	49,000	2,478	49,125	0	130-	0	0
							.0%	0	0
							226-	0	0
							145.2%	0	0
							18,993-	0	0
							258.3%	0	0
							900-	0	0
							.0%	0	0
							615	0	0
							38.5%	0	0
							125-	0	0
							100.3%	0	0

DAFR8030 1 246 AP14 01 0001 SPL1		PY 4(OR4)		4(084)		RUN DATE= 07/29/00		TIME= 04.37.18 STARS	
07/29/00 (02.53)		CYCLE 02698		PM CLOSED PP CLOSED BY CLOSED FICHE: 246 0001		0246 17		VERSION 2.0	
PROBATION DEPARTMENT (246)									
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT									
REPORT PERIOD= FISCAL YEAR 00									
*****PAGE 39									
FUND= 0001		GENERAL FUND		DIVISION= 17		ADULT DIVISION			
FUND-DTL=				BUREAU= 01		ADULT ADMIN AND SUPPORT			
BDGT-UNIT= 0246				SECTION=					
PERCENT OF YEAR ELAPSED 0%									
*****									
EXP	ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT	PERCENT USED	(MEMO) PRE-ENCUMBRANCE
SOBJ	FABC			CURRENT					
*****									
2455		SHOP SUPPLIES	0	0	457	0	457-	0	0
2472		OFFICE RENTS	819,991	0	819,986	0	.0%	0	0
2501		SMALL TOOLS AND INSTRUME	73,481	1,374	58,094	32,737	5	0	0
2574		MEMBERSHIP DUES	0	0	35	0	100.0%	0	0
2585		EXTERNAL PRINTING AND RE	0	0	520	0	17,350-	0	0
2586		PRINTING AND REPRODUCTIO	21,129	558	12,460	0	123.6%	0	0
2624		SPECIAL DEPARTMENT EXPEN	0	0	485	0	35-	0	0
2751		TRANSPORTATION AND TRAVE	2,000	0	861	0	.0%	0	0
2752		AUTOMOBILE MILEAGE	2,100	36	2,149	0	520	0	0
2756		GARAGE AUTOMOBILE SERVIC	16,000	2,584	16,742	0	.0%	0	0
2963		PC SOFTWARE	0	0	6,766	0	43.1%	0	0
2991		EXTERNAL POSTAGE	0	0	6	0	49-	0	0
2992		POSTAGE	0	0	8	0	102.3%	0	0
2993		TRANSPORTATION	0	4,455	6	0	742-	0	0
							.0%	0	0
							6,766-	0	0
							.0%	0	0
							6-	0	0
							.0%	0	0
							8-	0	0
							.0%	0	0
							6-	0	0
							.0%	0	0
* TOTAL 2		SERVICES AND SUPPLIES	1,164,335	32,537	1,135,427	34,407	5,499-	0	0
							100.5%	0	0
* TOTAL 01		ADULT ADMIN AND SUPPORT	4,347,887	93,040	4,208,625	34,407	104,855	0	0
							97.6%	0	0



DAFR8030 I 246 AP14 01 0001 SPL1 PY 4(OR4) 4(0B4)		RUN DATE= 07/29/00 TIME= 04.37.18 STARS				
07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED BY CLOSED FICHE: 246 0001		0246 17 VERSION 2.0				
PROBATION DEPARTMENT (246)						
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT						
REPORT PERIOD= FISCAL YEAR 00						
			PAGE 42			
FUND= 0001	GENERAL FUND	DIVISION= 17	ADULT DIVISION			
FUND-DTL=		BUREAU= 02	ADULT INVESTIGATION			
BDGT-UNIT= 0246		SECTION=				
PERCENT OF YEAR ELAPSED 0%						
EXP ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT	(MEMO)
SOBJ FABC			CURRENT YEAR-TO-DATE		PERCENT USED	PRE-ENCUMBRANCE
2251	MED. DENTAL & LAB SUPPLI	0	0	493	493-	0
2285	MISCELLANEOUS EXPENSE	1,000	0	884	.02	0
2301	OFFICE EXPENSE	0	528	1,067	116	0
2322	PROF AND SPECIAL SERVICE	4,000	0	0	88.42	0
2401	PUBLICATIONS AND LEGAL N	0	0	555	1,067-	0
2451	RENTS AND LEASES - EQUIP	3,744	0	2,218	.02	0
2501	SMALL TOOLS AND INSTRUME	23,000	0	1,128	4,000	0
2586	PRINTING AND REPRODUCTIO	0	0	0	.02	0
2624	SPECIAL DEPARTMENT EXPEN	0	0	858	555-	0
2751	TRANSPORTATION AND TRAVE	4,000	1,859	9,116	.02	0
2752	AUTOMOBILE MILEAGE	9,000	297	8,499	527.92	0
2756	GARAGE AUTOMOBILE SERVIC	13,500	3,035	17,634	501	0
2952	SERVICES & SUPPLIES - OT	10,000	0	0	94.42	0
2993	TRANSPORTATION	0	0	3,709	4,134-	0
* TOTAL 2	SERVICES AND SUPPLIES	80,544	7,795	58,589	130.62	0
7300	REIMB - PROFESSIONAL & S	50,117-	13,772-	65,020-	10,000	0
* TOTAL 7	EXPENDITURE REIMBUSEMENT	50,117-	13,772-	65,020-	.02	0
* TOTAL 02	ADULT INVESTIGATION	5,274,643	99,237	5,667,280	3,709-	0
					107.42	

DAFR8030 I 246 AP14 01 0001 SPL1 PY 4(OR4) 4(0B4)		RUN DATE= 07/29/00 TIME= 04.37.18 STARS				
07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED BY CLOSED FICHE: 246 0001		0246 17 VERSION 2.0				
PROBATION DEPARTMENT (246)						
ALLOTMENT STATUS BY ORGANIZATION AND OBJECT						
REPORT PERIOD= FISCAL YEAR 00						
			PAGE 43			
FUND= 0001	GENERAL FUND	DIVISION= 17	ADULT DIVISION			
FUND-DTL=		BUREAU= 03	ADULT SUPERVISION			
BDGT-UNIT= 0246		SECTION=				
PERCENT OF YEAR ELAPSED 0%						
EXP ECC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT	(MEMO)
SOBJ FABC			CURRENT YEAR-TO-DATE		PERCENT USED	PRE-ENCUMBRANCE
1183	RETIREE MEDICAL EXPENSE	71,280	3,118	159,076	87,796-	0
1184	SALARY SAVINGS FACTOR	240,657-	0	0	223.22	0
1185 E19	PROB COMM WORKER	77,100	1,511	75,110	240,657-	0
1185 Q94	PROBATION COMMUNITY WOPK	42,324	809	42,338	.02	0
1185 W85	DEPUTY PROBATION OFFICER	118,032	2,422	126,250	1,990	0
1185 X27	SENIOR GROUP COUNSELOR	52,234	0	42,567	97.42	0
1185 X28	GROUP COUNSELOR II	0	804	5,629	14-	0
1185 X29	GROUP COUNSELOR I	0	661	1,984	100.02	0
1185 X44	PROBATION MANAGER	82,256	1,632	86,454	8,218	0
1185 X48	SUPERVISING PROBATION OF	543,888	10,901	596,535	107.02	0
1185 X50	DEPUTY PROBATION OFFICER	2,584,657	32,069	1,919,741	9,667	0
1185 X52	DEPUTY PROBATION OFFICER	955,930	22,234	1,151,925	81.52	0
1185 X53	DEPUTY PROBATION OFFICER	648,678	19,222	856,126	5,629-	0
* 1185 TOTAL	PERMANENT EMPLOYEES	5,105,099	92,265	4,904,659	109.72	0
1186	SALARIES WITHOUT BENEFIT	105,387	0	0	664,916	0
1187	TEMPORARY EMPLOYEES	88,469	0	0	74.32	0
1187 X48	SUPERVISING PROBATION OF	0	0	6,540	195,995-	0
1187 X50	DEPUTY PROBATION OFFICER	0	1,393	94,786	120.52	0
* 1187 TOTAL	TEMPORARY EMPLOYEES	88,469	1,393	101,326	207,448-	0
1191	OVERTIME	0	74	243	114.52	0
1192	UNEMPLOYMENT INSURANCE	4,514	66	3,497	243-	0
					.02	
					1,017	

DAFR030 1 246 AP14 01 0001 SPL1 PY 4(OR4) 4(084) RUN DATE= 07/29/00 TIME= 04.37.18 STARS  
 07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED PY CLOSED FICHE: 246 0001 0246 17 PROBATION DEPARTMENT (246) VERSION 2.0  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 00  
 \*\*\*\*\*PAGE 44

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 03 ADULT SUPERVISION  
 BDGT-UNIT= 0246  
 PERCENT OF YEAR ELAPSED 0Z

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES----- CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
1193		PREMIUM PAY	9,480	389	15,930	0	6,450-	0
1195		CALL DUTY	6,625	135	90	0	168.0Z	0
1195	X50	DEPUTY PROBATION OFFICER	0	0	4,625	0	6,535	0
1195	X52	DEPUTY PROBATION OFFICER	0	0	1,390	0	1,4Z	0
* 1195		TOTAL CALL DUTY	6,625	135	6,105	0	4,625-	0
1196		HEALTH INSURANCE	474,524	9,489	461,730	0	.0Z	0
1196	Q94	PROBATION COMMUNITY WORK	0	0	55	0	1,390-	0
1196	W85	DEPUTY PROBATION OFFICER	0	0	25	0	.0Z	0
1196	X44	PROBATION MANAGER	0	0	86	0	.0Z	0
1196	X48	SUPERVISING PROBATION OF	0	0	102	0	86-	0
1196	X50	DEPUTY PROBATION OFFICER	0	0	340	0	.0Z	0
1196	X52	DEPUTY PROBATION OFFICER	0	0	131	0	102-	0
1196	X53	DEPUTY PROBATION OFFICER	0	0	49	0	.0Z	0
* 1196		TOTAL HEALTH INSURANCE	474,524	9,489	462,518	0	49-	0
1197		FICA - EMPLOYER SHARE	11,909	1,138	49,861	0	12,006	0
1198		PERS - RETIREMENT	337,308	6,440	327,375	0	97.5Z	0
1199		WORKERS' COMPENSATION	109,199	1,627	86,388	0	37,952-	0
1391		HOLIDAY OVERTIME	0	0	1,256	0	418.7Z	0
1397		COUNTY CONTRI MEDICARE T	40,083	0	0	0	9,933	0
1491		12 PLAN OT	3,238	0	0	0	97.1Z	0

DAFR8030 1 246 AP14 01 0001 SPL1 PY 4(OR4) 4(084) RUN DATE= 07/29/00 TIME= 04.37.18 STARS  
 07/29/00 (02.53) CYCLE 02698 PH CLOSED PP CLOSED PY CLOSED FICHE: 246 0001 0246 17 PROBATION DEPARTMENT (246) VERSION 2.0  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 00  
 \*\*\*\*\*PAGE 45

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 03 ADULT SUPERVISION  
 BDGT-UNIT= 0246  
 PERCENT OF YEAR ELAPSED 0Z

EXP SOBJ	ECC FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES----- CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	AVAILABLE ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
* TOTAL 1		SALARIES AND BENEFITS	6,126,458	116,134	6,118,234	0	8,224	0
2100		TRAINING - SERV & SUPPLI	0	0	149	0	99.9Z	0
2111		CLOTHING & PERSONAL SUPP	0	0	2,659	0	149-	0
2126		COMM AND TELEPHONE SERVI	7,300	69	12,130	0	.0Z	0
2206		INTERPRETER FEES	0	0	1,480	0	2,659-	0
2211		MAINTENANCE - EQUIPMENT	52,800	0	22,005	1,483	.0Z	0
2285		MISCELLANEOUS EXPENSE	1,000	0	256	0	29,312	0
2301		OFFICE EXPENSE	0	34	951	0	44.5Z	0
2322		PROF AND SPECIAL SERVICE	18,000	0	0	0	744	0
2329		CONTRACT SERVICES	100,000	0	0	0	25.6Z	0
2331		DATA PROCESSING SERVICES	0	217	1,617	0	951-	0
2401		PUBLICATIONS AND LEGAL H	0	0	25	0	.0Z	0
2451		RENTS AND LEASES - EQUIP	15,000	0	0	0	18,000	0
2501		SMALL TOOLS AND INSTRUME	92,329	1,164	10,127	8,140	.0Z	0
2585		EXTERNAL PRINTING AND RE	2,000	0	0	0	1,617-	0
2586		PRINTING AND REPRODUCTIO	0	0	751	0	.0Z	0
2624		SPECIAL DEPARTMENT EXPEN	0	875	2,140	0	2,000	0
2751		TRANSPORTATION AND TRAVE	1,000	23Z	2,980	0	.0Z	0
2752		AUTOMOBILE MILEAGE	3,000	6	1,597	0	1,980-	0
2756		GARAGE AUTOMOBILE SERVIC	103,911	33,416	132,997	0	298.0Z	0

EXP		ECC	TITLE	ADJUSTED	-----EXPENDITURES-----		OUTSTANDING	AVAILABLE	(MEMO)
SOBJ		FABC		ALLOTMENT	CURRENT	YEAR-TO-DATE	ENCUMBRANCES	ALLOTMENT	PRE-ENCUMBRANCE
								PERCENT USED	
2962			PC HARDWARE	78,000	0	0	105,724	27,724-	0
2995			TRANSPORTATION	0	0	39	0	135.52	0
* TOTAL 2			SERVICES AND SUPPLIES	474,340	35,781	191,903	115,347	167,090	0
								64.8%	
7300			REIMB - PROFESSIONAL & S	154,198-	34,418-	132,582-	0	21,616-	0
* TOTAL 7			EXPENDITURE REIMBUSEMENT	154,198-	34,418-	132,582-	0	21,616-	0
								86.0%	
* TOTAL 03			ADULT SUPERVISION	6,446,600	117,497	6,177,555	115,347	153,698	0
								97.6%	

EXP		ECC	TITLE	ADJUSTED	-----EXPENDITURES-----		OUTSTANDING	AVAILABLE	(MEMO)
SOBJ		FABC		ALLOTMENT	CURRENT	YEAR-TO-DATE	ENCUMBRANCES	ALLOTMENT	PRE-ENCUMBRANCE
								PERCENT USED	
1183			RETIREE MEDICAL EXPENSE	16,632	722	36,707	0	20,075-	0
1184			SALARY SAVINGS FACTOR	55,798-	0	0	0	220.7%	0
1185	H56		HEAD COOK	46,454	954	49,632	0	55,798-	0
								.0%	
1185	H59		COOK II	106,946	696	37,943	0	3,178-	0
								106.8%	
1185	H60		COOK I	0	1,238	3,714	0	69,003	0
								35.5%	
1185	H66		FOOD SERVICE WORKER II	0	0	14,699	0	3,714-	0
								.0%	
1185	X25		SUPERVISING GROUP COUNSE	244,240	4,801	245,363	0	14,699-	0
								.0%	
1185	X27		SENIOR GROUP COUNSELOR	519,842	7,418	458,411	0	1,123-	0
								100.5%	
1185	X28		GROUP COUNSELOR II	90,698	3,506	137,322	0	61,431	0
								88.2%	
1185	X44		PROBATION MANAGER	82,256	1,632	85,144	0	46,624-	0
								151.4%	
1185	X50		DEPUTY PROBATION OFFICER	0	0	30,194	0	2,888-	0
								103.5%	
1185	X53		DEPUTY PROBATION OFFICER	0	0	100	0	30,194-	0
								.0%	
* 1185	TOTAL		PERMANENT EMPLOYEES	1,090,436	20,245	1,062,522	0	100-	0
								97.4%	
1186			SALARIES WITHOUT BENEFIT	0	17,894	78,908	0	78,908-	0
								.0%	
1187			TEMPORARY EMPLOYEES	81,000	2,898-	13,599-	0	94,599	0
								-16.8%	
1187	X27		SENIOR GROUP COUNSELOR	0	0	13,739	0	29,739-	0
								.0%	
1187	X29		GROUP COUNSELOR I	0	1,149	65,829	0	65,829-	0
								.0%	
* 1187	TOTAL		TEMPORARY EMPLOYEES	81,000	1,749-	81,969	0	969-	0
								101.2%	
1191			OVERTIME	18,000	4,077	117,040	0	99,040-	0
								650.2%	
1192			UNEMPLOYMENT INSURANCE	986	16	921	0	65	0
								93.4%	

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# EXHIBIT G

CLAIM FOR PAYMENT Pursuant to Government Code Section 17561 DOMESTIC VIOLENCE TREATMENT SERVICES AUTHORIZATION AND CASE MANAGEMENT				For State Controller Use Only	
(01) Claimant Identification Number <b>9943</b>				(19) Program Number <b>00177</b>	
(02) Mailing Address				(20) Date Filed ___/___/___	
Claimant Name <b>AUDITOR-CONTROLLER</b>				(21) LRS Input ___/___/___	
County of Location <b>COUNTY OF SANTA CLARA</b>				(22) DVTS-1,(03)(a)	
Street Address or P.O. Box <b>70 W. HEDDING ST, EAST WING</b>				(23) DVTS-1,(03)(b)	
City <b>SAN JOSE</b>				State <b>CA</b>	
Zip Code <b>95110</b>				(24) DVTS-1,(04)(1)(f)	
Type of Claim				(25) DVTS-1,(04)(2)(f)	
Estimated Claim				(26) DVTS-1,(04)(3)(f)	
Reimbursement Claim				(27) DVTS-1,(06)	
(03) Estimated <input checked="" type="checkbox"/>				(09) Reimbursement <input checked="" type="checkbox"/>	
(04) Combined <input type="checkbox"/>				(10) Combined <input type="checkbox"/>	
(05) Amended <input type="checkbox"/>				(11) Amended <input type="checkbox"/>	
Fiscal Year of Cost <b>2001-2002</b>				(12) <b>2000-2001</b>	
Total Claimed Amount <b>\$500,000</b>				(13) <b>\$533,311</b>	
LESS: 10% Late Penalty, but not to exceed \$1,000 (if applicable)				(14)	
LESS: Estimated Claim Payment Received				(15) <b>\$650,000</b>	
Net Claimed Amount				(16) <b>(116,689)</b>	
Due from State (08) <b>\$500,000</b>				(17)	
Due to State				(18) <b>(116,689)</b>	
<b>(38) CERTIFICATION OF CLAIM</b>					
In accordance with the provisions of Government Code 17561, I certify that I am the person authorized by the local agency to file claims with the State of California for costs mandated by Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, and certify under the penalty of perjury that I have not violated any of the provisions of Government Code Sections 1090 to 1096, inclusive.					
I further certify that there was no application other than from the claimant, nor any grant or payment received, for reimbursement of costs claimed herein; and such costs are for a new program or increased level of services of an existing program mandated by Chapter 183/92, Chapter 184/92, Chapter 28/94, and Chapter 641/95					
The amount for Estimated Claim and/or Reimbursement Claim are hereby claimed from the State for payment of estimated and/or actual costs for the mandated program of Chapters 183 and 184, Statutes of 1992, Chapter 28, Statutes of 1994, and Chapter 641, Statutes of 1995, set forth on the attached statements.					
Signature of Authorized Representative				Date <b>12/20/01</b>	
ANDY BALANCE Print or type name <b>CHAMPA MANIAN</b> (39) Name of Contact Person for Claim				DEPT. FISCAL OFFICER Title <b>408-468-1817</b> Telephone Number	

MANDATED COSTS DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT CLAIM SUMMARY						FORM DVTS-1
(01) Claimant SANTA CLARA COUNTY PROBATION DEPARTMENT		(02) Type of Claim Reimbursement <input checked="" type="checkbox"/> Estimated <input type="checkbox"/>			Fiscal Year 2000/2001	
(03) (a) Number of vendor applications reviewed during the fiscal year of claim						14
(b) Number of domestic violence cases for which the victim was notified pursuant to Penal Code Section 1203.097(b)(4) during the fiscal year of claim						3,259
<b>Direct Costs</b>						
(04) Reimbursable Components	(a) Salaries	(b) Benefits	(c) Services and Supplies	(d) Training and Travel	(e) Fixed Assets	(f) Total
1. Administration and Regulation of Batterers' Treatment Programs	97,047	21,557				118,605
2. Victim Notification	93,852	20,848				114,699
3. Assessing Future Probability of Defendant Committing Murder	34,888	7,750				42,637
(05) Total Direct Costs	225,787	50,155				275,941
<b>Indirect Costs</b>						
(06) Indirect Cost Rate	[From ICRP]					94.27%
(07) Total Indirect Costs	[Line (06) x line (05)(a)] or line [(06) x line {(05)(a) + line (05)(b)}]					260,120
(08) Total Direct and Indirect Costs	[Line (05)(f) + line (07)]					536,061
<b>Cost Reduction</b>						
(09) Less: Offsetting Savings, if applicable						(2,750)
(10) Less: Amount Received from Penal Code 1203.097 (c)(5)(B) and other Applicable Reimbursements						
(11) Total Claimed Amount	[Line (08) - (Line (09) + Line (10))]					533,311

<b>MANDATED COSTS</b> <b>DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT</b> <b>COMPONENT/ACTIVITY COST DETAIL</b>	<b>FORM</b> <b>DVTS-2</b>
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(01) Claimant <b>SANTA CLARA COUNTY PROBATION DEPARTMENT</b>	(02) Fiscal Year Costs Were Incurred <div style="text-align: right;">1999 - 2000</div>
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(03) Reimbursable Components: Check only one box per form to identify the component being claimed.

Administration and Regulation of Batterers' Treatment Programs  
 Victim Notification
  Assessing Future Probability of Defendant Committing Murder

(04) Description of Expenses: Complete columns (a) through (h). **Object Accounts**

(a) Employee Names, Job Classifications, Functions Performed and Description of Expenses	(b) Hourly Rate or Unit Cost	(c) Hours Worked or Quantity	(d) Salaries	(e) Benefits	(f) Services and Supplies	(g) Travel and Training	(h) Equipment
Various Deputy Probation Officers  - Process new applications and review application corrections. Conduct onsite administrative and group reviews. - Provide progress report evaluations, editing standards and policy reviews. - Prepare meeting agendas, minutes and reports for Certification meetings. - Prepare notification of approval, renewal, suspension, and or revocations. - Respond to in-house and public inquiries and miscellaneous correspondence. - Meet and confer with other criminal justice agencies and victim advocacy programs. - Staff training regarding administration and regulation of batterers programs. - Provide resources to victims in the form of handbooks.	36.88	2,632	97,047	21,557			

(05) Total	<input checked="" type="checkbox"/> Subtotal	<input type="checkbox"/>	Page: <u>  1  </u> of <u>  1  </u>	97,047	21,557	0	0	0
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**CALCULATION OF CASES**

		<b>TOTAL</b>
No. of Cases - FY00 (July 1, 2000 - June 30, 2001)	594	
No. of Misdemeanor Cases - FY00 (Jul 00 - June 01)		594

**CALCULATION OF HOURS**

	Avg. Hour per case	No. of Cases	Total No. of Hours	<b>TOTAL HOURS</b>
<b>A</b> Admin & Regulation				<b>2,632</b>
TimeStudy *	-	594	-	
Dom. Violence Unit			2,632	
<b>B</b> Victim Notification				<b>2,545</b>
TimeStudy *	0.55	594	328	
VOP Calendar - 3rd Letter (10mins Avg)	0.17	1,253	209	
Sharks (10 mins * 2 notification)	0.33	2,006	669	
Dom. Violence Unit			1,339	
<b>C</b> Assessment				<b>946</b>
TimeStudy *	1.59	594	946	

Others

\* (using FY01 Data - Time Study)

**Domestic Violence Treatment Services**

**F/Y00-01**

**Administration of Batterers Programs**

	Hours 2 DPO's	Hours SPO
Processing New Applicatons	30	20
Reviewing Application Corrections	20	
Conducting Onsite Administrative Reviews	255	16
Onsite Group Reviews	275	12
Progress Report Evaluations	310	32
Editing Standards, Policy Reviews	60	10
Preparing meeting agendas, minutes	74	12
Preparing reports for Certification Meetings	352	30
Notification of approval, renewal, susp., revocation, etc.	70	30
Responding to in-house and public inquiries	256	40
Miscellaneous Correspondence	84	40
Technical Assistance and training programs	165	40
	<b>A 1951</b>	<b>282</b>

**Meeting and conferring with criminal justice agencies and victim advocacy programs**

DV Council		5
Certification Meetings	32	16
Probation/Provider Meetings	24	12
Batterers Intervention	10	6
Court Systems		12
	<b>66</b>	<b>51</b>
	<b>A</b>	

**Staff Training**

28 PO's @ 8 hours each                      224    **A**

1991  
53  
39  
22

**A**

**Domestic Violence Treatment Services**

**F/Y 2000-2001**

**Meeting and Confering With Criminal Justice Agencies and Victim Advocacy Programs**

Hours	DPO Hours	SPO Hours
Family Violence Center Staff (1 DPO)	288	12
Domestic Violence Council (1 DPO & 1 SPO)	6	16
Certification Meetings (1 SPO)	0	9
Probation/Providers Meetings (1 SPO)	0	6
Batterers Intervention Committee (1 DPO & 1 SPO)	15	15
Court Systems Committee (1 SPO)	0	20
Victim/Survivor Advocacy Committee (1 DPO & 1 SPO)	15	15
Death Review Committee (1 SPO)	0	20
Juvenile DV/FV Court Systems Committee (1 DPO & 1 SPO)	18	18
SCCCART (1 DPO)	22	0
AWARE/Cell Phone Committee (1 DPO)	6	0
San Jose Mayor's DV Taskforce (1 DPO)	16.5	0
Annual Law Enforcement DV Protocol Review	4	4
<b>Totals</b>	<u>390.5</u> <b>A</b>	<u>135</u>

**Providing Resources To Victims**

	DPO Hours	SPO Hours
11 DPO's	660 (5 hrs. each per month)	24
<b>Totals</b>	<b>660 Hours</b>	<b>24 Hours</b>

**B**

**Victim Notification**

**New Cases To Unit**

Month	Number of New Probatoners
Jul-00	135
Aug-00	64
Sep-00	39
Oct-00	27
Nov-00	52
Dec-00	28
Jan-01	59
Feb-01	67
Mar-01	49
Apr-01	40
May-01	45
Jun-01	36
<b>Total</b>	<u>641 at 10 minutes</u>
	each = <u>106.8 Hours</u>

**B**

Hearings

	Misdemeanors	Felonies
July 2000	8	15
August 2000	16	33
September 2000	19	23
October 2000	30	25
November 2000	17	14
December 2000	7	26
January 2001	25	23
February 2001	26	31
March 2001	30	18
April 2001	18	21
May 2001	31	23
June 2001	14	18
Totals	<u>241</u>	<u>270</u>

85.17 Hours

At 10 minutes each = 85.16 hours

B

Field Contacts

Jul-00	27
Aug-00	43
Sep-00	47
Oct-00	47
Nov-00	40
Dec-00	36
Jan-01	34
Feb-01	33
Mar-01	48
Apr-01	40
May-01	43
Jun-01	49
Totals	<u>487</u> at one hour each = 487 Hours

B

*Dr. Wick*

B

**Karen Fletcher**  
11/28/2001 04:54 PM

To: Champa Manian/PRO/SCCPRO@SCCPRO  
cc:  
Subject: Number of DV VOPs FY2001

The number of Domestic Violence cases on the VOP calendar for FY 2001 is as follows:

July 2000:	89
August	106
September	103
October	146
November	94
December	52
January 2001	127
February	115
March	140
April	111
May	111
June	<u>59</u>
Total	1,253 <sup>B</sup>

Thanks Champa...sorry for the delay!

# Sharks of the

1/21/03

Order	PFN	Count
2001		1546
October		80
September		137
August		216
July		156
June		164
May		195
April		172
March		163
February		116
January		147
2000		1360
December		127
November		136
October		132
September		121
August		143
July		145
June		118
May		103
April		86
March		93
February		79
January		77
1999		781
1998		304
1997		8
1996		4
1995		11
1993		1
1992		1
1990		1
201		1
		<u>4018</u>

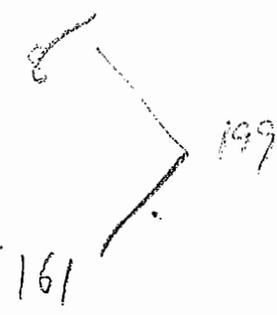
907 }  
804 }  
176 }

003  
Sharks  
B. Victim Newspaper

ANT

11/01/01

Order	PFN	Count
2001		39
September		1
May		2
April		3
March		5
February		16
January		12
2000		412
December		23
November		21
October		27
September		18
August		40
July		32
June		41
May		35
April		34
March		48
February		41
January		52
1999		523
1998		436
1996		6
1995		4
1994		1
1992		1
		<u>1422</u>



Shirley closed file

11/01/01

Order	PFN	Count
2001		5
April		1
March		1
February		1
January		2
2000		189
December		5
October		7
September		11
August		10
July		8
June		11
May		11
April		21
March		34
February		36
January		35
1999		855
1998		288
1997		14
1996		54
1995		36
1994		8
1993		1
1992		1
1966		1
		<hr/>
		1452

Handwritten annotations: A bracket groups the counts for 2001 (5) and 2000 (189), with a handwritten '5' next to it and an arrow pointing to the number '46'. Another bracket groups the counts for 2000 from July to September (8, 11, 10), with a handwritten '41' next to it.

**CALCULATION OF PRODUCTIVE HOURLY AND BENEFIT RATES**

**FY00 for 3724 & 3726**

DAFR8030		<u># of PO's</u>		<u>X48</u>		<u>X44</u>	
Salaries (1185)		<u>X50/X52/X53</u>		Salaries (1185)		Salaries (1185)	
<b>W85</b>	181,039	13/01	146	16	1,199,661	171,216	
<b>X50</b>	8,327,638 *	07/01	147	16			
		26/00	146	16			
		20/00	148	16			
	<u>8,508,677</u>	15/00	147	16			
			<u>734</u>	<u>80</u>	<u># of Employees</u>	<u># of Employees</u>	
		<i>Avg. Empl</i>	<u>147</u>		<u>16</u>	<u>2</u>	
<b>Average Salaries</b>			<u>57,961</u>			<u>76,160</u>	

\* - Includes X52 & X53's

**CALCULATION OF BENEFIT RATE**

		<u>X50's</u>	<u>X44/X48</u>
1183	1996/Empl	1,996	1,996
1196	5113/Empl	5,113	5,113
1198	0.07	4,057	5,331
1199	0.0175	1,014	1,333
1192	0.0007	41	53
1197	0.0145	654 *	859
		<u>12,875</u>	<u>14,685</u>
<b>Benefit Rate</b>		<u>22.21%</u>	<u>19.28%</u>

Net Average Productive Hours Per Employee (County) 1,572

<u>Productive Hourly Rate</u>	<u>X50's</u>	<u>X44/X48</u>
	<u>\$ 36.88</u>	<u>\$ 48.46</u>

\* Medicare portion of FICA applies only to Probation Officers hired after 4/1/86. Based on a review of Probation Officers on staff in fiscal year 99/00, 22.2% were employed prior to 4/1/86. Accordingly, annual FICA benefits have been porportionately reduced.

**INDIRECT COST RATE PROPOSAL  
 PROBATION DEPARTMENT  
 ADULT INVESTIGATION & ADULT SUPERVISION  
 CHAPTER 1090/96 - CHILD ABUSE TREATMENT SERVICES  
 AUTHORIZATION AND CASE MANAGEMENT**

(FY 01 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

DESCRIPTION OF COST	TOTAL COST	EXCLUDABLE UNALLOWABLE COST	ALLOWABLE INDIRECT COST	ALLOWABLE DIRECT COST
<b>SALARIES AND BENEFITS</b>				
Salaries & Wages	1185,1187,1193,1195		3,662,368	8,960,375
Overtime	1191, 1391	0	926	32,903
Benefits	1183,1192,1196,1197,1198,1199		1,060,631	2,049,164
<b>SUBTOTAL</b>	<b>15,766,367</b>	<b>0</b>	<b>4,723,925</b>	<b>11,042,442</b>
<b>SERVICES &amp; SUPPLIES</b>				
Telephone Services	2124,2125,2126		189,818	
Maintenance-Equip & Struct Imp.	2211,2225		25,122	21,325
Rents & Leases - Equip & Office	2451,2472		1,152,772	0
Small Tools & Inst.	2501		144,232	32,281
Printing & Reproduction	2585,2586		15,369	
Automobile Mileage	2752		12,875	
Garage Auto Services	2756		196,174	
Others (all other obj. 2)	all other obj. 2		380,416	0
<b>SUBTOTAL</b>	<b>2,170,384</b>	<b>0</b>	<b>2,116,778</b>	<b>53,606</b>
<b>TOTAL</b>	<b>17,936,751</b>	<b>0</b>	<b>6,840,703</b>	<b>11,096,048</b>
<b>GENERAL ADMIN COST ALLOC</b>				
			2,000,352	
<b>COST PLAN COSTS ALLOCATION</b>				
			1,568,271	
<b>TOTAL COSTS</b>	<b>21,505,374</b>	<b>0</b>	<b>10,409,326</b>	<b>11,096,048</b>
<b>INDIRECT COST RATE</b>			<b>94.27%</b>	

**PROBATION DEPARTMENT**

(FY 01 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

**SALARIES AND BENEFITS**

Class	Title	1185	1187	TOTAL	Allowable Indirect Costs	Allowable Direct Costs
<b><u>ADMIN &amp; SUPPORT</u></b>						
A82	Deputy Chief Prob. Ofcr			-		
C53	Office Automation System			-		
C76	Office Mngt Coordinator			-		
D11	Transcriptionist			-		
D17	Receptionist			-		
D27	Secretary II			-		
D28	Secretary I			-		
D34	Supv. Clerk I			-		
D36	Adv. Clerk Typist			-		
D39	Clerk Typist			-		
D40	Clerk I			-		
D42	Law Enforcement Records			-		
D60	Clerical Office Supv			-		
F14	Legal Clerk			-		
G39	Dept Information Sys			-		
	<b>TOTAL</b>	<b>2,157,600</b>	<b>109,036</b>	<b>2,266,636</b>	<b>2,266,636</b>	
	Premium Pay (1193,1195,1391,1234)			24,855	24,855	
	Overtime (1191, 1391)			926	926	
	Benefits (1183,1192,1196, 1197,1198,1199)			807,438	807,438	
	<b>SUBTOTAL</b>			<b>3,099,855</b>	<b>3,099,855</b>	
<b><u>ADULT INVESTIGATION</u></b>						
X44	Probation Manager	85,134		85,134	85,134	
X48	Supv Probation Officer	594,864		594,864	594,864	
X5x	Deputy Prob Officer (W85)	3,933,577	81,463	4,015,040		4,015,040
X27	Sr Group Counselor	-		-	-	-
Q96	Training Instructor / Others	-		-	-	-
E07	Community Worker	36,325	30,321	66,646		66,646
E19	Prob Community Worker	111,696	5,283	116,979		116,979
F38	Justice Systems Clerk I	2,129		2,129		2,129
H56	Head Cook	1,786		1,786		1,786
R20	Dietitian II	1,811		1,811		1,811
	<b>SUBTOTAL</b>	<b>4,767,322</b>	<b>117,067</b>	<b>4,884,389</b>		
	<b>Premium Pay (1193,1195,1391)</b>			16,348		16,348
1191	<b>Overtime</b>			3,194		3,194
	<b>Benefits(1183,1192,1196, 1197,1198,1199)</b>			1,081,866	131,120	950,746
	<b>SUBTOTAL</b>			<b>5,985,797</b>		
<b><u>SUPERVISION</u></b>						
	Community Worker	5,842		5,842		5,842
E19	Prob Comm Worker	80,405		80,405		80,405
Q94	Probation Comm Worker	43,706		43,706		43,706
W82	Supv Probation Officer			-		-
W85	Dep Probation Officer	181,039		181,039		181,039
X27	Sr Group Counselor			-		-
X2x	Group Counselor I/II	81,965		81,965		81,965
X44	Probation Manager	86,082		86,082	86,082	
X48	Supv Probation Officer	604,797		604,797	604,797	
X50	Deputy Probation Officer	4,265,662	46,936	4,312,598		4,312,598
	<b>SUBTOTAL</b>	<b>5,349,498</b>	<b>46,936</b>	<b>5,396,434</b>		
	<b>Premium Pay (1193,1195,1391)</b>			34081		34081
1191	<b>Overtime</b>			29,709		29,709
	<b>Benefits(1183,1192,1196, 1197,1198,1199)</b>			1,220,491	122,073	1,098,418
	<b>SUBTOTAL</b>			<b>6,680,715</b>		
	<b>TOTAL (Salaries &amp; Benefits)</b>			<b>15,766,367</b>		
	<b>TOTAL (Direct &amp; Indirect Costs)</b>				<b>4,723,925</b>	<b>11,042,442</b>

151

**ADULT PROBATION  
FISCAL YEAR 2001**

ESBJ	DESCRIPTION	37/2	37/24	37/26	TOTAL
		Adm/Supr	Investation	Supervision	
1183	RETIREE MEDICAL EXPENSE	113,090	155,905	178,351	447,346
1185	PERMANENT EMPLOYEES	2,157,600	4,767,322	5,349,498	12,274,420
1187	TEMPORARY HELP	109,036	117,067	46,936	273,039
1191	OVERTIME	875	3,067	29,709	33,651
1192	UNEMPLOYMENT INSURANCE	1,609	3,448	3,836	8,893
1193	PREMIUM PAY	24,753	16,348	28,849	69,950
1195	CALL DUTY	12		3,584	3,596
1196	HEALTH INSURANCE	338,831	452,320	516,481	1,307,632
1197	FICA-EMPLOYER SHARE	166,153	52,312	65,676	284,141
1198	PERS-RETIREMENT	147,515	331,760	360,279	839,554
1199	WORKERS' COMPENSATION	40,240	86,121	95,868	222,229
1234	UNIFORM ALLOWANCE	90			
1391	HOLIDAY OVERTIME	51		1,648	1,699
1491	12 PLAN OT		127	0	
<b>Object 1</b>		<b>3,099,855</b>	<b>5,985,797</b>	<b>6,680,715</b>	<b>15,766,150</b>
2111	CLOTHING & PERS. EXPENSE	65	381	1,929	2,375
2112	SAFETY SHOES				0
2126	COMM & TELEPHONE SRVCS	54,941	4,668	22,144	81,753
2145	FOOD	75	10	28	
2161	HOUSEHOLD EXPENSE	837			837
2206	INTERPRETER FEES		4,848	1,354	6,202
2211	MAINTENANCE - EQUIPMENT	20,070	55	21,270	41,395
2225	MAINT-STRUCT IMP. & GRD.	5,052			5,052
2251	MED, DENTAL & LAB SUPPLIES				0
2285	MISCELLANEOUS EXPENSE	670	1,546	5,374	7,590
2301	OFFICE EXPENSE	49,579	6,985	7,342	63,906
2302	OPERATING EXPENSE - OTHER		12		
2322	PROF AND SPECIAL SERVICE		19,210	17,593	36,803
2329	CONTRACT SERVICE			105,143	
2331	DATA PROCESSING SERVICES	13,851		303	14,154
2451	RENTS & LEASES - EQUIPMENT	54,429	3,185		57,614
2455	SHOP SUPPLIES				0
2472	OFFICE RENTS	1,095,158			1,095,158
2501	SMALL TOOLS & INSTRUM.	144,232	16,420	15,861	176,513
2547	EDUCATION EXPENSE				0
2574	MEMBERSHIP DUES	35		50	85
2585	EXTERNAL PRINTING			3	3
2586	PRINTING & REPRODUCTION	15,366			15,366
2624	SPECIAL DEPT. EXPENSE	38	362	2,200	2,600
2751	TRANSPORTATION & TRAVEL	3,078	7,444	8,517	19,039
2752	AUTOMOBILE MILEAGE	1,822	9,624	1,429	12,875
2756	GARAGE AUTO SERVICES	16,424	19,387	160,363	196,174
2952	SERVICES & SUPPLIES - OT		493		
2962	PC HARDWARE			105,724	
2963	PC SOFTWARE	8,100			8,100
2991/2	EXTERNAL POSTAGE	23			23
2993	TRANSPORTATION	999	5,157		6,156
<b>Object 2</b>		<b>1,587,931</b>	<b>105,742</b>	<b>476,711</b>	<b>2,170,384</b>
7300	REIMB-PROF & SPECIAL SRVCS		(26,574)	(143,600)	(170,174)
<b>Object 7</b>			(26,574)	(143,600)	(170,174)
	<b>TOTAL</b>	<b>4,687,786</b>	<b>6,064,965</b>	<b>7,013,826</b>	<b>17,766,577</b>

**DOMESTIC VIOLENCE TREATMENT SERVICES  
PRODUCTIVE HOURS TIME STUDY - June 2001**

Name	Index	Class	Unit	A	B	C	Others	TOTAL	Total DV Hrs.
Cerussi, Jane	3724	X52	Inv - I		1.00	4.50	162.50	168.00	5.50
Clements, T.C.	3724	X50	Inv - I		7.25	6.50	98.25	112.00	13.75
Hull, John M.	3724	X50	Inv - I		0.35	2.25	29.40	32.00	2.60
Johnson, Mike	3724	X50	Inv - I		0.50	2.00	77.50	80.00	2.50
King, Michael	3724	X52	Inv - I		2.00	15.00	143.00	160.00	17.00
Mensah, Joseph	3724	X50	Inv - I		-	-	160.00	160.00	-
Munoz, Sandra	3724	X50	Inv - I		0.50	2.75	156.75	160.00	3.25
					11.60	33.00	827.40	872.00	44.60
Atwell, Dianne	3724	X50	Inv - II		4.00	4.00	162.00	170.00	8.00
Baker, James W.	3724	X50	Inv - II		1.75	1.00	167.25	170.00	2.75
Davis, Douglas P.	3724	X53	Inv - II		0.25		167.75	168.00	0.25
Demasi, Andrew J.	3724	X53	Inv - II		0.25	2.00	165.75	168.00	2.25
Marquez, Sandra	3724	X53	Inv - II		1.50	6.00	160.50	168.00	7.50
Mattson, Edward	3724	X50	Inv - II		-	-	168.00	168.00	-
Stelle, Thomas A.	3724	X50	Inv - II		3.00	5.00	163.00	168.00	5.00
Trione, Joseph	3724	X52	Inv - II		10.75	10.00	155.00	168.00	13.00
Sub-total					10.75	28.00	1,309.25	1,348.00	38.75
Bates, Deborah S.	3724	X50	Inv - III		1.25	6.00	152.75	160.00	7.25
DeJesus,Alysa	3724	X52	Inv - III		0.50	3.00	164.50	168.00	3.50
Martinez, Manuel	3724	X52	Inv - III		0.50	1.00	166.50	168.00	1.50
Nguyen, Lan T.	3724	X50	Inv - III		0.50	1.50	166.00	168.00	2.00
Phan, Can	3724	X52	Inv - III				168.00	168.00	-
Robinson, Linda	3724	X52	Inv - III		1.00		167.00	168.00	1.00
Schuett, Sonya	3724	X52	Inv - III		1.50	8.50	158.00	168.00	10.00
Shannon, Kerry	3724	X52	Inv - III		2.25	5.00	160.75	168.00	7.25
Yoder, Sherry	3724	X53	Inv - III				168.00	168.00	-
Sub-total					7.50	25.00	1,471.50	1,504.00	32.50
<b>TOTAL</b>				-	29.85	86.00	3,608.15	3,724.00	115.85
<b>AVERAGE (Hours/ 54 cases)</b>				-	0.55	1.59			2.11

**GUIDE TO EMPLOYEE BENEFITS  
FY 2000-2001 Rates**

*Rates as of April 7, with the exception of August 4 for Workers Compensation, for FY 2000-2001 are shown below:*

1. The STARS 1183 rate relies on ACTUAL charges, as opposed to budgeted costs. Therefore, the charge in STARS is higher than the rate in BRASS. In addition, the BRASS rate has been reduced to account for the amount budgeted in 1182. This amount is an offset to the charge in STARS to subobject 1183. The amount budgeted in BRASS subobjects 1182 and 1183, and the amount actually charged to STARS in subobject should be relatively equal in departments with some degree of turnover.

Rates for positions of various funds are:

	<u>BRASS</u>	<u>STARS</u>	<u>DIFF</u>
0001-General Fund	\$792	\$1,996 //	\$1,204
0023-Roads	\$1,017	\$1,934	\$917
0025-Library	\$1,196	\$2,010	\$814
0060-VMC	\$980	\$1,913	\$933
0061-Aviation	\$1,094	\$1,928	\$834
0021-Courts	\$1,123	\$1,844	\$711
All other funds	\$851	\$1,844	\$993

*For positions added or deleted in the FY 2001 CMB in BRASS, the difference between the two rates should be entered in subobject 1182, using the "general" tab.*

2. Holiday Overtime and 12-Plan overtime will be budgeted separately from Operational Overtime.
3. Safety members in CEMA and 715/Administrative, Professional and Technical, pay their own PERS member contribution.
4. FYI, the salary savings factor is charged against 1183, 1185, 1192, 1196, 1197, 1198, and 1199.

**Revised Worker's Compensation BRASS Rates  
FY 2000-2001**

Fund	Budget Unit	Budget Unit Name	BRASS FY 2001 Rate <sup>1</sup>	STARS FY 2001 Rate (subject to change)
All	All	Countywide Average	0.0228	0.0228
0001	0101 to 0105	Supervisor District 1 through 5	0.0119	0.0117
0001	0106	Clerk of the Board	0.0135	0.0140
0001	0107	County Executive	0.0112	0.0116
0001	0110	Controller-Treasurer	0.0141	0.0146
0001	0112	Tax Collector	0.0162	0.0168
0001	0114	County Recorder	0.0166	0.0165
0001	0115	Assessor	0.0160	0.0147
0001	0117	Measure B Transportation Improvement Program	0.0115	0.0115
0001	0118	Purchasing	0.0267	0.0277
0001	0120	County Counsel	0.0123	0.0124
0001	0130	ESA Admin/Pers/Ben/Trng/LR/ER	0.0141	0.0146
0076	0132	ESA Unemployment Insurance ISF	0.0163	0.0161
0001	0132	ESA Risk Management Admin./EE Well//OSEC	0.0144	0.0115
0078	0132	ESA Worker's Compensation ISF	0.0489	0.0493
0075	0132	ESA Liability Insurance ISF	0.0111	0.0115
0001	0135	GSA Admin./Intgvl Svc.	0.0145	0.0152
0073	0135	GSA Fleet Management ISF	0.0360	0.0360
0077	0135	GSA Printing Services ISF	0.0136	0.0136
0001	0140	Registrar of Voters	0.0196	0.0200
0001	0145	Data Processing Information Services Center	0.0140	0.0115
0074	0145	Data Processing ISF	0.0155	0.0156
0001	0148	Department of Revenue	0.0200	0.0207
0001	0190	GSA Communications Admin.	0.0275	0.0277
0001	0200	D.A. Family Support	0.0175	0.0180
0001	0202	District Attorney Administration	0.0148	0.0150
0001	0203	D.A. Crime Lab	0.0118	0.0121
0001	0204	Public Defender	0.0131	0.0132
0001	0210	Office of Pretrial Services	0.0142	0.0145
0021	0220	Consolidated Courts	0.0225	0.0233
0001	0230	Sheriff Department	0.0294	0.0265
0001	0231	Sheriff - Court & Custody	0.0179	0.0179
0001	0235	Sheriff - DOC Contract	0.0435	0.0441
0001	0240	Department of Correction	0.0387	0.0378
0001	0246	Probation	0.0171	0.0175
0001	0260	Environmental Resource Agency	0.0215	0.0222
0031	0260	ERA Weed Abatement	0.0132	0.0132
0030	0261	Environmental Health	0.0176	0.0180
0001	0262	Agriculture/Weights & Measures/Animal Control	0.0135	0.0133
0035	0168	ERA Housing & Community Development	0.0120	0.0115
0001	0263	GSA Facilities Department	0.0326	0.0333
0001	0293	Medical Examiner/Coroner	0.0166	0.0169
0001	0410	Public Health Administration	0.0170	0.0178
0028	0411	ERA Vector Control District	0.0163	0.0158
0001	0412	Mental Health	0.0378	0.0401
0001	0414	Children's Shelter/Custody Hlth Svc	0.0213	0.0206
0001	0417	Bureau of Drug & Alcohol Programs	0.0134	0.0139
0001	0418	Community Outreach Programs	0.0114	0.0115
0001	0501	Social Services Administration	0.0195	0.0200

<sup>1</sup> The Countywide Average BRASS rate is used in BRASS Decision Packages. The budget unit-specific rate is tied to entry in SBFS.

**Revised Worker's Compensation BRASS Rates  
FY 2000-2001**

Fund	Budget Unit	Budget Unit Name	BRASS FY 2001 Rate <sup>1</sup>	STARS FY 2001 Rate (subject to change)
All	All	Countywide Average	0.0228	0.0228
0001	0101 to 0105	Supervisor District 1 through 5	0.0119	0.0117
0001	0106	Clerk of the Board	0.0135	0.0140
0001	0107	County Executive	0.0112	0.0116
0001	0110	Controller-Treasurer	0.0141	0.0146
0001	0112	Tax Collector	0.0162	0.0168
0001	0114	County Recorder	0.0166	0.0165
0001	0115	Assessor	0.0160	0.0147
0001	0117	Measure B Transportation Improvement Program	0.0115	0.0115
0001	0118	Purchasing	0.0267	0.0277
0001	0120	County Counsel	0.0123	0.0124
0001	0130	ESA Admin/Pers/Ben/Trng/LR/ER	0.0141	0.0146
0076	0132	ESA Unemployment Insurance ISF	0.0163	0.0161
0001	0132	ESA Risk Management Admin./EE Well//OSEC	0.0144	0.0115
0078	0132	ESA Worker's Compensation ISF	0.0489	0.0493
0075	0132	ESA Liability Insurance ISF	0.0111	0.0115
0001	0135	GSA Admin./Intgvl Svc.	0.0145	0.0152
0073	0135	GSA Fleet Management ISF	0.0360	0.0360
0077	0135	GSA Printing Services ISF	0.0136	0.0136
0001	0140	Registrar of Voters	0.0196	0.0200
0001	0145	Data Processing Information Services Center	0.0140	0.0115
0074	0145	Data Processing ISF	0.0155	0.0156
0001	0148	Department of Revenue	0.0200	0.0207
0001	0190	GSA Communications Admin.	0.0275	0.0277
0001	0200	D.A. Family Support	0.0175	0.0180
0001	0202	District Attorney Administration	0.0148	0.0150
0001	0203	D.A. Crime Lab	0.0118	0.0121
0001	0204	Public Defender	0.0131	0.0132
0001	0210	Office of Pretrial Services	0.0142	0.0145
0021	0220	Consolidated Courts	0.0225	0.0233
0001	0230	Sheriff Department	0.0294	0.0265
0001	0231	Sheriff - Court & Custody	0.0179	0.0179
0001	0235	Sheriff - DOC Contract	0.0435	0.0441
0001	0240	Department of Correction	0.0387	0.0378
0001	0246	Probation	0.0171	0.0175
0001	0260	Environmental Resource Agency	0.0215	0.0222
0031	0260	ERA Weed Abatement	0.0132	0.0132
0030	0261	Environmental Health	0.0176	0.0180
0001	0262	Agriculture/Weights & Measures/Animal Control	0.0135	0.0133
0035	0168	ERA Housing & Community Development	0.0120	0.0115
0001	0263	GSA Facilities Department	0.0326	0.0333
0001	0293	Medical Examiner/Coroner	0.0166	0.0169
0001	0410	Public Health Administration	0.0170	0.0178
0028	0411	ERA Vector Control District	0.0163	0.0158
0001	0412	Mental Health	0.0378	0.0401
0001	0414	Children's Shelter/Custody Hlth Svc	0.0213	0.0206
0001	0417	Bureau of Drug & Alcohol Programs	0.0134	0.0139
0001	0418	Community Outreach Programs	0.0114	0.0115
0001	0501	Social Services Administration	0.0195	0.0200

<sup>1</sup> The Countywide Average BRASS rate is used in BRASS Decision Packages. The budget unit-specific rate is tied to entry in SBFS.

**Santa Clara County Certified Batterers/Domestic Violence Programs**  
**Condado de Santa Clara Programas Certificados En Violencia Domestica**

**Bata/Starr Counseling Associates**

(English only)  
 Rick Bata  
 2400 Moorpark Ave.  
 Suite 207B  
 San Jose, CA. 95128  
 (408) 450-8370  
 Fax (408) 995-0459

**A New Beginning**

(English, Korean)  
 Sallie Danenberg  
 1155 Meridian Ave,  
 Suite 121  
 San Jose, CA 95125  
 (408) 266-8544  
 FAX (408) 266-8545

**Harbor Light Counseling**

\*(English-Heterosexual  
 Gay/Lesbian)  
 Peggy Schrader, Clay  
 Roberts  
 2400 Moorpark, Suite 318  
 San Jose, CA 95128  
 (408) 297-3143  
 Fax (408) 297-3111

**Center for Human Development \***

(English, Mandarin)  
 Barbara Gilbert, Ph. D.  
 105 N. Bascom Suite 103  
 San Jose, CA 95128  
 (408) 298-8115

**Domestic Violence Counseling and Intervention Services \***

(English)  
 Mica Fuller  
 940 Saratoga Ave. #105  
 San Jose, CA 95129  
 (408) 261-5890  
 Fax (408) 261-5899

**Turning Point Counseling and Educational Services \***

(English/Spanish)  
 Athena Taj, Frank Del Fiugo

*Sunnyvale Office*  
 510 So. Mathilda Ave.  
 Suite # 10  
 Sunnyvale, CA 94086  
 (408) 739-2171  
 Spanish (408)739-3012  
 Fax same as phone  
 number

*Mountain View Office*  
 748 Mercy Street  
 Mountain View, CA 94041  
 (650) 569-6813

*South San Jose Office*  
 6122 Camino Verde,  
 Suite 15  
 San Jose, CA 95119  
 (408) 739-2171  
 Fax (408) 739-3012

Women's Spanish  
 Darshana Rouldan  
 (408) 739-3012

**Family and Children Services \***

(English/Spanish)  
 Victoria Colligan  
 375 Cambridge Avenue  
 Palo Alto, CA 94307  
 (650) 326-6576

**Community Solutions**

(English/Spanish)  
 Josie Martinez  
 8475 Forest Street  
 Gilroy, CA 95020  
 (408) 842-7138  
 Fax (408) 842-0757

**Next Door Solutions to Domestic Violence**

(English)  
 Debbie Sayre  
 1181 N. Fourth Street  
 San Jose, CA 95112  
 (408) 279-7550  
 Fax (408) 279-7562

**Living Without Violence**

S.C.Co. Dept. of Family  
 and Children's Services  
 (English/Spanish/Tagalog/  
 Vietnamese)

**D.F.C.S./ Juvenile Court Clients Only**

Marla Johanning  
 1725 Technology  
 San Jose, CA 95110  
 (408) 441-5682  
 Spanish 729-2317  
 Vietnamese 441-5612  
 Tagalog 299-1503  
 Fax (408) 573-1326

**Proyecto Primavera**

(English/Spanish/  
 Vietnamese)  
 Rita Tercero  
 1430 Tully Rd. #410  
 San Jose, CA 95122  
 (408) 278-9031  
 Fax (408) 277-0561

**Domestic Violence #2**

(English/Spanish)  
 Sylvia Bettencourt  
 1394 Tully Rd. #206  
 San Jose, CA 95122  
 (408) 275-7150  
 Fax (408) 275-7154

**Batterers must personally contact a certified program to arrange an intake interview and enrollment. Partners are prohibited from attending the program with the batterer.**  
**El acusado(a) tiene que ponerse en contacto personalmente con uno de estos programas certificados para hacer una cita. Es prohibido que la pareja atiendo el programa con el acusado(a).**

**Santa Clara County**  
**Domestic Violence Treatment Services Program**  
**Audit Period from July 1, 1998 through June 30, 2000**  
**Audit ID# S03-MCC-0002**

**Analysis of Indirect Cost Rate Calculation 2000/01**

<u>Description of Cost</u>	<u>Claimed Indirect Costs</u>	<u>Allowable per Audit</u>	<u>Adjustments</u>
Salaries and Benefits	4,723,925	4,723,925	-
Services and Supplies	2,116,778	2,116,778	-
General Admin Cost Allocation	2,000,352	1,980,405	19,947
Cost Plan Costs Allocation	<u>1,568,271</u>	<u>1,568,271</u>	<u>-</u>
Total Indirect Costs	<u>10,409,326</u>	<u>10,389,379</u>	<u>19,947</u>
Claimed Direct Labor	11,042,442	11,042,442	-
Indirect cost rate	94.27%	94.09%	0.18%

**INDIRECT COST RATE PROPOSAL**  
**PROBATION DEPARTMENT**  
**ADULT INVESTIGATION & ADULT SUPERVISION**  
**DOMESTIC VIOLENCE TREATMENT SERVICES - AUTHORIZATION AND CASE MANAGEMENT**  
 CH 183192, 184192, 28194 & 64195  
 (FY 01 ACTUAL)  
 SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

DESCRIPTION OF COST	TOTAL COST	EXCLUDABLE UNALLOWABLE COST	ALLOWABLE INDIRECT COST	ALLOWABLE DIRECT COST
<b>SALARIES AND BENEFITS</b>				
Salaries & Wages	1185,1187,1193,1195	12,622,743	3,662,368	8,960,375
Overtime	1191, 1391	33,829	926	32,903
Benefits	1183,1192,1196,1197,1198,1199	3,109,795	1,060,631	2,049,164
<b>SUBTOTAL</b>		<b>15,766,367</b>	<b>4,723,925</b>	<b>11,042,442</b>
<b>SERVICES &amp; SUPPLIES</b>				
Telephone Services	2124,2125,2126	189,818	189,818	
Maintenance-Equip & Struct Imp.	2211,2225	46,447	25,122	21,325
Rents & Leases - Equip & Office	2451,2472	1,152,772	1,152,772	0
Small Tools & Inst.	2501	176,513	144,232	32,281
Printing & Reproduction	2585,2586	15,369	15,369	
Automobile Mileage	2762	12,875	12,875	
Garage Auto Services	2756	196,174	196,174	
Others (all other obj. 2)	all other obj. 2	380,416	380,416	0
<b>SUBTOTAL</b>		<b>2,170,384</b>	<b>2,116,778</b>	<b>53,606</b>
<b>TOTAL</b>		<b>17,936,751</b>	<b>6,840,703</b>	<b>11,096,048</b>
<b>GENERAL ADMIN COST ALLOC</b>				
		2,000,352	2,000,352	
<b>COST PLAN COSTS ALLOCATION</b>				
		1,568,271	1,568,271	
<b>TOTAL COSTS</b>		<b>21,505,374</b>	<b>10,409,326</b>	<b>11,096,048</b>
<b>INDIRECT COST RATE</b>			<b>94.27%</b>	

**ADULT PROBATION  
FISCAL YEAR 2001**

ESB#	DESCRIPTION	372	372	372	TOTAL
		Adm/Supr	Investigation	Supervision	
1183	RETIREE MEDICAL EXPENSE	113,090	155,905	178,351	447,346
1185	PERMANENT EMPLOYEES	2,157,600	4,767,322	5,349,498	12,274,420
1187	TEMPORARY HELP	109,036	117,067	46,936	273,039
1191	OVERTIME	875	3,067	29,709	33,651
1192	UNEMPLOYMENT INSURANCE	1,609	3,448	3,836	8,893
1193	PREMIUM PAY	24,753	16,348	28,849	69,950
1195	CALL DUTY	12		3,584	3,596
1196	HEALTH INSURANCE	338,831	452,320	516,481	1,307,632
1197	FICA-EMPLOYER SHARE	166,153	52,312	65,676	284,141
1198	PERS-RETIREMENT	147,515	331,760	360,279	839,554
1199	WORKERS' COMPENSATION	40,240	86,121	95,868	222,229
1234	UNIFORM ALLOWANCE	90			90
1391	HOLIDAY OVERTIME	51		1,648	1,699
1491	12 PLAN OT		127	0	127
<b>Object 1</b>		<b>3,099,855</b>	<b>5,985,797</b>	<b>6,680,715</b>	<b>15,766,367</b>
2111	CLOTHING & PERS. EXPENSE	65	381	1,929	2,375
2112	SAFETY SHOES				0
2126	COMM & TELEPHONE SRVCS	54,941	4,668	22,144	81,753
2145	FOOD	75	10	28	113
2161	HOUSEHOLD EXPENSE	837			837
2206	INTERPRETER FEES		4,848	1,354	6,202
2211	MAINTENANCE - EQUIPMENT	20,070	55	21,270	41,395
2225	MAINT-STRUCT IMP. & GRD.	5,052			5,052
2251	MED, DENTAL & LAB SUPPLIES				0
2285	MISCELLANEOUS EXPENSE	670	1,546	5,374	7,590
2301	OFFICE EXPENSE	49,579	6,985	7,342	63,906
2302	OPERATING EXPENSE - OTHER		12		12
2322	PROF AND SPECIAL SERVICE		19,210	17,593	36,803
2329	CONTRACT SERVICE			105,143	105,143
2331	DATA PROCESSING SERVICES	13,851		303	14,154
2451	RENTS & LEASES - EQUIPMENT	54,429	3,185		57,614
2455	SHOP SUPPLIES				0
2472	OFFICE RENTS	1,095,158			1,095,158
2501	SMALL TOOLS & INSTRUM.	144,232	16,420	15,861	176,513
2547	EDUCATION EXPENSE				0
2574	MEMBERSHIP DUES	35		50	85
2585	EXTERNAL PRINTING			3	3
2586	PRINTING & REPRODUCTION	15,366			15,366
2624	SPECIAL DEPT. EXPENSE	38	362	2,200	2,600
2751	TRANSPORTATION & TRAVEL	3,078	7,444	8,517	19,039
2752	AUTOMOBILE MILEAGE	1,822	9,624	1,429	12,875
2756	GARAGE AUTO SERVICES	16,424	19,387	160,363	196,174
2952	SERVICES & SUPPLIES - OT		493		493
2962	PC HARDWARE			105,724	105,724
2963	PC SOFTWARE	8,100			8,100
2991/2	EXTERNAL POSTAGE	23			23
2993	TRANSPORTATION	999	5,157		6,156
<b>Object 2</b>		<b>1,587,931</b>	<b>105,742</b>	<b>476,711</b>	<b>2,170,384</b>
7300	REIMB-PROF & SPECIAL SRVCS		(26,574)	(143,600)	(170,174)
<b>Object 7</b>			(26,574)	(143,600)	(170,174)
	<b>TOTAL</b>	<b>4,687,786</b>	<b>6,064,965</b>	<b>7,013,826</b>	<b>17,766,577</b>

**PROBATION DEPARTMENT**

(FY 01 ACTUAL)

SOURCE: DAFR8030 - Costs of Adult Admin & Supp, Investigation & Supervision

**SALARIES AND BENEFITS**

Class	Title	1185	1187	TOTAL	Allowable Indirect Costs	Allowable Direct Costs
<b>ADMIN &amp; SUPPORT</b>						
A82	Deputy Chief Prob. Ofcr			-		
C53	Office Automation System			-		
C76	Office Mngt Coordinator			-		
D11	Transcriptionist			-		
D17	Receptionist			-		
D27	Secretary II			-		
D28	Secretary I			-		
D34	Supv. Clerk I			-		
D36	Adv. Clerk Typist			-		
D39	Clerk Typist			-		
D40	Clerk I			-		
D42	Law Enforcement Records			-		
D60	Clerical Office Supv			-		
F14	Legal Clerk			-		
G39	Dept Information Sys			-		
	<b>TOTAL</b>	<b>2,157,600</b>	<b>109,036</b>	<b>2,266,636</b>	<b>2,266,636</b>	
	Premium Pay (1193,1195,1391,1234)			24,855	24,855	
	Overtime (1191, 1391)			926	926	
	Benefits (1183,1192,1196, 1197,1198,1199)			807,438	807,438	
	<b>SUBTOTAL</b>			<b>3,099,855</b>	<b>3,099,855</b>	
<b>ADULT INVESTIGATION</b>						
X44	Probation Manager	85,134		85,134	85,134	
X48	Supv Probation Officer	594,864		594,864	594,864	
X5x	Deputy Prob Officer (W85)	3,933,577	81,463	4,015,040		4,015,040
X27	Sr Group Counselor	-		-	-	-
Q96	Training Instructor / Others	-		-	-	-
E07	Community Worker	36,325	30,321	66,646		66,646
E19	Prob Community Worker	111,696	5,283	116,979		116,979
F38	Justice Systems Clerk I	2,129		2,129		2,129
H56	Head Cook	1,786		1,786		1,786
R20	Dietitian II	1,811		1,811		1,811
	<b>SUBTOTAL</b>	<b>4,767,322</b>	<b>117,067</b>	<b>4,884,389</b>		
	Premium Pay (1193,1195,1391)			16,348		16,348
1191	Overtime			3,194		3,194
	Benefits(1183,1192,1196, 1197,1198,1199)			1,081,866	131,120	950,746
	<b>SUBTOTAL</b>			<b>5,985,797</b>		
<b>SUPERVISION</b>						
	Community Worker	5,842		5,842		5,842
E19	Prob Comm Worker	80,405		80,405		80,405
Q94	Probation Comm Worker	43,706		43,706		43,706
W82	Supv Probation Officer			-		-
W85	Dep Probation Officer	181,039		181,039		181,039
X27	Sr Group Counselor			-		-
X2x	Group Counselor I/II	81,965		81,965		81,965
X44	Probation Manager	86,082		86,082	86,082	
X48	Supv Probation Officer	604,797		604,797	604,797	
X50	Deputy Probation Officer	4,265,662	46,936	4,312,598		4,312,598
	<b>SUBTOTAL</b>	<b>5,349,498</b>	<b>46,936</b>	<b>5,396,434</b>		
	Premium Pay (1193,1195,1391)			34081		34081
1191	Overtime			29,709		29,709
	Benefits(1183,1192,1196, 1197,1198,1199)			1,220,491	122,073	1,098,418
	<b>SUBTOTAL</b>			<b>6,680,715</b>		
	<b>TOTAL (Salaries &amp; Benefits)</b>			<b>15,766,367</b>		
	<b>TOTAL (Direct &amp; Indirect Costs)</b>				<b>4,723,925</b>	<b>11,042,442</b>

**PROBATION DEPARTMENT  
FY01 PROGRAM COST ANALYSIS**

*(Revised)*

PROGRAM	SALARY & BENEFIT	SRVCS & SUPPLS	ADMISUP ALLOC	GEN ADM ALLOC	REIMS	VAC/SICK ACCRUAL	COST PLAN ALLOC	TOTAL COST	UNALLOWABLE	REVENUE	NET COST	BED/MAN DAYS	COST PER DAY
<b>JUVENILE DIVISION</b>													
JUVENILE HALL	13,696,636	1,363,395	22,620	3,844,591	(235,295)		1,798,445	20,490,392		410,493	20,079,899	112,901	\$177.85
EMP/MP	611,108	93,107	1,009	171,536			80,242	957,002			957,002	51,697	\$18.51
CRP	305,554	46,554	505	85,768			40,121	478,501			478,501	16,916	\$28.29
JAMES RANCH	3,009,689	649,580	140,431	884,055			400,950	5,084,704		165,899	4,918,805	28,496	\$172.62
HOLDEN RANCH	2,858,829	501,261	139,462	791,002			391,725	4,682,279		74,621	4,607,658	23,965	\$192.27
WRIGHT CENTER	2,506,191	441,231	122,312	566,934			274,141	3,910,810		56,682	3,854,128	13,565	\$284.12
JUVENILE SERVICES	6,009,902	474,966	920,719	1,031,923			237,373	8,674,882		2,245	8,672,637		
COMMUNITY SERVICES Traffic	4,816,885 376,618	3,357,675 8,457	704,375	1,041,656 592,068	(61,650)		222,590 14,641	10,081,532 991,784		2,767,846 9,460	7,313,686 982,324		
<b>TOTAL JUVENILE DIVISION</b>	<b>34,197,412</b>	<b>6,936,226</b>	<b>2,051,433</b>	<b>9,009,532</b>	<b>(296,945)</b>	<b>0</b>	<b>3,460,229</b>	<b>55,351,887</b>		<b>3,487,246</b>	<b>51,864,641</b>		
<b>ADULT DIVISION</b>													
ADULT INVESTIGATION Adult Screening	5,298,648 687,149	101,183 4,559	2,177,549 140,625	595,186 94,608	(26,574)		211,353 33,372	8,361,345 960,312		0	8,361,345 960,312		
ADULT SUPERVISION	6,680,715	476,711	2,125,432	1,286,611	(143,600)		1,323,546	11,749,416			11,749,416		
WORK FURLOUGH PROGRAM Women's Residential Center	1,930,689 1,280,723	387,919 169,211	145,168 143,149	270,774 206,304			354,734 270,274	3,089,283 2,069,662			3,089,283 2,069,662	76,671 18,527	\$40.29 \$111.71
<b>TOTAL ADULT DIVISION</b>	<b>15,877,924</b>	<b>1,139,583</b>	<b>4,731,923</b>	<b>2,457,483</b>	<b>(170,174)</b>	<b>0</b>	<b>2,193,279</b>	<b>26,230,018</b>	<b>0</b>	<b>0</b>	<b>26,230,018</b>		
<b>TOTAL OTHER</b>			58,453	1,591,384			22,101	1,671,938		0	1,671,938		
<b>TOTAL PROBATION DEPT</b>	<b>50,069,336</b>	<b>8,075,809</b>	<b>6,841,809</b>	<b>13,058,399</b>	<b>(467,119)</b>	<b>0</b>	<b>5,675,510</b>	<b>83,253,844</b>	<b>0</b>	<b>3,487,246</b>	<b>79,766,598</b>		

*1980.105*  
*1,568,271*



**PROBATION DEPARTMENT  
COST PLAN COSTS ALLOCATION (FY01)**

DESCRIPTION	COST PLAN	UNALL	TRAFF	8.0		21.5		36.0		32.0		70.0		91.5		96.0		96.0		ADJUSTMENT	TOTAL
				5,179	143,385	23,304	3714	36.0	3716	3716	3716	3716	3716	3716	3710	3724	3724	3724	3724		
BUILDING USE	329,588	0	5,179	143,385	23,304	23,304	3,532	3,140	8,978	45,314	1,576	3,748	3,833	8,831	22,101	22,101	22,101	22,101	22,101	329,588	
EQUIPMENT USE	69,663	0	785	21,733	3,532	3,532	12	10	29	22	12	28	29	29	22,101	22,101	22,101	22,101	22,101	69,663	
CIVIC CTR PARK	227		3																	227	
SPACE RENTAL	18,555	0	209	5,789	941	941	836	836	2,391	1,829	967	2,300	2,352	11,079	11,079	11,079	11,079	11,079	18,555		
COUNTY EXECUTIVE	87,400	0	985	27,266	4,432	4,432	3,939	3,939	11,264	8,617	4,555	10,833	16,588	16,588	16,588	16,588	16,588	16,588	16,588	87,400	
BUDGET & ANALYSIS	130,858	0	1,474	40,824	6,635	6,635	5,898	5,898	16,864	12,901	6,819	16,219	16,588	16,588	16,588	16,588	16,588	16,588	16,588	130,858	
CONTROLLER	64,659	0	729	20,172	3,278	3,278	2,914	2,914	8,333	6,375	3,370	8,014	8,196	8,196	8,196	8,196	8,196	8,196	8,196	64,659	
INTERNAL AUDIT	181,166	0	2,041	56,519	9,186	9,186	8,165	8,165	23,347	17,861	9,441	22,454	22,965	22,965	22,965	22,965	22,965	22,965	22,965	181,166	
ESA	120,138	0	1,354	37,480	6,092	6,092	5,415	5,415	15,483	11,845	6,261	14,890	15,229	15,229	15,229	15,229	15,229	15,229	15,229	120,138	
PURCHASING	1,491,162	0	93,312	40,622	31,930	31,930	20,623	20,623	0	0	220,061	36,105	1,048,509	1,048,509	1,048,509	1,048,509	1,048,509	1,048,509	1,048,509	1,491,162	
REVENUE & COLLECTION	44,146	0	497	13,772	2,238	2,238	1,990	1,990	5,689	4,352	2,301	5,472	5,596	5,596	5,596	5,596	5,596	5,596	5,596	44,146	
COUNTY COUNSEL	18,935	0	213	5,907	960	960	853	853	2,440	1,867	987	2,347	2,400	2,400	2,400	2,400	2,400	2,400	2,400	18,935	
GSA- SERVICES	2,555,966	0	1,332,503	275,046	275,046	275,046	182,474	182,474	65,869	89,012	295,518	20,250	20,249	20,249	20,249	20,249	20,249	20,249	20,249	2,555,966	
FACILITIES	6,366	0	72	1,986	323	323	287	287	820	628	332	789	807	807	807	807	807	807	807	6,366	
DISPATCHING	184,931	0	7,000	1,138	1,138	1,138	1,011	1,011	2,892	2,212	1,169	2,781	2,844	2,844	2,844	2,844	2,844	2,844	2,844	184,931	
CLIC	22,439	0	253	7,000	1,138	1,138	1,011	1,011	2,892	2,212	1,169	2,781	2,844	2,844	2,844	2,844	2,844	2,844	2,844	22,439	
OTHER SERVICES	5,348,300	0	13,793	1,807,719	377,737	369,046	288,270	288,270	223,630	209,703	588,823	230,556	1,246,919	1,246,919	1,246,919	1,246,919	1,246,919	1,246,919	1,246,919	5,348,300	
TOTAL ALLOCATED	270,522	0	701	91,816	19,186	18,744	13,118	13,118	11,358	10,651	29,907	11,710	63,332	63,332	63,332	63,332	63,332	63,332	63,332	270,522	
ROLL FORWARD																				0	
COST W/ROLL FWD	5,618,822	0	14,494	1,899,535	396,923	387,790	271,388	271,388	234,988	220,354	618,730	242,267	1,310,252	1,310,252	1,310,252	1,310,252	1,310,252	1,310,252	1,310,252	5,618,822	
ADJUSTMENTS	56,788	0	147	19,274	4,027	4,027	3,935	3,935	2,384	2,236	6,278	2,458	13,295	13,295	13,295	13,295	13,295	13,295	13,295	56,788	
PROPOSED COSTS	5,675,610	0	14,641	1,918,808	400,950	391,725	274,141	274,141	237,373	222,590	625,008	244,725	1,323,546	1,323,546	1,323,546	1,323,546	1,323,546	1,323,546	1,323,546	5,675,610	

**COST PLAN COSTS ALLOCATION - FY01**

1. Building Use - Allocated to all Juvenile and Administration based on number of employees.

JH	221.50	133,952	9,433	143,385
JR	36.00	21,771	1,533	23,304
HR	36.00	21,771	1,533	23,304
WC	32.00	19,352	1,363	20,715
CS	70.00	42,332	2,981	45,314
JS	91.50	55,334	3,897	59,231
TRAFFIC	8.00	4,838	341	5,179
ADMIN	50.00	30,237	(30,237)	0
<b>TOTAL JUV</b>	<b>545.00</b>	<b>329,588</b>	<b>(9,156)</b>	<b>320,432</b>
Admin, further allocated to all IC based on number of employees.				
AD INVESTIGATION	88.00		3,748	3,748
AD SUPERVISION	90.00		3,833	3,833
WORK FURLOUGH	37.00		1,576	1,576
UNALLOWABLETOM.12-ANN. JOHNDRICKS			0	0
<b>TOTAL DEPT</b>	<b>710.00</b>		<b>(0)</b>	<b>329,588</b>

2. Space Rental - Paid directly by the budget unit in FY 00

3. Revenue & Collection - allocated based on revenue collections by DOR.

3702	0	0
3726	4,627,526	1,048,509
3710	971,225	220,061
3706	411,828	93,312
3714	179,280	40,622
3716	140,922	31,930
3718	91,018	20,623
3724	159,345	36,105
	<b>6,581,143</b>	<b>1,491,162</b>

4. GSA-Maintenance, GSA-Custodial & Grd, GSA-Utilities ( facilities) allocation based on Sq.footage of the county owned buildings.

5. CRIMINAL JUSTICE - Allocated to Adult 3710,3724 and 3726 based on no.of employees.

6. Roll Forward - Allocated based on net allocated

**PROBATION DEPARTMENT**  
**JOHN CAVALLI**  
 (246) 878.5 - POSITIONS

FY01

**JUVENILE DIVISION**  
 GLENN ARIMA  
 284 - POSITIONS

**JUVENILE ADMIN & SUPPORT**  
 3704 - GLENN ARIMA  
 45.5 - POSITIONS

**ADMINISTRATION**  
 1 - DEPUTY CHIEF PO  
 1 - POSITION

**DEL/CS/INST SUPPORT**  
 1 - ADMIN SUPP OFFICER  
 5 - SUPERVISING CLERK  
 5 - TRANSCRIPTIONIST  
 19 - ADV CLERK TYPIST  
 1 - CLERK TYPIST  
 2 - LAW ENF. CLERK  
 10.5 - JUSTICE SYSTEMS CLERK  
 1 - DATA ENTRY OPERATOR  
 44.5 - POSITIONS

**COMMUNITY SERVICES**  
 5708 - BOB CREAMER  
 68 - POSITIONS

**ROP/DIVERSION/VOMP/YEA**  
 1 - PROBATION MANAGER  
 3 - SUPV PROB OFFICER  
 18 - DEPUTY PROB OFFICER  
 13 - PROB COMMUNITY WRKR  
 35 - POSITIONS

**PLACEMENT**  
 1 - SUPV PROB OFFICER  
 9 - DEPUTY PROB OFFICER  
 10 - POSITIONS

**SCREENING/SCREENING INTAKE**  
 1 - SUPV PROB OFFICER  
 6 - DEPUTY PROB OFFICER  
 7 - POSITIONS

**ALL PLACEMENT ACADEMY**  
 1 - SUPV PROB OFFICER  
 2 - DEPUTY PROB OFF  
 1 - SR GROUP COUNSELOR  
 4 - POSITIONS

**JUVENILE COURT UNIT/TRAFFIC**  
 2 - SUPV PROB OFFICER/1 THO  
 10 - DEPUTY PROB OFFICER  
 12 - POSITIONS

**JUVENILE SERVICES**  
 3702 - HAROLD MACLEAN  
 85.5 - POSITIONS

**JUVENILE SERVICES**  
 1 - PROBATION MANAGER  
 5 - SUPV PROB OFFICER  
 49 - DEPUTY PROB OFF  
 55 - POSITIONS

**GANG UNIT**  
 1 - SUPV PROB OFFICER  
 13 - DEPUTY PROB OFF  
 14 - POSITIONS

**JUVENILE TREATMENT COURT**  
 1 - SUPV PROB OFFICER  
 3 - DEPUTY PROB OFF  
 1 - PROB COMMUNITY WRKR  
 5 - POSITIONS

**FOCUS 7 DOMESTIC VIOLENCE**  
 1 - SUPV PROB OFFICER  
 9.5 - DEPUTY PROB OFF/2.5  
 1 - PROB COMM WORKER/DV  
 11.5 - POSITIONS

**WILLIAM JAMES RANCH**  
 3714 - ED TITUS  
 1 - PROBATION MANAGER  
 3 - SUPV PROB COUNSELOR  
 21 - PROB COUNSELOR  
 3 - PROBATION ASSISTANT  
 1 - RANCH MAINT SUPV  
 29 - POSITIONS

**HAROLD HOLDEN RANCH**  
 3716 - MIKE MATHIASSEN  
 1 - PROBATION MANAGER  
 3 - SUPV PROB COUNSELOR  
 21 - PROB COUNSELOR  
 3 - PROBATION ASSISTANT  
 1 - MAINT.MECHANIC II  
 29 - POSITIONS

**WRIGHT RESIDENTIAL CENTER**  
 3718 - MARTY BREWER  
 1 - PROBATION MANAGER  
 3 - SUPV PROB COUNSELOR  
 17 - PROB COUNSELOR  
 6 - PROBATION ASSISTANT  
 27 - POSITIONS

**JUVENILE DETENTION DIV**  
 PAT SHANNON  
 197.5 - POSITIONS

**JUV HALL ADMIN & SUPPORT**  
 3706/07 - PAT SHANNON  
 20.5 - POSITIONS

**ADMINISTRATION**  
 1 - DEPUTY CHIEF PO  
 1 - SECRETARY II  
 2 - POSITIONS

**JUVENILE HALL SUPPORT**  
 1 - ADMIN SUPP OFFICER  
 2 - SUPERVISING CLERK  
 1 - RECEPTIONIST  
 2 - ACCOUNT CLERK II  
 5.5 - LAW ENFORC. CLERK  
 3 - JUSTICE SYSTEMS CLERK  
 4 - ADV CLERK TYPIST  
 18.5 - POSITIONS

**JUV HALL OPERATIONS CONTROL (43)**  
 KATHY DUQUE  
 1 - PROBATION MANAGER  
 5 - SUPV GROUP COUNSELOR  
 42 - SR GROUP COUNSELOR  
 5 - NIGHT ATTENDANT  
 53 - POSITIONS

**CRT WORK PROG/VOP/IA/BO**  
 1 - SUPV GROUP COUN  
 4 - SR GROUP COUNSELOR/1 (U)  
 5 - POSITIONS

**TRANSPORTATION**  
 2 - TRANSPORTATION OFFICER

**EMP/CRP**  
 1 - SUPV GROUP COUN  
 12 - SR GROUP COUNSELOR  
 13 - POSITIONS

**JUV HALL OPERATIONS LIVING (104)**  
 JERRY NEARY  
 1 - PROBATION MANAGER  
 1 - SUPV GC (PROGRAMS)  
 2 - POSITIONS

1 - SUPV GROUP COUN.  
 B-4 (ROP/RAP) (42 CAP)  
 9 - SR GROUP COUNSELOR  
 10 - POSITIONS

1 - SUPV GROUP COUN.  
 B-5 (TRANSITIONS) (42 CAP)  
 9 - SR GROUP COUN.  
 10 - POSITIONS

1 - SUPV GROUP COUN.  
 B-6 (48 CAP)  
 9 - SR GROUP COUN.  
 B-12 (30 CAP)  
 9 - SR GROUP COUN.  
 19 - POSITIONS

1 - SUPV GROUP COUN.  
 B-7 (30 CAP)  
 8 - SR GROUP COUN.  
 9 - POSITIONS

1 - SUPV GROUP COUN.  
 B-8 (30 CAP)  
 9 - SR GROUP COUN.  
 B-9 (30 CAP)  
 9 - SR GROUP COUN.  
 19 - POSITIONS

1 - SUPV GROUP COUN.  
 B-10 (30 CAP)  
 8 - SR GROUP COUN.  
 B-11 (30 CAP)  
 9 - SR GROUP COUN.  
 19 - POSITIONS

1 - SUPV GROUP COUN.  
 G-1 (30 CAP)  
 9 - SR GROUP COUN.  
 G-2 (24 CAP)  
 6 - SR GROUP COUN.  
 16 - POSITIONS

**ADMINISTRATIVE DIV**  
 ANN CLARKE  
 116 - POSITIONS

**GENERAL ADMINISTRATION**  
 1 - CHIEF PROBATION OFFICER  
 1 - ADMIN SERVICES MANAGER  
 1 - SECRETARY III  
 2 - SECRETARY II

**INFORMATION SYSTEM**  
 1 - INFORMATION SYSTEM MGR  
 1 - DEPT INFO SYSTEM SPEC II  
 6 - DEPT INFO SYSTEM SPEC I  
 3 - DEPT INFO SYSTEM ANALYST  
 1 - INFO SYSTEMS TECHNICIAN II  
 1 - PROGRAMMING ANALYST II

**PROJECTS, ANALYSIS, COMM. EVAL.**  
 1 - SR MANAGEMENT ANALYST  
 5 - MANAGEMENT ANALYST

**PERSONNEL/PURCHASING/SS**  
 1 - ADMIN SERVICES MANAGER II  
 1 - ADMIN SUPPORT OFFICER III  
 1 - SUPV PERSONNEL SRVCS CLERK  
 3 - PERSONNEL SERVICES CLERK  
 1 - ACCOUNT CLERK II  
 1 - ADVANCED CLERK TYPIST  
 1 - STOREKEEPER  
 1 - LAUNDRY OPERATIONS SUPV  
 4 - LAUNDRY WORKER II  
 1 - ACCOUNT CLERK II  
 1 - ADVANCED CLERK TYPIST  
 1 - SUPV STOREKEEPER  
 1 - SR WHSE MATERIALS HANDLER  
 4 - STOREKEEPER  
 4 - FORENSIC CHEMIST

**ACCOUNTING/TRAFFIC**  
 1 - DEPT FISCAL OFFICER  
 3 - ACCOUNTANT III  
 2 - ACCOUNTANT ASSISTANT  
 1 - SUPV ACCOUNT CLERK II  
 5 - ACCOUNT CLERK II  
 1 - ADMIN SUPPORT OFFICER III  
 1 - SUPV DEP COURT CLERK II  
 1 - ASST SUPV DEPUTY CRT CLERK  
 6 - DEPUTY COURT CLERK II

**FOOD SERVICES ADMIN**  
 RICHARD MUNLEY  
 45 - POSITIONS

**JUVENILE HALL**  
 1 - DIR NUTN & FD SRVCS  
 1 - HEAD COOK  
 1 - COOK II  
 2 - COOK I  
 9 - FOOD SERVICE WRKR II  
 7 - FOOD SERVICE WRKR I  
 21 - POSITIONS

**JAMES RANCH**  
 1 - COOK II  
 2 - COOK I  
 3 - FOOD SERVICE WRKR II  
 6 - POSITIONS

**HOLDEN RANCH**  
 1 - COOK II  
 2 - COOK I  
 3 - FOOD SERVICE WRKR II  
 6 - POSITIONS

**WRIGHT CENTER**  
 1 - COOK II  
 2 - COOK I  
 2 - FOOD SERVICE WORKER II  
 5 - POSITIONS

**MENS WORK FURLOUGH**  
 1 - HEAD COOK  
 1 - COOK II  
 2 - COOK I  
 4 - POSITIONS

**WOMENS RESID. CENTER**  
 1 - COOK II  
 2 - COOK I  
 3 - POSITIONS

**ADULT DIVISION**  
 GARY SANCHEZ  
 281 - POSITIONS

**ADULT ADMIN & SUPPORT**  
 3712 - GARY SANCHEZ  
 73 - POSITIONS

**ADMINISTRATION**  
 1 - DEPUTY CHIEF PO  
 1 - ADMIN SUPP OFFICER  
 1 - SECRETARY II  
 1 - SECRETARY I  
 4 - POSITIONS

**STAFF TRAINING**  
 1 - SUPV PROB OFFICER  
 1 - DEPUTY PROB OFFICER  
 1 - ADV CLERK TYPIST  
 3 - POSITION

**ADULT INVSUP SUPPORT**  
 5 - SUPERVISING CLERK  
 1 - CLERICAL OFFICE SUPV  
 9 - TRANSCRIPTIONIST  
 3 - RECEPTIONIST  
 19.5 - JUSTICE SYSTEM CLERK  
 14.5 - ADV CLERK TYPIST  
 6 - CLERK TYPIST  
 1 - OFFICE CLERK  
 59 - POSITIONS

**ASU-WF-WRC SUPPORT**  
 1 - SUPERVISING CLERK  
 1 - LAW ENFORC. REC TECH  
 4 - LAW ENFORC. CLERK  
 1 - ADV CLERK TYPIST  
 7 - POSITIONS

**ADULT INVESTIGATION**  
 3724 - CATHY SHIELDS  
 88 - POSITIONS

**ADULT INVESTIGATION**  
 1 - PROBATION MANAGER  
 4 - SUPV PROB OFFICER  
 37 - DEPUTY PROB OFF  
 42 - POSITIONS

**SUBSTANCE ABUSE**  
 1 - SUPV PROB OFFICER  
 6 - DEPUTY PROB OFFICER  
 7 - POSITIONS

**DRUG TREATMENT COURT**  
 1 - SUPV PROB OFFICER  
 10 - DEPUTY PROB OFFICER  
 5 - PROB COMMUNITY WORKER  
 16 - POSITIONS

**ADULT COURT UNIT**  
 1 - SUPV PROB OFFICER  
 10 - DEPUTY PROB OFFICER  
 11 - POSITIONS

**ADULT SCREENING UNIT**  
 1 - SUPV PROB OFFICER  
 1 - PROB COMM WORKER  
 10 - DEPUTY PROB OFFICER  
 12 - POSITIONS

**ADULT SUPERVISION**  
 3726 - RITA LONCARICH  
 90 - POSITIONS

**ADULT SUPERVISION**  
 1 - PROBATION MANAGER  
 6 - SUPV PROB OFFICER  
 55 - DEPUTY PROB OFF  
 2 - PROB COMM WORKER  
 64 - POSITIONS

**DOMESTIC VIOLENCE**  
 1 - SUPV PROB OFFICER  
 14 - DEPUTY PROB OFFICER  
 1 - PROB COMM WORKER  
 16 - POSITIONS

**SPECIAL PROG UNIT**  
 1 - SUPV PROB OFFICER  
 8 - DEPUTY PROB OFFICER  
 1 - SR GROUP COUNSELOR  
 10 - POSITIONS

**MENS WORK FURLOUGH PROG**  
 3710 - KATHY MCNAMEE  
 1 - PROBATION MANAGER  
 4 - SUPV GROUP COUN.  
 12 - SR GROUP COUNSELOR  
 17 - POSITIONS

**WOMENS RESIDENTIAL CENTER**  
 3439 - RUTH AUTEN  
 1 - PROBATION MANAGER  
 3 - SUPV GROUP COUN.  
 9 - SR GROUP COUNSELOR  
 13 - POSITIONS





PROBATION DEPARTMENT (246)  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT  
 REPORT PERIOD= FISCAL YEAR 01  
 FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= ADULT ADMIN AND SUPPORT BUREAU= 01  
 SECTION=

BUDGET-UNIT= 0246  
 PERCENT OF YEAR ELAPSED 0%

EXP ECC	SOBJ FABC	TITLE	ADJUSTED ALLOTMENT	-----EXPENDITURES-----	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
				CURRENT				
1196	D43	LAW ENFORCEMENT CLERK	0	0	90	0	90-	0
1196	F38	JUSTICE SYSTEMS CLERK I	0	0	1,009	0	1,009-	0
*	1196	TOTAL HEALTH INSURANCE	357,453	6,912	358,831	0	18,622	0
							94.8%	
1197		FICA - EMPLOYER SHARE	151,933	3,048	166,153	0	14,220-	0
							109.4%	
1198		PERS - RETIREMENT	170,927	2,757	147,515	0	23,412	0
							86.3%	
1199		WORKERS' COMPENSATION	43,393	737	40,240	0	3,153	0
							92.7%	
1234		UNIFORM ALLOWANCE	0	0	90	0	90-	0
1391		HOLIDAY OVERTIME	0	50-	51	0	51-	0
							0%	
1397		COUNTY CONTRI MEDICARE T	35,558	0	0	0	35,558	0
							0%	
* TOTAL 1		SALARIES AND BENEFITS	3,353,996	57,464	3,099,855	0	254,141	0
							92.4%	
2031		FEE-FOR-SERVICES:NON-CON	0	0	0	0	0	0
2100		TRAINING - SERV & SUPPLI	0	0	0	0	0	0
2111		CLOTHING & PERSONAL SUPP	0	65	65	0	65-	0
							0%	
2125		COMS TELEPHONE SERVICES	22,554	2,499	102,328	0	79,774-	0
							453.7%	
2126		COMM AND TELEPHONE SERVI	102,600	1,772	54,941	0	47,659	0
							55.5%	
2145		FOOD	0	0	75	0	75-	0
2161		HOUSEHOLD EXPENSE	0	205	837	0	837-	0
							0%	
2211		MAINTENANCE - EQUIPMENT	33,800	0	20,070	0	13,730	0
							59.4%	
2225		MAINT-STRUCT IMPROVE & C	1,000	79	5,052	0	4,052-	0
							505.2%	
2285		MISCELLANEOUS EXPENSE	1,000	0	670	0	330	0
							67.0%	

AVAILABLE





REPORT PERIOD= FISCAL YEAR 01  
 DIVISION= 17 ADULT DIVISION  
 BUREAU= 02 ADULT INVESTIGATION  
 SECTION=

FUND= 0001 GENERAL FUND  
 FUND-DTL=

BDOGT-UNIT= 0246  
 PERCENT OF YEAR ELAPSED 0%

EXP ECC	ADJUSTED	EXPENDITURES	OUTSTANDING	ALLOTMENT	(MEMO)
SOBJ FABC	ALLOTMENT	CURRENT	ENCUMBRANCES	PERCENT USED	PRE-ENCUMBRANCE
		YEAR-TO-DATE			
* 1187 TOTAL TEMPORARY EMPLOYEES	62,031	2,120	0	55,036-	0
1191 OVERTIME	0	135	0	188.7%	0
1192 UNEMPLOYMENT INSURANCE	4,187	69	0	3,067-	0
1193 PREMIUM PAY	5,760	309	0	.0%	0
1194 BUDGET SALARY REDUCTION	41,072-	0	0	82.4%	0
1196 HEALTH INSURANCE	439,794	10,050	0	10,588-	0
1196 E19 PROB COMH WORKER	0	0	0	283.8%	0
1196 H56 HEAD COOK	0	0	0	41,072-	0
1196 R20 DIETITIAN II	0	0	0	.0%	0
1196 X48 SUPERVISING PROBATION OF	0	0	0	102.2%	0
1196 X50 DEPUTY PROBATION OFFICER	0	0	0	10-	0
1196 X52 DEPUTY PROBATION OFFICER	0	0	0	.0%	0
1196 X53 DEPUTY PROBATION OFFICER	0	0	0	235-	0
* 1196 TOTAL HEALTH INSURANCE	439,794	10,050	0	.0%	0
1197 FICA - EMPLOYER SHARE	18,698	1,130	0	1,516-	0
1197 H56 HEAD COOK	0	0	0	.0%	0
1197 R20 DIETITIAN II	0	0	0	35-	0
* 1197 TOTAL FICA - EMPLOYER SHARE	18,698	1,130	0	.0%	0
1198 PERS - RETIREMENT	364,925	6,709	0	135-	0
1198 H56 HEAD COOK	0	0	0	.0%	0
1198 R20 DIETITIAN II	0	0	0	12,526-	0

REPORT PERIOD= FISCAL YEAR 01  
 DIVISION= 17  
 BUREAU= 02  
 SECTION=  
 FUND= 0001 GENERAL FUND  
 FUND-DTL=

BGDT-UNIT= 0246  
 PERCENT OF YEAR ELAPSED 0%  
 PAGE 712

EXP ECC	TITLE	ADJUSTED ALLOTMENT	CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
* 1198	TOTAL PERS - RETIREMENT	364,925	6,709	331,760	0	33,165	0
1199	WORKERS' COMPENSATION	90,853	1,724	86,121	0	90.9%	0
1397	COUNTY CONTRI MEDICARE T	36,528	0	0	0	94.8%	0
1491	12 PLAN OT	0	0	127	0	.0%	0
* TOTAL 1	SALARIES AND BENEFITS	6,196,127	121,104	5,985,797	0	210,330	0
2100	TRAINING - SERV & SUPPLI	0	0	34	0	34-	0
2111	CLOTHING & PERSONAL SUPP	0	80	381	0	.0%	0
2125	COMS TELEPHONE SERVICES	3,067	190	5,737	0	381-	0
2126	COMM AND TELEPHONE SERVI	0	286	4,668	0	.0%	0
2145	FOOD	0	0	10	0	2,670-	0
2161	HOUSEHOLD EXPENSE	0	0	0	0	187.1%	0
2206	INTERPRETER FEES	5,000	238	4,848	0	4,668-	0
2211	MAINTENANCE - EQUIPMENT	0	0	55	0	.0%	0
2251	MED, DENTAL & LAB SUPPLI	0	0	0	0	.0%	0
2285	MISCELLANEOUS EXPENSE	1,000	100	1,546	0	152	0
2301	OFFICE EXPENSE	0	1,150	6,985	0	97.0%	0
2302	OPERATING EXPENSE - OTHE	0	0	12	0	55-	0
2321	INTRA COUNTY PROFESSIONA	660	0	0	0	.0%	0
2322	PROF AND SPECIAL SERVICE	2,000	1,327	19,210	0	154.6%	0
2401	PUBLICATIONS AND LEGAL N	0	0	184	0	6,985-	0

PROBATION DEPARTMENT (246) REPORT PERIOD= FISCAL YEAR 01  
 ALLOTMENT STATUS BY ORGANIZATION AND OBJECT ADULT INVESTIGATION

FUND= 0001 GENERAL FUND DIVISION= 17 ADULT DIVISION  
 FUND-DTL= BUREAU= 02 ADULT INVESTIGATION  
 BUDGET-UNIT= 0246 SECTION=

PERCENT OF YEAR ELAPSED 0%  
 AVAILABLE

EXP ECC	TITLE	ADJUSTED ALLOTMENT	CURRENT	YEAR-TO-DATE	OUTSTANDING ENCUMBRANCES	ALLOTMENT PERCENT USED	(MEMO) PRE-ENCUMBRANCE
2451	RENTS AND LEASES - EQUIP	6,469	549	3,185	0	3,284	0
2501	SMALL TOOLS AND INSTRUME	38,446	0	16,420	0	22,026	0
2624	SPECIAL DEPARTMENT EXPEN	0	0	362	0	362-	0
2751	TRANSPORTATION AND TRAVE	4,000	1,070	7,444	0	3,444-	0
2752	AUTOHOBILE MILEAGE	9,000	110	9,624	0	624-	0
2756	GARAGE AUTOHOBILE SERVIC	20,165	953	19,387	0	778	0
2952	SERVICES & SUPPLIES - OT	20,500	0	493	0	20,007	0
2993	TRANSPORTATION	0	0	5,157	0	5,157-	0
* TOTAL 2	SERVICES AND SUPPLIES	110,307	6,053	105,742	0	4,565	0
7300	REIMB - PROFESSIONAL & S	50,117-	0	26,574-	0	23,543-	0
* TOTAL 7	EXPENDITURE REIMBURSEMENT	50,117-	0	26,574-	0	23,543-	0
* TOTAL 02	ADULT INVESTIGATION	6,256,317	127,157	6,064,965	0	191,352	0

96.1% 95.9% 53.0% 96.9%









# EXHIBIT H

Santa Clara County

Domestic Violence Treatment Services Program

## County of Santa Clara

Finance Agency  
 Controller-Treasurer Department  
 County Government Center  
 70 West Hickling Street, East Wing, 2nd Floor  
 San Jose, California 95110-1705  
 (408) 299-7200 FAX (408) 299-8629



Mr. Walter Barnes  
 Chief Deputy State Controller, Finance  
 California State Controller  
 P.O. Box 942850  
 Sacramento, CA 94250-5874

Date: December 12, 2005

RE: Domestic Violence Treatment Services Program Audit Report

### Summary

Thank you for the audit report on the SB90 State Mandated Costs claim of the Domestic Violence Treatment Program. The report was very helpful enabling us to review our claiming process and costs recovery procedures. Below are our responses to each finding. It includes both those areas in which we agree with your office as well as those with which we still disagree. In respect of the areas of disagreement to findings contained in the report, we request your reconsideration of the disputed audit findings in light of these replies.

### FINDING 1- unsupported salaries, benefits, and related indirect costs

*The county over claimed salaries and benefits costs totaling \$ 355,390 for the period of July 1, 1998, through June 30, 2001. The claimed costs consist of three components; administration and regulation of batterer's treatment programs, victim notification, and assessment of future probability of defendant committing murder. The related indirect cost is \$349,690.*

*The county overstated its productive hourly rates for its probation officers. For FY 1998-99 and FY 1999-2000, the productive hours used to calculate the rate excluded hours that should have been considered productive (e.g., training, authorized breaks, staff meetings, and sick leave earned in excess of sick leave taken). For FY 2000-01 the county used countywide productive hours that significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate.*

Board of Supervisors: DONALD E. LEE, Chairman, ANNE G. PATE, McElroy, James T. Bevil, Jr., LIZ RILES,  
 County Executive, Peter Kalfous

Steve Westly • California State Controller

(9.)

Santa Clara CountyDomestic Violence Treatment Services Program**Response to Calculation of Productive Hourly Rates:**

The State Controller's draft audit report pertaining to the County's SB 90 Domestic Violence Treatment Services Program claims for FY 1998-99, FY 1999-2000 and FY 2000-01 asserts that the County overstated the productive hourly rates used in these claims. For FY 1998-99 and FY 1999-2000, the Probation Department calculated its own departmental productive hourly rates for the claims. The State contends that training; authorized breaks, staff meetings and sick leave earned in excess of sick leave used should have been excluded from the Department's calculations. We disagree with the views of the State audit. According to our study and examination of the State Controller claiming instructions, the time spent on training, authorized breaks, and staff meetings, all of which are paid but non-productive time, should be removed for the calculation of productive hours as explained to the State Controller audit staff in several meetings. However, we agree that the Department should not have used sick leave earned in its computation and provided the State auditors with the actual sick leave used numbers when they brought this error to our attention.

For the FY 2000-01 SB 90 claim, the Probation Department utilized the County-wide average annual productive hours per position as authorized in Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts. The State Controller's draft audit report states that this calculation of productive hours significantly understated the Probation Department's productive hours, resulting in an overstatement of the productive hourly rate. We disagree with this conclusion. We believe that the use of a countywide productive hourly rate is explicitly authorized by the State Controller's SB 90 claiming instructions and that the productive hours used by the Probation Department in this claim are fully documented and were accurately calculated by the County Controller's Office.

Further, as shown in the attached letter of December 27, 2001 from the County of Santa Clara Controller to the State Controller's Office, the State was noticed two years ago that the County was electing to change its SB 90 claiming procedures as related to the calculation of productive hourly rates. The County reported that the switch to a countywide methodology for the calculation of average productive hours per position would improve SB 90 claiming accuracy, consistency, documentation and facilitate the State audit function. Consequently, more than 50 claims have been submitted and accepted during the past two years using the countywide methodology. During the audit of the Domestic Violence Treatment Services Program claim, State auditors objected to the deduction of break-time from the calculation of average productive hours per position, but were unable to provide any written state procedures, regulations or other legal authority to support their position, which also contradicts Section 7 of the State Controller's SB 90 Claiming Instructions for Cities, Counties and Special Districts.

***Finding 1 sub-Para 1***

*For administration and regulation of batterer's treatment programs the county claimed salaries and benefits totaling \$137,063 (\$39,402 for FY 1998-99, \$77,079 for FY 1999-2000, and \$20,582 for FY 2000-01) that were unsupported for the following reasons:*

Finding 1 sub-Para 1.1

For FY 1998-99 and FY 1999-2000, the county estimated five hours per month for each of the 11 officers for providing resources over the telephone to victims. No documentation was provided to substantiate the activities performed and time spent on such activities

## Response

Our discussion with SCO audit staff at the exit conference reflected the need for the County to conduct a time study in June 2003 to validate the activities performed and hours claimed on victim telephone contacts. The activities and processes for this function have not significantly changed for the past six years. The result of the June victim contact study shows that, of the Deputy Probation Officers that participated, the average time spent on victim contact was 15 minutes per case.

## Scope and Methodology used for the time study

The department used a matrix showing dates, case numbers, and method of contact - phone or office visit and time spent on each case to arrive at this result. The length of time spent was then summed and divided by the number of cases for the month per officer. The total time spent on victim contact in June was then again summed up and divided by the number of officers that participated.

Below are the recalculated claimable hours.

TEL TIME LOG	FY98-99	FY99-00	FY00-01	TOTAL
TOTAL CASES	2446	2283	2006	6735
15 MINUTES PER DPO PER CASE	611.5	570.8	501.5	1,683.8
HOURS CLAIMED	600	600	660	1860
DIFFERENCE	(11.5)	29.25	158.5	176.25

Finding 1 sub-Para 1.2

For FY 1998-99 and FY 1999-2000, the county claimed hours for its investigative unit to perform activities for the administration and regulation component. SCO auditor interviews of the investigative officers revealed this is not a function that this unit performs.

The Department concurs that the administration and regulation component is not a function that the investigation officer performs. The claim however, reflected the investigation officer's understanding of the function that was performed at that time. The officer considered "assisting" the Probation Officer in the Program Certification unit performing on-site evaluations and in bilingual translation as an Administrative function. As stipulated in the claiming instructions, "On-site evaluations" as part of the processing

Santa Clara County

Domestic Violence Treatment Services Program

of initial and annual renewal approvals of vendors are reimbursable activities. Therefore, with that assumption, the officer recorded the time on the administration and regulation component to reflect that day's activity.

Finding 1 sub-Para 1.3

*For FY 1999-2000 and 2000-01, the county claimed hours for staff training. The county provided the agenda for the training. However, the agenda provided did not contain documentation to support that the training related to the mandate.*

Response:

The Department provided the State Controller audit staff with copies of Standard Training Code attendance roster on April 7, 2003. Copies of the description of training outline and Domestic Violence related topics that were dated within the audit period were also faxed to the audit staff on June 17, 2003. State Controller audit staff did not respond as to whether documents forwarded were acceptable or meets audit criteria. The documents presented clearly shows the attendees, the topic of the training and the trainer's name. We consider that these documents adequately support our claim.

Finding 1 sub-Para 1.4

*For FY 1999-2000 and 2000-01, the county claimed hours for meeting and conferring with criminal justice agencies. County personnel stated that a different unit within the Probation Department claimed the additional hours and provided a memorandum that was written by the department's supervisor, which included the number of hours and stated that department staff was at meetings. However, this documentation did not identify who attended such meetings. The county did not provide any documentation to substantiate those employees actually attended the meetings in question.*

Response:

It was very common practice for the Certification Unit Deputy Probation Officer and the Domestic Violence unit Supervising Probation Officer and/or Deputy Probation Officer to attend the same meetings with other criminal justice agencies. Their functions are different enough that each Probation officer gets different benefits and knowledge from having the two officers attend the meetings. The department submitted meeting records attended by the Deputy Probation Officers that was dated within the audit period on June 17, 2003 to State Controller audit staff. We did not receive a response pertaining to our forwarded documentation.

Para 2

*For victim notification, the county claimed salaries and benefits totaling \$143,277 (\$52,285 for FY 1998-99, \$36,227 for FY 1999-2000, and \$54,765 for FY 2000-01) that were unsupported or ineligible for the following reasons:*

Santa Clara CountyDomestic Violence Treatment Services ProgramPara 2 sub-Para 2.1

*For FY 1998-99 and 1999-2000, the documentation provided by the county did not support the total number of letters sent to notify victims regarding the requirement for the defendant's participation in a batterer's program, to notify victims regarding available victim resources, and to inform victims that attendance in any program does not guarantee that an abuser will not be violent.*

Response

The County concurs with the finding

Para 2 sub-Para 2.2

*For the entire audit period, the county was unable to support all of the hours it claimed for the officers to make field contact with the victims. The county submitted field contact logs to support these hours; however, the total hours claimed did not reconcile to the hours on the field contact logs.*

Response:

This item was presented by fiscal year in a report given to State Controller audit staff at the earlier exit conference. The County agreed to the Controller's findings in that report which allowed for reimbursement of 131 hours out of 422 hours in FY 98/99, 343 out of 408 in FY 99/00, and 435 out of 487 in FY 00/01. In summary, the State Controller agreed that 882 hours out of 1,317 were allowable. We are surprised that this has not been recorded in the draft report and the audit seems to disallow all costs.

The only period that was really in question was July 98 - January 99 which was the first year of the claim. The documents are no longer available because the Probation's Domestic Violence staff had already purged them. However, SCO audit staff was able to audit the remaining 209 cases from the time period February 99 - June 99 and found 111 eligible cases, which is 53%. The following years findings were 343 out of 408 in FY 99/00 an 84% ratio, and 435 out of 487 in FY 00/01, which is 89% allowable. Although the State Controller audit staff have already verbally agreed to allow 20 cases on the periods in question (7/98 - 01/99), we recommend that State Controller instead consider using the 53% ratio on the 213 cases that were purged and allow 112 cases to be claimed.

Para 2 sub-Para 2.3

*For the entire audit period, the county claimed time spent on preparation of letters sent to victims for notification of (1) violation of probation and (2) scheduled hearings and or status changes in cases. These activities are not reimbursable under the mandate. (The county duplicated the number of letters sent to victims advising them of scheduled hearings.)*

Santa Clara CountyDomestic Violence Treatment Services Program

Response:

We concur that this is not a reimbursable activity.

Para 2 sub-Para 2.4

For FY 2000-01, the county claimed estimated hours spent talking with victims on the telephone. No documentation was provided to substantiate the activities performed or the time spent on such activities.

Response:

During discussions with the State Controller audit staff, the County agreed to do a time study that could be retroactively applied to the time spent talking with victims to document and substantiate these costs. Again the process has not changed significantly for the past several years. The department used the same time log in June 2003 to validate the activities performed and hours claimed on victim telephone contacts for FY2000-01.

Methodology used

The department used a matrix showing dates, case numbers, and method of contact - phone or office visit and time spent on each case to arrive at this result. The length of time spent was then summed and divided by the number of cases for the month per officer. The total time spent on victim contact in June was then again summed up and divided by the number of officers that participated.

The average of 15 minutes per case was used to obtain the claimable hours below.

TEL TIME LOG	FY98-99	FY99-00	FY00-01	TOTAL
TOTAL CASES	2446	2283	2008	6735
15 MINUTES PER DPO PER CASE	611.5	570.8	501.2	1,683.5
HOURS CLAIMED	600	600	600	1800
DIFFERENCE	(11.5)	29.25	158.5	176.25

Para No. 3

For assessment of future probability of defendant committing murder, the county claimed salaries and benefits totaling \$75,050 (\$12,575 for FY 1998-99, \$59,434 for FY 1999-2000, and \$3,043 for FY 2000-01) that were unsupported for the following reasons:

The county used a FY 1998-99 time study to support time spent performing the mandate activity during FY 1999-2000. The county did not perform a time study during FY 1999-2000; however, it did perform a time study for FY 2000-01. The time study results showed that the amount of time spent on this activity had consistently declined from one time study to the next. The county stated that such reduction was due to the learning

Santa Clara County

Domestic Violence Treatment Services Program

curve and efficiency of probation officers performing the mandate-related activities. The SCO analysis revealed that the average of the FY 1998-99 and FY 2000-01 time study results should more closely approximate actual costs for FY 1999-2000 rather than FY 1998-99 time study results claimed by the county.

For the unallowable costs due to lack of documentation, the county stated that it may perform a current time study and apply its results to the audit period. If a time study is performed, the county still must support that the activities were performed and that the time study results reflect actual time spent during the audit period.

Response:

We believe that State Controller audit staff are being reasonable in the application of how to use the time studies that were performed. The Probation department has subsequently instituted a quarterly time log to comply with this finding. Based on the current time study data, our claimed costs should be reviewed and allowed.

#### FINDING 2- Overstated Indirect costs

The county claimed indirect costs using overstated indirect cost rates. The county revised its countywide cost allocation plan but did not apply the revised amounts used when computing the indirect cost rate, resulting in an overstated indirect costs rate. The auditor recomputed the indirect costs by multiplying the allowable salaries and benefits costs to the revised indirect costs rates.

Response: This was an oversight by the department and we concur with the finding.

#### FINDING 3 - Unreported reimbursements

For FY 1998-99, the county did not reduce claimed costs by \$2,250 received for processing of initial and annual renewal approvals for vendors, which includes application review and on-site evaluations.

Response: This was an error and we concur.

8

II

CLAIMING INSTRUCTIONS FOR REIMBURSEMENT OF COURT  
COSTS AND OTHER CHARGES  
UNDER PENAL CODE SECTIONS 4750 AND 6005



STATE CONTROLLER  
SACRAMENTO, CALIFORNIA  
DECEMBER 2005

# EXHIBIT I

Santa Clara County

Domestic Violence Treatment Services Program

## County of Santa Clara

Finance Agency  
 Offices-Treasurer Department  
 County Government Center, East Wing  
 70 West Hedding Street  
 San Jose, California 95110-1705  
 (408) 299-2541 FAX (408) 299-2549



December 27, 2001

The State Controller's Office  
 Attn: Local Reimbursements Section  
 Division of Accounting and Reporting  
 P. O. Box 942850  
 Sacramento, CA 94250

Subject: Countywide Productive Hourly Rate for SB90 Claims

The Santa Clara County has decided to use the countywide effectively hourly rate in calculating the direct labor costs for its future SB90 claims. The methodology used by the County in determining the countywide effective hourly rate is consistent with the guidelines issued by the State Controller's Office in the 'SB90-Mandated Cost Manual for the Counties'. Developing a countywide effective hourly rate will standardize the County's approach, minimize duplication of effort presently expended making these calculations, and improve the accuracy and documentation related to the calculation of the productive hour rates.

The State Manual suggests the following three methods for determining the productive hours and gives the counties an option to use any of these methods:

- Actual annual productive hours for each job title;
- Countywide average annual productive hours; or
- The standard annual 1800 hours. The State Controller included the following items in determining the standard 1800 hours:
  - Paid holidays
  - Vacation earned
  - Sick leave taken
  - Informal time off
  - Jury Duty
  - Military leave taken

Prior to developing the productive hourly rate calculations, our Management Auditor (Roger Mialouq) contacted the State Controller's Bureau Chief for Compliance Audits (Joni Spano) to see if there were any objections to the countywide productive hourly rate usage. Mr. Spano concurred that the countywide hourly rate will result in a more efficient, less costly and more accurate approach.

Board of Supervisors: 1995-2001: F. Gage, Blaine, Swanson, Pete McHugh, James T. Doherty, Liz Kruss  
 County Engineer: Richard Vimmerstedt



# ANALYSIS OF FY 2000-01 ACTUAL HOURS FOR ALL COUNTY EMPLOYEES

Hours Code	Description	Balance at 6/25/00		Balance at 7/8/01		FY 1999-00 Total		Avg Hrs Per FTE*
		(1)	(2)	(3)	(4)	(3+4)		
51	Vacation Accrued and Earned	3,096,825	2,277,954	1,181,129	1,216,792	2,267,552	159.10	
52	Personal Leave Earned	5,964	283,279	276,315	8,199	284,514	19.96	
100	Regular Hours	12,245,376	24,433,925	12,188,550	13,609,298	25,797,848	1,809.34	
600	Release Time	3,038	6,166	3,128	2,494	5,623	0.39	
605	Administrative Leave	4,620	10,074	5,454	9,253	14,707	1.03	
606	Paid Leave Pending Investigation	8,409	15,976	7,467	2,549	10,015	0.70	
620	Firs. Day Sick	50,392	99,792	49,310	54,673	103,983	7.30	
625	Salary 48% Paid Disability Lv	32,532	76,077	43,445	53,603	97,048	6.81	
630	Military (e.g. 30 Day Pay	328	1,284	956	506	1,462	0.10	
635	FLSA Comp. Time Used*5	21,440	45,862	24,422	29,060	17,827	1.30	
640	Regular Comp. Time Used*5	42,447	15,794	43,307	52,363	31,890	2.32	
653	Annual Leave Used	14,592	31,108	16,545	19,225	35,770	2.51	
655	Sick Leave Use	452,502	38,243	435,741	507,728	943,469	66.19	
660	Other Paid Time	16,433	34,635	18,232	10,374	29,106	2.04	
665	Jury Duty	699	1,401	772	1,301	2,073	0.15	
675	Remavement Leave	864	1,604	741	2,211	2,952	0.21	
676	Remavement Leave-PTD/STO	24	70	46	113	159	0.01	
677	Remavement Leave-Chg Sick Lv	270	557	289	782	1,068	0.07	
Total Actual Hours Earned Hours		19,997,762	28,297,610	14,295,847	15,561,023	29,847,195	2,080	
Full-time Equivalent Positions						13,726		
Weekdays Worked			130		140	270		
Paid Hours Worked						2,160		

ANALYSIS	
Average Productive Hours per Employee	1,809.94
Less Holidays	-88.00
Less Daily Break Time	-113.12
Less Training Time *3	-37.17
<b>Net Average Productive Hours Per Employee</b>	<b>1,571.65</b>

- Notes:
- \*1 Excludes 1,480 CEIMA employees, since holiday hours are included for all employees below.
  - \*2 Two 15-minute breaks are provided daily per bargaining unit contracts.
  - \*3 Training time is calculated based on an analysis of each bargaining unit MCA and the required continuing education hours for licensure/certification in the applicable classifications.
  - \*4 Adjusted by a factor of .963 to account for the additional 10 days covered by the payroll documents.
  - \*5 Includes one-third of comptime hours used since one hour is worked for every 1.5 hours taken.

11/13/01

(3)

# EXHIBIT J



jspano@sco.ca.gov  
02/06/2004 03:09 PM

To: Ram.Venkatesan@fin.sccgov.org  
cc: cprasad@sco.ca.gov, svanee@sco.ca.gov, mhavey@sco.ca.gov,  
gibrummels@sco.ca.gov, mquerin@sco.ca.gov, aluna@sco.ca.gov,  
jvenneman@sco.ca.gov  
Subject: Countywide Productive Hours

Ram,

I reviewed the county's proposal dated December 19, 2001, to use countywide productive hours and have discussed your analysis with my staff and Division of Accounting and Reporting staff. The use of countywide productive hours would be acceptable to the State Controller's Office provided all employee classifications are included and productive hours are consistently used for all county programs (mandates and nonmandated).

The SCO's Mandated Cost Manual (claiming instructions), which includes guidelines for preparing mandated cost claims, does not identify the time spent on training and authorized breaks as deductions (excludable components) from total hours when computing productive hours. However, if a county chooses to deduct time for training and authorized breaks in calculating countywide productive hours, its accounting system must separately identify the actual time associated with these two components. The accounting system must also separately identify training time directly charged to program activities. Training time directly charged to program activities may not be deducted when calculating productive hours.

The countywide productive hours used by Santa Clara County were not consistently applied to all mandates for FY 2000-01. Furthermore, countywide productive hours used during the audit periods include unallowable deductions for time spent on training and authorized breaks. The county deducted training time based on hours required by employees' bargaining agreement and continuing education requirements for licensure/certification rather than actual training hours taken. In addition, the county deducted authorized break time rather than actual break time taken. The county did not adjust for training time and break time directly charged to program activities during the audit period, and therefore, cannot exclude those hours from productive hours.

If you would like to discuss the above further, please contact me.

> Jim L. Spano, CPA  
> Chief, Compliance Audits Bureau  
> Division of Audits  
> State Controller's Office  
> Work - (916) 323-5849  
> Fax - (916) 327-0832  
>  
>

# EXHIBIT K

TEL VICTIM CONTACT SUMMARY FY99-01

TEL TIME LOG	FY98-99	FY99-00	FY00-01	TOTAL
TOTAL CASES	2446	2283	2006	6735
15 MINUTES PER DPO PER CASE	611.5	570.75	501.5	1683.75
HOURS CLAIMED	600	600	660	1860
DIFFERENCE	0	29.25	158.5	187.75

A2 a.2

Providing (5 hours per 11 DPOs)  
resources to victim through  
phone.

A2(a)2

FY99 600 hrs  
FY00 600 hrs

For FY 1998-99 and FY 1999-2000, the county estimated five hours per month for each of the 11 officers for providing resources over the telephone to victims. No documentation was provided to substantiate the activities performed and time spent on such activities.

3(c)3

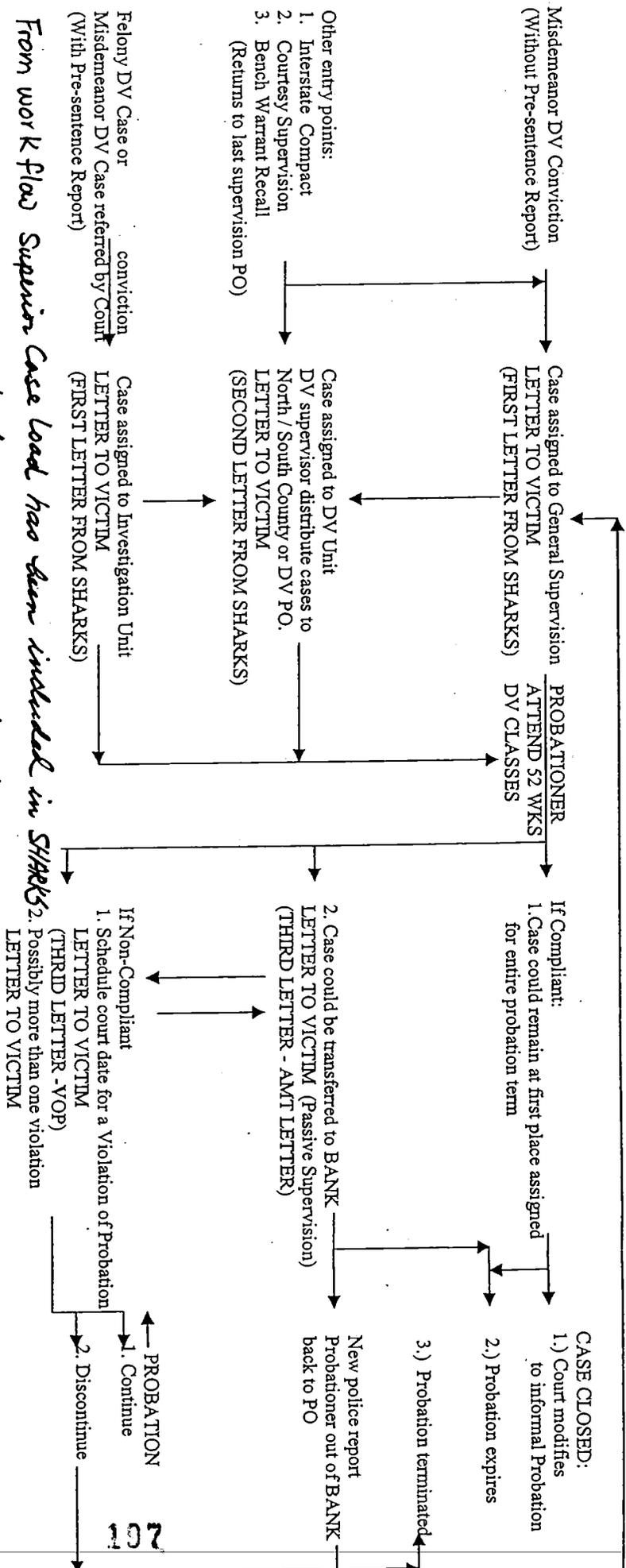
FY01 660 hrs

For FY 2000-01, the county claimed estimated hours spent talking with victims on the telephone. No documentation was provided to substantiate the activities performed or the time spent on such activities.

## Domestic Violence Victim Time Log

DATE	CASE#	PHONE OFFICE	TIME	DPO	TIME PER CASE PER PO
			0.285714	ALFREDO ALFARO Average	17.14285714
	7		7	ALFREDO ALFARO Count	
			2	ALFREDO ALFARO Total	
			0.283333	JOSE ALVAREZ Average	17
	15		15	JOSE ALVAREZ Count	
			4.25	JOSE ALVAREZ Total	
			0.281884	C BULTER Average	16.91304348
	23		23	C BULTER Count	
			6.483333	C BULTER Total	
			0.228205	CHRIS FRANCO Average	13.69230769
	13		13	CHRIS FRANCO Count	
			2.966667	CHRIS FRANCO Total	
			0.354167	GILBERT CHAIDEZ Average	21.25
	8		8	GILBERT CHAIDEZ Count	
			2.833333	GILBERT CHAIDEZ Total	
			0.291667	JILL GIBSON Average	17.5
	12		12	JILL GIBSON Count	
			3.5	JILL GIBSON Total	
			0.222727	D. KILMOR Average	13.36363636
	11		11	D. KILMOR Count	
			2.45	D. KILMOR Total	
			0.210417	TRUJILLO Average	12.625
	16		16	TRUJILLO Count	
			0.265238	Grand Average	15.91428571
			3.366667	TRUJILLO Total	
			27.85	Grand Total	
	105		105	Grand Count	

### GENERAL FLOW OF DOMESTIC VIOLENCE CASES POINTS OF VICTIM CONTACT



- Other entry points:
1. Interstate Compact
  2. Courtesy Supervision
  3. Bench Warrant Recall (Returns to last supervision PO)

Felony DV Case or Misdemeanor DV Case referred by Court (With Pre-sentence Report)

conviction Case assigned to Investigation Unit  
LETTER TO VICTIM (FIRST LETTER FROM SHARKS)

Case assigned to DV Unit  
DV supervisor distribute cases to North / South County or DV PO.  
LETTER TO VICTIM (SECOND LETTER FROM SHARKS)

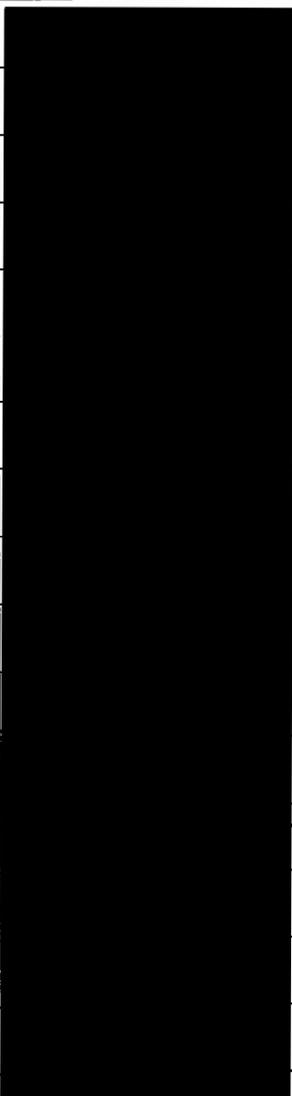
*From work flow Supervisor Case load has been included in SHARKS  
Use SHARKS CASE counts for estimating providing resources*

11/6/03

**Domestic Violence Unit  
Victim Contact Log**

Name: TRULLO

Month of June 2003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME	
6/2		DLZ160		X	15 min	
		AZY995	X		15 min	
		CJS675	X		20 min	
6/3		DPH424	X		2 min	
6/5		CJS675	X		15 min	
6/9		CSE496		X	5 min	
6/10		DSE931		X	45 min	
6/11		DRD451		X	10 min	
6/12		BVF148	X		10 min	
6/12		DKU260	X		5 min	
6/23		AZY995	X		5 min	
6/24		DQW224		X	20 min	
6/24		BRL941	X		10 min	
6/25		CFH492	X		10 min	
6/25		DSS264		X	5 min	
6/25		DSE473		X	10 min	



**Domestic Violence Unit  
Victim Contact Log**

Name: Jill Gibson

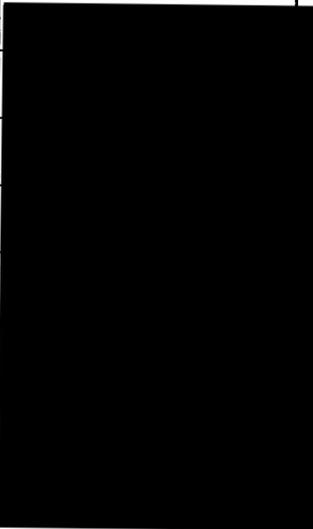
Month of 6 2003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME	
6/2/03	[REDACTED]	CTF132	✓		10 min.	
6/4/03		CWM857	✓		25 min.	
6/6/03		DLY212	✓		10 min.	
6/9/03		BYM333	✓		10 min.	
6/10		DSQ075	✓		15 min.	
6/10		DSQ075	✓		10 min.	
6/12		DSQ075	✓		10 min.	
6/19		DSQ075	✓		1 hr.	
6/23		BWQ715	✓		5 min.	
6/24		BYM333	✓		10 min.	
6/26		AMK1639			OFFICE	.5 hour
6/30		BWQ715	✓			15 min.

**Domestic Violence Unit  
Victim Contact Log**

Name: GILBERT V. CHAIDEZ

Month of JUNE 2003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME
6-5-03		DLT 601	✓		15 MIN.
6-10-03		BRN 232		✓	30 MIN
6-12-03		DAU 785	✓		20 MIN
6-17-03		CEN 832	✓		15 MIN.
6-18-03		DMA 594	✓		15 MIN
6-24-03		EZ BRN 232	✓		30 MIN
6-25-03		DSB 496	✓		30 MIN
6-26-03		DSV 480	✓		15 MIN

**Domestic Violence Unit  
Victim Contact Log**

Name: Chris Franco

Month of June 20003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME
6/6/03	[REDACTED]	CB6489	✓		30 minutes
6/11/03		COS758	✓		2 min
6/11/03		CB6489	✓		10 minutes
6/12/03		COS758	✓		40 minutes
6/14/03		COS758	✓		20 minutes
6/12/03		CNA815	✓		5 minutes
6/16/03		CLC397	✓		3 minutes
6/30		CLC397	✓		3 minutes
6/26		DSS592	✓	✓	30 minutes
6/26		DSS592	✓		2 min
6/26		DKV542			5 minute field visit
6/26		DS12207	✓		5 minutes
"		"	"		"
6/26		AS1380			OFFICE VISIT 20 minutes

**Domestic Violence Unit  
Victim Contact Log**

Name: C Butler

Month of      200  

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME
6/2	[REDACTED]	DOB166 / PAU [REDACTED]	✓		15 minutes
6/2	[REDACTED]	DKL370-15		✓	10 min
6/3	[REDACTED]	BZE659 / T	✓		12 min - PC
6/6	[REDACTED]	CXF492 / C	✓		2 min PC
6/6	[REDACTED]	BMA560 /		✓	15 min PC
6/9	[REDACTED]	CXF492 / H	✓		40 min PC
6/9	[REDACTED]	ck DOO666		✓	10 min - letter
6/9	[REDACTED]	Devk Hong DPAT17		✓	10 min - letter
6/10	[REDACTED]	CXF492	✓		.25
6/11	[REDACTED]	DKL370	✓		1 hr.
6/12	[REDACTED]	DKL370	✓		.15 min
6/13	[REDACTED]			✓	15 min
6/13	[REDACTED]			✓	15 min
6/16	[REDACTED]	CXF492	✓		15 min
6/23	[REDACTED]	DML361	✓		15 min
6/23	[REDACTED]	DKL370	✓		15 min
6/24	[REDACTED]	DML361	✓		15 min
6/24	[REDACTED]	DML361	✓		15 min
6/26	[REDACTED]	BSD757	✓		15 min.
6/26	[REDACTED]	DML361	✓		15 min.
<del>6/26</del>	[REDACTED]	DML361	✓		15 min
6/27	[REDACTED]	DKL370 203			15 min
6/30	[REDACTED]	CXF492	✓		20 min

**Domestic Violence Unit  
Victim Contact Log**

Name: Alfredo Alfaro

Month of June 2003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME
6/5/03	[REDACTED]	CC306977	✓		.25
6/5/03		CC112267		office visit	.25
6/10/03		CC306977	✓		.25
6/12/03		CC264238	✓		.25
6/17/03		CC272244	✓		.25
6/25/03		DRF644	✓		.50
6/25/03		BAG 251	✓		.25

**Domestic Violence Unit  
Victim Contact Log**

Name: Jose Alvarez

Month of June 2003

DATE	VICTIM'S NAME	CASE # OR PFN #	PH.	O	LENGTH OF TIME
6/3	[REDACTED]	a DPG783	X		15 min.
6/11	[REDACTED]	CFD996	X		45 min.
6/16	[REDACTED]	DLW177	X		15 min.
6/17	[REDACTED]	CKW516	X		15 min.
6/17	[REDACTED]	CTI525	X		15 min.
6/17	[REDACTED]	DRC046	X		15 min.
6/17	[REDACTED]	DRB244		X	15 min.
6/19	[REDACTED]	DIC168	X		15 min.
6/24	[REDACTED]	DOM643	X		15 min.
6/25	[REDACTED]	DRC046	X		15 min.
6/25	[REDACTED]	DCF937		X	15 min.
6/26	[REDACTED]	DNZ084	X		15 min.
6/30	[REDACTED]	o DBJ567	X		15 min.
6/30	[REDACTED]	DSY537	X		15 min.
6/16	[REDACTED]	DIC168	X		15 min.

# EXHIBIT L



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I declare under penalty of perjury that the foregoing is true and correct and that this declaration is executed this 13<sup>th</sup> day of August, 2007 at San Jose, California.

*Rita M. Lomand 8-13-07*

# EXHIBIT M

A2 (b)4, A2 (c)2

Shows meetings attended by  
DPO and SPO

(Dest. McInernery & David Perez)

102 hours

66 hours

meeting and conferring with  
criminal justice agencies

A2(b)4 FY00 102hrs

A2(c)2 FY01 66hrs

- For FY 1999-2000 and 2000-01, the county claimed hours for meeting and conferring with criminal justice agencies. County personnel stated that a different unit within the Probation Department claimed the additional hours and provided a memorandum that was written by the department's supervisor, which included the number of hours and stated that department staff were at meetings. However, this documentation did not identify who attended such meetings. The county did not provide any documentation to substantiate that employees actually attended the meetings in question.

## Management Information Report Summary

FY 00

Month	Claimed	DPO	Meeting Attended by DPO	Meeting Attended by SPO
Jul-99		DPO	DV Council meetings	Gave presentation to the Family court Custody Evaluator re: Probation protocols and procedures for DV cases and relevant victim services
Aug-99		FVC DPO FVC DPO FVC DPO	Batterers Intervention Committee Women's Task Force Victim/ Survivor Advocacy Committee	
Sep-99		3 DPOs  9/22/99  10/18/99	Bay Area DV Rountable in SF DV Council meetings Probation-Probider meeting - bimonthly monitoring Batterers program preparing for Certification meeting on 10/18/99 Certification meeing	Bay Area DV Rountable in SF DV Council meetings
Oct-99		none		
Nov-99		FVC DPO FVC DPO  FVC DPO 9 DPOs  3 DPOs	Attended B.I.C. Death Review Police/Victim Advocacy Committee meetings Strangulation Training Lethality risk assessment training by Fernando Medeiros	DV Council Meetings Officer Safety Training - 3 days
Dec-99		FVC DPO FVC DPO  FVC DPO	Attended B.I.C. AWARE Meeting Police/ Victim Advocacy Committee meeting	
Jan-00		FVC DPO FVC DPO  FVC DPO FVC DPO C. Mcinemy	Attended B.I.C. Death Review Police/ Victim Advocacy Committee meeting AWARE Meeting Certification of Program	DV Council Meetings Officer safety Training Court System  Officer Safety APD Site Subcommittee Supervision Restructuring Committee Probation-Provider meeting Advanced Officer Safety Meeting
Feb-00		FVC DPO FVC DPO FVC DPO FVC DPO FVC DPO Stefaine Burgett	attended B.I.C. Death Review Policy /victim Advocacy committee AWARE meeting DFCS program manager Certification of Program	DV Council Dv Council Executive Committee Batterers Intervention Court Systems Officer Safety suervision Restructuring committee DV/child Protection Project Certification meetings
Mar-00		FVC DPO FVC DPO FVC DPO FVC DPO FVC DPO FVC DPO Stefaine Burgett	attended B.I.C. Death Review Policy /victim Advocacy committee Women;s Task force AWARE meeting DFCS program manager Certification of Program	DV Council Dv Council Executive Committee Batterers Intervention Court Systems Officer Safety suervision Restructuring committee CPOC. VA WA conference Committee DFCS program manager

211

*C.C. Pam for Glain* 5-28-03  
5/17/02

## Management Information Report Summary

FY 00

Month	Claimed	DPO	Meeting Attended by DPO	Meeting Attended by SPO
Apr-00		FVC DPO	Attended B.I.C.	Death Review committee
		FVC DPO	Death Review	CPOC Preventing Violence Against Women Conference
		FVC DPO	AWARE meeting	
		FVC DPO	Provided orientation for new FVC DPO start 5/1/00	
May-00		FVC DPO	Child Abuse Symposium in San Jose	DV Council
		FVC DPO	Victim/survivor Advocacy Committee	Assessment Subcommittee Meeting
			SJ Mayor's DV Task Force at SJ City Hall	Officer Safety Committee
		FVC DPO	Women's Violence Task Force	Supervision Restructuring Committee
		FVC DPO	Batterers Intervention Committee	Court Systems Committee
		FVC DPO	Police/Victim Relations committee	Batterers Intervention Committee
				Death Review Committee Police/Victims Relations Committee APD Worksite Committee Field Day Special Field Operations on 5/24/00
Jun-00		FVC DPO	Santa Clara county DV Council meeting	Death Review Committee
			SJ Mayor's Task Force monthly meeting	DV Council meeting
		FVC DPO	Santa Clara county DV Council Retreat	Juvenile DV Taskforce meeting
			Batterers Intervention Committee Monthly meeting	Court Systems Committee meeting and give a presentation discussed Probation's role of certifying and monitoring batterers program
		FVC DPO	Police-Victim Relations Committee monthly meeting	Greenbook Project meeting at Wyndham Hotel in San Jose - 1 day
			AWARE committee bimonthly meeting	Mountain View Police Dept to discuss improving Mountain View's police response to DV cases
		FVC DPO	Juvenile DV Task Force	Supervision Restructuring Committee meeting
			Presented on the law Panel for SNBW's annual volunteer training	Assessment Unit Subcommittee meeting
				Worksite Committee meeting
				Meeting with Batterers program providers to give a short training to the DV unit Met with Muriel Townsend and Evelyn Mendez to identify new cases that have an active or past juvenile DV record

Santa Clara County Probation Department  
Adult Division  
**Management Information Report**

Month of: <sup>July</sup> 1998 Unit: Supervision 3 Supervisor: B. Davis

<sup>1999</sup>  
Domestic Violence Unit  
Supervision Caseloads  
(Includes Courtesy Supervision)

Felonies: 469  
Misdemeanors: 261

Arrest Petitions:

Superior: 19  
Municipal: 0

Hearing Petitions:

Superior: 24  
Municipal: 23

Number of Deputies 9 Specialized Caseloads (2 deputies each have 1/2 caseload)

Non-English Speaking Clients: 90

Spanish: 75  
Vietnamese: 9  
Korean: 2  
Other: 4

Performance Measures/ Miscellaneous:

New cases to unit from Court: 25 Transfers from other Units: 26

Number of Case Audits: 30

Sup 3 still has one deputy out on medical leave.

SPO gave presentation to the Family Court Custody Evaluators (50) re: Probation protocols and procedures for DV cases and relevant victim services.

SPO and DPO attended various subcommittee meetings of DV Council and presentation at Family Violence Center re: Collaborative Domestic Violence Project highlighting various demographics of 240 DV cases from the Family Violence Center involving children referred to CPS. Data was not kept on number of cases in which defendant was on probation but future data collection will include it. DPO at FVC screened: 84 child abuse, 59 threat management and 25 DV cases. All police reports with probation involved forwarded to supervision deputies.

*CC: Pam for Elaine  
6/17/03*

Summary of Cases for:

Barbara Davis , SPO

Month: July Year: 1999

	PO	Hidalgo	McIner	Munoz	DiStell	Chavez	Abbott	Halden	Jeffers	Stephe	Clemer	Brant	Total
<b>Beginning Caseload</b>													
Felony	59	49	40	24	58	56	20	54	45	51			456
Misdemeanor	23	39	34	8	22	27	14	33	24	32			256
Courtesy Supervision	2	1	2	0	0	1	0	1	0	0			7
<b>Total</b>	<b>84</b>	<b>89</b>	<b>76</b>	<b>32</b>	<b>80</b>	<b>84</b>	<b>34</b>	<b>88</b>	<b>69</b>	<b>83</b>			<b>719</b>
<b>BCS Total</b>	<b>82</b>	<b>88</b>	<b>74</b>	<b>32</b>	<b>80</b>	<b>83</b>	<b>34</b>	<b>87</b>	<b>69</b>	<b>83</b>			<b>712</b>

<b>Cases Received</b>													
<b>Felony</b>													
From Court	2	1	3	1	1	1			2	2			13
Reinstated			1		1					0			2
Other					2	1		2	2	1	2		10
<b>Total</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>2</b>		<b>25</b>

<b>Misdemeanor</b>													
From Court					2				3	0			5
Reinstated	1	1	1			1							4
Other	2		1		1	1		2		0	1		8
<b>Total</b>	<b>3</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>1</b>		<b>17</b>

42

<b>Cases Removed</b>													
<b>Felony</b>													
Probation Revoked	2		2	3	6	1	1	1	1	1			18
Probation Terminated						1							1
Other						1	1						2
<b>Total</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>1</b>			<b>21</b>

<b>Misdemeanor</b>													
Probation Revoked			1	1		3	1	1	1	1			9
Probation Terminated	1									0			1
Other							2			0			2
<b>Total</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>			<b>12</b>

<b>End of Month Caseload</b>													
Felony	59	50	42	22	56	55	18	55	48	53	2		460
Misdemeanor	25	40	35	7	25	26	11	34	26	31	1		261
Courtesy Supervision	2	1	3		2			1					9
<b>Total Cases</b>	<b>86</b>	<b>91</b>	<b>80</b>	<b>29</b>	<b>83</b>	<b>81</b>	<b>29</b>	<b>90</b>	<b>74</b>	<b>84</b>	<b>3</b>		<b>730</b>
<b>B.C.S. Total</b>	<b>84</b>	<b>90</b>	<b>77</b>	<b>29</b>	<b>81</b>	<b>81</b>	<b>29</b>	<b>89</b>	<b>74</b>	<b>84</b>	<b>3</b>		<b>721</b>

<b>Arraignment Petitions</b>													Total
Felony	3		2	2	8	1	1		2				19
Misdemeanor													0

<b>Hearing Petitions</b>													Total
Felony	2	3	7	2	3	2	4	1					24
Misdemeanor	1		2	3	5	4	2		2	4			23
<b>Total</b>	<b>6</b>	<b>3</b>	<b>11</b>	<b>7</b>	<b>13</b>	<b>8</b>	<b>5</b>	<b>4</b>	<b>5</b>	<b>4</b>			<b>66</b>

07/30/1999

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New		1	2	4	2	1		1	3	2		16
Maximum	2	7	9	1	2	4	4	6	5	3		43
Regular	46	51	45	16	26	39	20	41	22	30	1	337
Minimum	10	7	6	4	24	12	1	24	17	28	2	135
Bank		2	2		4	3		3		3		17
Admin. Bank	28	23	16	4	23	24	4	15	27	18		182
<b>TOTAL</b>	<b>86</b>	<b>91</b>	<b>80</b>	<b>29</b>	<b>81</b>	<b>83</b>	<b>29</b>	<b>90</b>	<b>74</b>	<b>84</b>		<b>727</b>
In Process		2	1			1	1	1				6
Max. Available	2	5	8	1	2	3	3	5	5	3 n/a		37
Max. Supv. to Standard	2	4	6	0	2	3	3	4	3	3		30
%Max Sup. to Standard	100	80	75	0	100	100	100	80	60	100		81

**Non-English Speaking**

Spanish	1			24	48			1	1			75
Vietnamese	2	1	1					3	2			9
Korean			1				1					2
Hearing Impaired												0
Iranian												0
Portugese												0
Cambodian												0
Other	2					1			1			4
<b>Total</b>	<b>5</b>	<b>1</b>	<b>2</b>	<b>24</b>	<b>48</b>	<b>1</b>	<b>1</b>	<b>4</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>90</b>

CASE AUDITS

**30**

Santa Clara County Probation Department  
Adult Division  
**Management Information Report**

Month of: August 1999      Unit: Supervision 3      Supervisor: B. Davis

Domestic Violence Unit

Supervision Caseloads:  
(Includes Courtesy Supervision)

Felonies: 473  
Misdemeanors: 256

Arrest Petitions:

Felony: 16

Hearing Petitions:

Felony: 20  
Misdemeanor: 37

Number of Deputies: 11

1 Deputy assigned to Family Violence Center  
Domestic Violence Caseloads: 9    average 81 per deputy  
2 half-caseloads for Certification

Non-English Speaking Clients: 91

Spanish: 76  
Vietnamese: 11  
Korean: 2  
Other: 2

Performance Measures/ Miscellaneous:

New cases to unit from Court: 42  
Transfers from other Units: 12  
Number of Case Audits: 15

At end of August SPO distributed ½ caseload of deputy assigned to Certification of Batterers Programs. Due to workload, this deputy will perform certification duties full time. One DPO continued on medical leave; upon return this deputy will also work on Certification .

DPO at Family Violence Center worked on 114 Child Abuse cases, 21 Threat Management and 24 DV cases; also attended Batterers Intervention Committee, Women’s Task Force, Victim/Survivor Advocacy Committee. Death Review did not meet in August.

Summary of Cases for: Sup 3

B.Davis, SPO

Month August

Year: 1999

PG	Hidalgo	McInerney	Munoz	DiStella	Chavez	Abbott	Halden-Fic	Jefferson	Stephens	Clements	Brant	Total
<b>Beginning Caseload</b>												
Felony	59	50	42	22	56	55	18	55	48	53	2	460
Misdemeanor	25	40	35	7	25	26	11	34	26	31	1	261
Courtesy Supervision	2	1	3		2			1				9
<b>Total</b>	<b>86</b>	<b>91</b>	<b>80</b>	<b>29</b>	<b>83</b>	<b>81</b>	<b>29</b>	<b>90</b>	<b>74</b>	<b>84</b>	<b>3</b>	<b>730</b>
<b>BCS Total</b>	<b>84</b>	<b>90</b>	<b>77</b>	<b>29</b>	<b>81</b>	<b>81</b>	<b>29</b>	<b>89</b>	<b>74</b>	<b>84</b>	<b>3</b>	<b>721</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	2	0	6	0	6	1	0	1	9	1	0	26
Reinstated	0	0	0	0	1	1	0	0	2	0	0	4
Other	6	5	4	0	0	5	0	2	0	1	5	28
<b>Total</b>	<b>8</b>	<b>5</b>	<b>10</b>	<b>0</b>	<b>7</b>	<b>7</b>	<b>0</b>	<b>3</b>	<b>11</b>	<b>2</b>	<b>5</b>	<b>58</b>

<b>Misdemeanor</b>												
From Court	0	0	0	0	0	0	0	0	0	0	0	0
Reinstated	0	0	1	1	0	2	0	0	0	1	0	5
Other	1	2	6	0	0	0	0	1	3	2	2	17
<b>Total</b>	<b>1</b>	<b>2</b>	<b>7</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>22</b>

<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	3	1	2	2	5	1	0	1	0	2	0	17
Probation Terminated	1	1	0	0	1	1	0	1	0	0	0	5
Other	1	0	1	1	4	1	15	0	0	0	0	23
<b>Total</b>	<b>5</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>10</b>	<b>3</b>	<b>15</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>45</b>

<b>Misdemeanor</b>												
Probation Revoked	0	2	1	0	0	0	0	0	3	1	0	7
Probation Terminated	1	0	0	1	1	1	1	0	0	0	0	5
Other	0	2	0	1	4	0	8	0	0	0	0	15
<b>Total</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>2</b>	<b>5</b>	<b>1</b>	<b>9</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>27</b>

<b>End of Month Caseload</b>												
Felony	62	53	49	19	53	59	3	56	59	53	7	473
Misdemeanor	25	38	41	6	20	27	2	35	26	33	3	256
<b>Total Cases</b>	<b>87</b>	<b>91</b>	<b>90</b>	<b>25</b>	<b>73</b>	<b>86</b>	<b>5</b>	<b>91</b>	<b>85</b>	<b>86</b>	<b>10</b>	<b>729</b>
Courtesy Supervision	2	1	2	0	0	1	0	1	0	1	0	8
	85	90	88	25	73	85	5	90	85	85	10	721

<b>Arraignment Petitions</b>												Total
Felony	3	2	3	0	4	2	0	0	1	1	0	16
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Petitions</b>												Total
Felony	1	0	1	4	7	1	1	0	2	3	0	20
Misdemeanor	1	12	6	1	3	2	1	2	3	5	1	37
<b>Total</b>	<b>5</b>	<b>14</b>	<b>10</b>	<b>5</b>	<b>14</b>	<b>5</b>	<b>2</b>	<b>2</b>	<b>6</b>	<b>9</b>	<b>1</b>	<b>73</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	0	4	3	7	0	0	1	1	1	0	17
Maximum	1	5	10	1	1	3	0	8	9	4	0	42
Regular	45	54	51	14	18	46	2	40	28	35	8	341
Minimum	13	9	6	4	21	13	1	23	18	30	2	140
Bank	0	3	2	0	3	4	0	3	1	2	0	18
Admin. Bank	27	20	17	3	23	21	2	16	28	14	0	171
<b>TOTAL</b>	<b>86</b>	<b>91</b>	<b>90</b>	<b>25</b>	<b>73</b>	<b>87</b>	<b>5</b>	<b>91</b>	<b>85</b>	<b>86</b>	<b>10</b>	<b>729</b>
In Process	0	1	1	1	0	0	0	2	1	1	0	7
Max. Available	1	4	9	0	1	3	0	6	8	3	0	35
Max. Supv. to Standard	0	3	7	0	1	3	0	5	7	3	0	29
Max Sup. to Standard	0	75	78 n/a		100	100 n/a		83	88	100 n/a		83

**on-English Speaking**

Spanish	1	0	1	21	52	0	0	1	0	0	0	76
Vietnamese	3	1	1	0	0	0	0	4	2	0	0	11
Korean	0	0	1	0	0	0	0	0	0	0	1	2
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	1	0	0	1	0	0	2
<b>Total</b>	<b>4</b>	<b>1</b>	<b>3</b>	<b>21</b>	<b>52</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>91</b>

CASE AUDITS 15

Santa Clara County Probation Department  
Adult Division  
Management Information Report

Month of: September, 1999 Unit: Supervision 3 Supervisor: B. Davis

Domestic Violence Unit

Supervision Caseloads:  
(Includes Courtesy Supervision)

Felonies: 490  
Misdemeanors: 241

Arraignment Petitions:

Superior: 15  
Municipal: 0

Hearing Petitions:

Superior: 14  
Municipal: 19

Number of Deputies:

Specialized Caseloads 8 DPO's Average Caseloads: 92

Family Violence Center 1 DPO , also carries small caseload  
Program Certification: 1.5 DPO's

Non-English Speaking Clients:

Spanish: 77  
Vietnamese: 11  
Korean: 2  
Other: 6

Performance Measures/ Miscellaneous:

New cases to unit from Court: 30  
Transfers from other Units: 13  
Number of Case Audits: 12

During September SPO and 3 DPO's attended the Bay Area DV Roundtable of Probation Departments in San Francisco, usual DV Council committee meetings also attended.

DPO's continued monitoring of Batterers Programs and preparation of reports for upcoming Certification Meeting on October 18. Also, the bi-monthly Probation-Provider meeting occurred on September 22, 1999 .

Summary of Cases for:  
Barbara Davis , SPO

Supv 3

Month: September

Year: 1999

	PO Hidalgo	McInerney	Munoz	DiStella	Chavez	Abbott	Halden-Fic	Jefferson	Stephens	Clements	Brant	Total
<b>Beginning Caseload</b>												
Felony	62	53	49	19	53	59	3	56	59	53	7	473
Misdemeanor	25	38	41	6	20	27	2	35	26	33	3	256
Courtesy Supervision	2	1	2	0	0	1	0	1	0	1	0	8
<b>Total</b>	<b>87</b>	<b>91</b>	<b>90</b>	<b>25</b>	<b>73</b>	<b>86</b>	<b>5</b>	<b>91</b>	<b>85</b>	<b>86</b>	<b>10</b>	<b>729</b>
<b>BCS Total</b>	<b>85</b>	<b>90</b>	<b>88</b>	<b>25</b>	<b>73</b>	<b>85</b>	<b>5</b>	<b>90</b>	<b>85</b>	<b>85</b>	<b>10</b>	<b>721</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	4	4	1	0	6	2	0	2	4	4	2	29
Reinstated	0	0	0	0	0	1	0	0	0	0	0	1
Other	1	0	0	0	8	2	0	0	3	0	1	15
<b>Total</b>	<b>5</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>14</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>7</b>	<b>4</b>	<b>3</b>	<b>45</b>

<b>Misdemeanor</b>												
From Court	0	0	0	0	0	0	0	2	0	0	0	2
Reinstated	0	0	1	0	1	0	0	0	1	1	0	4
Other	0	0	0	0	0	4	0	0	1	4	1	10
<b>Total</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>5</b>	<b>1</b>	<b>16</b>

<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	0	0	1	0	3	1	0	0	0	1	1	7
Probation Terminated	1	0	0	0	0	0	0	0	0	2	0	3
Other	0	1	0	13	0	0	0	2	0	2	0	18
<b>Total</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>13</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>5</b>	<b>1</b>	<b>28</b>

<b>Misdemeanor</b>												
Probation Revoked	1	2	1	0	0	0	0	0	0	1	1	6
Probation Terminated	0	1	1	0	0	1	0	0	2	1	0	6
Other	0	2	5	4	4	0	1	3	0	0	0	19
<b>Total</b>	<b>1</b>	<b>5</b>	<b>7</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>31</b>

<b>End of Month Caseload</b>												
Felony	66	56	49	6	64	63	3	56	66	52	9	490
Misdemeanor	24	33	35	2	17	30	1	34	26	36	3	241
<b>Total Cases</b>	<b>91</b>	<b>90</b>	<b>86</b>	<b>8</b>	<b>81</b>	<b>94</b>	<b>4</b>	<b>91</b>	<b>92</b>	<b>89</b>	<b>12</b>	<b>738</b>
Courtesy Supervision	1	1	2	0	0	1	0	1	0	1	0	7
<b>B.C.S. Total</b>	<b>90</b>	<b>89</b>	<b>84</b>	<b>8</b>	<b>81</b>	<b>93</b>	<b>4</b>	<b>90</b>	<b>92</b>	<b>88</b>	<b>12</b>	<b>731</b>

<b>Arraignment Petitions</b>												Total
Felony	1	2	0	0	0	1	3	3	1	4	0	15
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Petitions</b>												Total
Felony	2	1	2	0	3	3	1	0	0	1	1	14
Misdemeanor	1	0	4	2	3	0	0	0	3	5	1	19
<b>Total</b>	<b>4</b>	<b>3</b>	<b>6</b>	<b>2</b>	<b>6</b>	<b>4</b>	<b>4</b>	<b>3</b>	<b>4</b>	<b>10</b>	<b>2</b>	<b>48</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>												

**Clients by Classification**

New	2	2	4	2	9	0	0	3	6	0	2	30
Maximum	1	4	11	0	1	6	0	7	8	4	0	42
Regular	48	55	52	4	29	49	2	38	28	41	6	352
Minimum	15	8	5	1	19	15	0	22	21	26	3	135
Bank	0	3	2	0	2	4	0	3	2	3	1	20
Admin. Bank	25	18	12	1	21	20	2	18	27	15	0	159
<b>TOTAL</b>	<b>91</b>	<b>90</b>	<b>86</b>	<b>8</b>	<b>81</b>	<b>94</b>	<b>4</b>	<b>91</b>	<b>92</b>	<b>89</b>	<b>12</b>	<b>738</b>
In Process	0	1	3	0	0	2	0	1	1	0	0	8
Max. Available	1	3	8	0	1	4	0	6	7	4	0	34
Max. Supv. to Standard	1	3	8	0	1	3	0	6	6	4	0	32
%Max Sup. to Standard	100	100	100 n/a		100	75 n/a		100	86	100 n/a		94

**Non-English Speaking**

Spanish	2	0	0	7	67	0	0	1	0	0	0	77
Vietnamese	3	1	1	0	0	0	0	4	2	0	0	11
Korean	0	0	1	0	0	0	0	0	0	0	1	2
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	1	0	0	1	0	0	2	0	0	6
<b>Total</b>	<b>7</b>	<b>1</b>	<b>3</b>	<b>7</b>	<b>67</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>96</b>

**CASE AUDITS 12**

Summary of Cases for:

*S. O. ...*, SPO

Month: Oct.

Year: 1999

#13

PO	Hidalgo	McInerneyTrujillo	DiStella	Chavez	Abbott	Halden-FicMarin	Stephens	Clements	Brant	Total		
<b>Benchmarks</b>												
Felony	66	56	49	6	64	63	3	56	66	52	9	490
Misdemeanor	24	33	35	2	17	30	1	34	26	36	3	241
Courtesy Supervision	1	1	2			1	0	1	0	1		7
<b>Total</b>	<b>91</b>	<b>90</b>	<b>86</b>	<b>8</b>	<b>81</b>	<b>94</b>	<b>4</b>	<b>91</b>	<b>92</b>	<b>89</b>	<b>12</b>	<b>738</b>
<b>BCS Total</b>	<b>90</b>	<b>89</b>	<b>84</b>	<b>8</b>	<b>81</b>	<b>93</b>	<b>4</b>	<b>90</b>	<b>92</b>	<b>88</b>	<b>12</b>	<b>731</b>

<b>Cases Reported</b>												
<b>Felony</b>												
From Court	2	5			8	3			5	3	2	28
Reinstated				1								1
Other	2		2			2				1	1	8
<b>Total</b>	<b>4</b>	<b>5</b>	<b>2</b>	<b>1</b>	<b>8</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>37</b>

<b>Misdemeanor</b>												
From Court									2			2
Reinstated		1	1			1				1		4
Other	3	4	1	1	8	4			3	3		27
<b>Total</b>	<b>3</b>	<b>5</b>	<b>2</b>	<b>1</b>	<b>8</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>33</b>

70

<b>Cases Reported</b>												
<b>Felony</b>												
Probation Revoked		1	1	1	4			1	1			9
Probation Terminated	1				1	1				1		4
Other		2		1	3			2	1	1		10
<b>Total</b>	<b>1</b>	<b>3</b>	<b>1</b>	<b>2</b>	<b>8</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>23</b>

<b>Misdemeanor</b>												
Probation Revoked		2	2	1					1	1		7
Probation Terminated						1						1
Other	3	2	1	1	6	5			4	4		26
<b>Total</b>	<b>3</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>6</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>34</b>

<b>End of Month Caseload</b>												
Felony	69	58	50	5	64	67	3	53	69	54	12	504
Misdemeanor	24	34	34	1	19	29	1	34	26	35	3	240
<b>Total Cases</b>	<b>94</b>	<b>93</b>	<b>86</b>	<b>6</b>	<b>83</b>	<b>96</b>	<b>4</b>	<b>88</b>	<b>95</b>	<b>90</b>	<b>15</b>	<b>750</b>
Courtesy Supervision	1	1	2					1		1	0	6
<b>B.C.S. Total</b>	<b>93</b>	<b>92</b>	<b>84</b>	<b>6</b>	<b>83</b>	<b>96</b>	<b>4</b>	<b>87</b>	<b>95</b>	<b>89</b>	<b>15</b>	<b>744</b>

<b>Arrestment/Revisions</b>											Total
Felony	3	2	1	3	4	1			2		16
Misdemeanor											0

<b>Hearing/Revisions</b>											Total
Felony	3				1	1			1	4	10
Misdemeanor		1		1		1		2	1	2	10
<b>Total</b>	<b>6</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>5</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>6</b>	<b>36</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New		1	3	1	11	1		1		1		19
Maximum	1	4	10		27	6	2	7	5	4	6	72
Regular	54	59	50	4	26	45		32	36	36	3	345
Minimum	14	7	5			16		23	25	22		112
Bank		3	3		1	4		4	2	10		27
Admin. Bank	25	19	15	1	18	24	2	21	27	17	6	175
<b>TOTAL</b>	<b>94</b>	<b>93</b>	<b>86</b>	<b>6</b>	<b>83</b>	<b>96</b>	<b>4</b>	<b>88</b>	<b>95</b>	<b>90</b>	<b>15</b>	<b>750</b>

In Process			4			2		2	1			9
Max. Available	1	4	6			4		5	4	4		28
Max. Supv. to Standard	1	4	3			4		2	4	4		22
Max Sup. to Standard	100	100	50 n/a	n/a		100 n/a		40	100	100 n/a		79

<b>on-English Speaking</b>												
Spanish	2			6	70			1				79
Vietnamese		1	1					3	3			8
Korean			1								1	2
Hearing Impaired												0
Iranian												0
Portugese												0
Cambodian												0
Other	2		2			1			1			6
<b>Total</b>	<b>4</b>	<b>1</b>	<b>4</b>	<b>6</b>	<b>70</b>	<b>1</b>	<b>0</b>	<b>4</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>95</b>

CASE AUDITS 8

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

B.Davis, SPO

Month of: November 1999

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors 240**

**Felonies 518**

Arraignment Petitions:

Superior: 8

Hearing Petitions:

Superior: 24

Municipal: 15

Non English Speaking Clients: 113

Spanish: 85

Vietnamese: 20

Korean: 4

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 4

**Total: 113**

Number of Deputies with Specialized Caseloads: 8

Caseload Average: 94

1 DPO at Family Violence Center

1.5 PO's doing Batterer Program Certification

**Performance Measures:**

Case audits: 14

New cases from court: 34

Transfers from other units: 13

FVC DPO: Screened 131 police reports for probation information and forwarded appropriate

reports; attended B.I.C., Death Review and Police/Victim Advocacy Committee meetings.

During November 9 DPO's attended a special training by Gael Straeck, DA from San Diego Co on Strangulation ( sponsored by SJPD). Three DPO's attended lethality risk assessment training by Fernando Medeiros.

On 11-1-99, the third annual Post Pumpkin Pee Party and Pheast was conducted by the DV Unit and Intensive Supervision. Ninety-nine field visits were listed, 71 clients tested (13 at home, 58 reported to APD and were tested.)

SPO attended usual DV Council meetings and did three days of Officer Safety Training.

Summary of Cases for: **Supervision III**  
**Barbara Davis , SPO** **Month/November** **Year: 1999**

PO	Hidalgo	McInerneyTrujillo	DiStella	Chavez	Abbott	Halden-FidMarln	Stephens	Clements	Brant	Total		
<b>Beginning Caseload</b>												
Felony	69	58	50	5	64	67	3	53	69	54	12	504
Misdemeanor	24	34	34	1	19	29	1	34	26	35	3	240
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total</b>	<b>94</b>	<b>93</b>	<b>86</b>	<b>6</b>	<b>83</b>	<b>96</b>	<b>4</b>	<b>88</b>	<b>95</b>	<b>90</b>	<b>15</b>	<b>750</b>
<b>BCS Total</b>	<b>93</b>	<b>92</b>	<b>84</b>	<b>6</b>	<b>83</b>	<b>96</b>	<b>4</b>	<b>87</b>	<b>95</b>	<b>89</b>	<b>15</b>	<b>744</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	1	3	4	0	10	2	0	2	1	4	0	27
Reinstated	0	1	0	0	0	0	0	0	0	0	0	1
Other	1	0	0	0	0	0	0	0	0	0	0	1
<b>Total</b>	<b>2</b>	<b>4</b>	<b>4</b>	<b>0</b>	<b>10</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>29</b>

<b>Misdemeanor</b>												
From Court	0	0	0	0	0	0	0	0	0	0	0	0
Reinstated	1	0	2	0	0	1	1	1	0	1	0	7
Other	1	2	1	0	1	1	0	2	2	1	3	14
<b>Total</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>21</b>

<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	3	1	1	0	4	0	0	1	0	0	0	10
Probation Terminated	0	0	0	0	0	2	0	0	0	2	0	4
Other	0	1	1	0	2	1	1	0	0	1	0	7
<b>Total</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>6</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>21</b>

<b>Misdemeanor</b>												
Probation Revoked	2	0	2	0	2	2	0	1	0	0	0	9
Probation Terminated	1	2	1	0	0	0	0	0	0	1	0	5
Other	0	0	2	0	0	1	0	2	0	2	0	7
<b>Total</b>	<b>3</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>21</b>

<b>End of Month Caseload</b>												
Felony	68	60	52	5	68	66	2	54	70	55	12	512
Misdemeanor	23	34	32	1	18	28	2	34	28	34	6	240
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total Cases</b>	<b>92</b>	<b>95</b>	<b>86</b>	<b>6</b>	<b>86</b>	<b>94</b>	<b>4</b>	<b>89</b>	<b>98</b>	<b>90</b>	<b>18</b>	<b>758</b>
<b>B.C.S. Total</b>	<b>91</b>	<b>94</b>	<b>84</b>	<b>6</b>	<b>86</b>	<b>94</b>	<b>4</b>	<b>88</b>	<b>98</b>	<b>89</b>	<b>18</b>	<b>752</b>

<b>Arraignment Paignons</b>												
Felony	3	1	2	0	1	0	0	0	0	1	0	8
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Paignons</b>												
Felony	3	2	3	0	4	2	2	0	3	4	1	24
Misdemeanor	1	0	4	0	2	2	0	3	0	2	1	15
<b>Total</b>	<b>7</b>	<b>3</b>	<b>9</b>	<b>0</b>	<b>7</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>7</b>	<b>2</b>	<b>47</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	0	3	0	7	0	0	3	0	1	4	18
Maximum	1	2	12	0	0	3	0	8	6	2	1	35
Regular	47	53	49	5	29	50	0	36	41	42	7	359
Minimum	18	13	5	0	30	16	0	18	23	16	3	142
Bank	27	7	1	0	1	4	0	4	3	12	0	59
Admin. Bank	0	20	16	1	19	21	4	20	25	17	3	146
<b>TOTAL</b>	<b>93</b>	<b>95</b>	<b>86</b>	<b>6</b>	<b>86</b>	<b>94</b>	<b>4</b>	<b>89</b>	<b>98</b>	<b>90</b>	<b>18</b>	<b>759</b>

In Process	0	0	2	0	0	1	0	2	1	0	0	6
Max. Available	1	2	10	0	0	2	0	6	5	2	1	29
Max. Supv. to Standard	1	2	9	0	0	2	0	6	4	2	1	27
Max Sup. to Standard	100	100	90	#DIV/0!	#DIV/0!	100	#DIV/0!	100	80	100	100	93

**on-English Speaking**

Spanish	2	0	0	6	76	0	0	1	0	0	0	85
Vietnamese	8	1	1	0	0	0	0	2	8	0	0	20
Korean	2	0	1	0	0	0	0	0	0	0	1	4
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	2	0	0	1	0	0	1	0	0	4
<b>Total</b>	<b>12</b>	<b>1</b>	<b>4</b>	<b>6</b>	<b>76</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>9</b>	<b>0</b>	<b>1</b>	<b>113</b>

**CASE AUDITS 14**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III                      Domestic Violence Unit                      B.Davis, SPO

Month of: December 1999

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors**                      222  
**Felonies**                                      500

Arrestment Petitions:  
Felonies:                                      13

Hearing Petitions:  
Felonies:                                      16  
Misdemeanors:                              13

Non English Speaking Clients:                      104

Spanish:                                      87  
Vietnamese:                                      10  
Korean:    1  
Hearing Impaired:  
Iranian:  
Portugese:  
Cambodian:  
Other:    6  
**Total:**    104

Number of Deputies with Specialized Caseloads: 8  
Caseload Average: 88

1 DPO at Family Violence Center  
1.5 PO's doing Batterer Program Certification

**Performance Measures:**

Case audits: 27                      New cases from court: 20                      Transfers from other units: 12

FVC DPO: Screened 111 police reports for probation information and forwarded appropriate reports; attended B.I.C., AWARE and Police/Victim Advocacy Committee meetings.

Summary of Cases for: **Supv Unit III**  
**B. Davis** , SPO **Month December** **Year: 1999**

PO	Hidalgo	McInerneyTrujillo	DiStella	Chavez	Abbott	Halden-FicMarin	Stephens	Clements	Brant	Total		
<b>Beginning Caseload</b>												
Felony	68	60	52	5	68	66	2	54	70	55	12	512
Misdemeanor	23	34	32	1	18	28	2	34	28	34	6	240
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total</b>	<b>92</b>	<b>95</b>	<b>86</b>	<b>6</b>	<b>86</b>	<b>94</b>	<b>4</b>	<b>89</b>	<b>98</b>	<b>90</b>	<b>18</b>	<b>758</b>
<b>BCS Total</b>	<b>91</b>	<b>94</b>	<b>84</b>	<b>6</b>	<b>86</b>	<b>94</b>	<b>4</b>	<b>88</b>	<b>98</b>	<b>89</b>	<b>18</b>	<b>752</b>

<b>From Court</b>												
From Court	2	0	5	1	6	2	0	0	0	2	0	18
Reinstated	1	1	0	0	0	0	0	1	0	0	0	3
Other	0	0	0	0	0	0	0	1	0	0	0	1
<b>Total</b>	<b>3</b>	<b>1</b>	<b>5</b>	<b>1</b>	<b>6</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>22</b>

<b>Misdemeanor</b>												
From Court	0	0	0	0	0	0	0	0	0	0	0	0
Reinstated	0	2	1	0	0	1	0	1	0	0	0	5
Other	2	0	0	0	0	0	0	0	1	0	0	3
<b>Total</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>8</b>

} 30

<b>From Court</b>												
Probation Revoked	4	0	1	2	2	0	0	0	0	1	0	10
Probation Terminated	0	0	0	0	0	0	0	2	0	2	0	4
Other	3	7	1	1	3	0	0	0	0	5	0	20
<b>Total</b>	<b>7</b>	<b>7</b>	<b>2</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>8</b>	<b>0</b>	<b>34</b>

<b>Misdemeanor</b>												
Probation Revoked	0	1	1	0	0	1	1	3	1	1	0	9
Probation Terminated	0	0	1	0	0	2	0	0	0	1	0	4
Other	0	5	4	0	0	1	1	0	0	2	0	13
<b>Total</b>	<b>0</b>	<b>6</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>26</b>

<b>End of Month Caseload</b>												
Felony	64	54	55	3	69	68	2	54	70	49	12	500
Misdemeanor	25	30	27	1	18	25	0	32	28	30	6	222
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total Cases</b>	<b>90</b>	<b>85</b>	<b>84</b>	<b>4</b>	<b>87</b>	<b>93</b>	<b>2</b>	<b>87</b>	<b>98</b>	<b>80</b>	<b>18</b>	<b>728</b>
<b>B.C.S. Total</b>	<b>89</b>	<b>84</b>	<b>82</b>	<b>4</b>	<b>87</b>	<b>93</b>	<b>2</b>	<b>86</b>	<b>98</b>	<b>79</b>	<b>18</b>	<b>722</b>

<b>Arrestment Petitions</b>												<b>Total</b>
Felony	1	0	2	0	4	0	1	0	5	0	0	13
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Petitions</b>												
Felony	3	2	6	1	0	0	0	1	3	0	0	16
Misdemeanor	0	2	4	2	1	1	0	0	2	0	1	13
<b>Total</b>	<b>4</b>	<b>4</b>	<b>12</b>	<b>3</b>	<b>5</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>10</b>	<b>0</b>	<b>1</b>	<b>42</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	2	0	5	1	3	1	0	3	1	2	5	23
Maximum	1	2	9	0	0	3	0	8	6	2	1	32
Regular	47	54	44	3	27	51	0	39	44	37	7	353
Minimum	18	8	8	0	30	17	0	14	26	16	2	139
Bank	0	2	2	0	2	4	0	4	3	3	0	20
Admin. Bank	22	19	16	0	25	17	2	19	18	20	3	161
<b>TOTAL</b>	<b>90</b>	<b>85</b>	<b>84</b>	<b>4</b>	<b>87</b>	<b>93</b>	<b>2</b>	<b>87</b>	<b>98</b>	<b>80</b>	<b>18</b>	<b>728</b>
In Process	0	1	0	0	0	1	0	3	2	0	0	7
Max. Available	1	1	7	0	0	2	0	5	4	2	0	22
Max. Supv. to Standard	0	1	7	0	0	2	0	2	4	2	0	18
Max Sup. to Standard	0	100	100 n/a	n/a		100 n/a		40	100	100 n/a		82

**on-English Speaking**

Spanish	3	0	0	4	79	0	0	1	0	0	0	87
Vietnamese	2	1	1	0	0	0	0	2	3	1	0	10
Korean	0	0	1	0	0	0	0	0	0	0	0	1
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	2	0	0	1	0	0	1	0	0	6
<b>Total</b>	<b>7</b>	<b>1</b>	<b>4</b>	<b>4</b>	<b>79</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>104</b>

**CASE AUDITS**

27

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SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

B.Davis, SPO

Month of: January 2000

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors** 213

**Felonies** 498

Arraignment Petitions:

Superior: 14

Hearing Petitions:

Superior: 08

Municipal: 14

Non English Speaking Clients: 103

Spanish: 85

Vietnamese: 9

Korean: 1

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 8

**Total:** 103

Number of Deputies with Specialized Caseloads: 7

Caseload Average: 93

**Caseload average does not include caseloads of PO at FVC or of C. McInerney who is transitioning into Certification of Programs**

1 DPO at Family Violence Center

1.5 PO's doing Batterer Program Certification

**Performance Measures:**

Case audits: 17      New cases from court: 21      Transfers from other units: 8  
Cases assigned within the unit from C. McInerney's caseload = 24 (4 cases for all deputies in the unit except Spanish speaking officer). 6 cases from Celeste's caseload were screened and accepted by ISU. Remaining cases are C classification or less and will be eligible for bank or will be expiring within the next couple of months.

FVC DPO: Screened 112 police reports for probation information and forwarded appropriate reports; attended B.I.C., Death Review and Police/Victim Advocacy Committee and AWARE meetings.

SPO attended DV Council, Batterers Intervention, Provider/Probation and Court Systems, Officer Safety, Officer Safety APD Site Subcommittee, Supervision Restructuring Committee and Advanced Officer Safety meetings. SPO now is chair of Court Systems starting in February.

Abbie Halden-Fick now out on maternity leave; one DPO remains on half-time medical accomodation. Unit has been assisted by Stefanie Burgett who is filling in on Certification of Programs and will assist with Bench Warrant hearing reports as needed.

Summary of Cases for:  
B. Davis, SPO

Sup III

Month January

Year: 2000

	PO Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden-Fic	Marin	Stephens	Clements	Brant	Total
<b>Beginning Caseload</b>												
Felony	64	54	55	3	69	68	2	54	70	49	12	500
Misdemeanor	25	30	27	1	18	25	0	32	28	30	6	222
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total</b>	<b>90</b>	<b>85</b>	<b>84</b>	<b>4</b>	<b>87</b>	<b>93</b>	<b>2</b>	<b>87</b>	<b>98</b>	<b>80</b>	<b>18</b>	<b>728</b>
<b>BCS Total</b>	<b>89</b>	<b>84</b>	<b>82</b>	<b>4</b>	<b>87</b>	<b>93</b>	<b>2</b>	<b>86</b>	<b>98</b>	<b>79</b>	<b>18</b>	<b>722</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	2	0	4	0	3	3	0	3	1	5	0	21
Reinstated	0	0	0	0	0	0	0	0	0	0	0	0
Other	1	0	2	0	4	3	0	2	2	7	3	24
<b>Total</b>	<b>3</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>7</b>	<b>6</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>12</b>	<b>3</b>	<b>45</b>
<b>Misdemeanor</b>												
From Court	1	0	0	0	0	0	0	0	0	0	0	1
Reinstated	0	0	0	0	0	1	0	0	1	2	0	4
Other	3	0	4	0	0	1	0	1	0	0	0	9
<b>Total</b>	<b>4</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>14</b>

<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	0	2	3	0	3	3	0	3	3	2	0	19
Probation Terminated	0	1	0	1	0	2	0	0	0	0	0	4
Other	0	22	0	2	0	0	0	0	0	0	0	24
<b>Total</b>	<b>0</b>	<b>25</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>47</b>
<b>Misdemeanor</b>												
Probation Revoked	0	1	1	1	0	2	0	0	1	2	1	9
Probation Terminated	0	0	0	0	1	1	0	0	1	1	0	4
Other	0	10	0	0	0	0	0	0	0	0	0	10
<b>Total</b>	<b>0</b>	<b>11</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>23</b>

<b>End of Month Caseload</b>												
Felony	67	29	58	0	73	69	2	56	70	59	15	498
Misdemeanor	29	19	30	0	17	24	0	33	27	29	5	213
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total Cases</b>	<b>97</b>	<b>49</b>	<b>90</b>	<b>0</b>	<b>90</b>	<b>93</b>	<b>2</b>	<b>90</b>	<b>97</b>	<b>89</b>	<b>20</b>	<b>717</b>
<b>B.C.S. Total</b>	<b>96</b>	<b>48</b>	<b>88</b>	<b>0</b>	<b>90</b>	<b>93</b>	<b>2</b>	<b>89</b>	<b>97</b>	<b>88</b>	<b>20</b>	<b>711</b>

<b>Arraignment Petitions</b>												Total
Felony	2	1	2	0	4	1	0	1	2	1	0	14
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Petitions</b>												Total
Felony	0	1	1	0	0	0	0	1	5	0	0	8
Misdemeanor	1	1	0	0	3	4	0	2	0	3	0	14
<b>Total</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>7</b>	<b>5</b>	<b>0</b>	<b>4</b>	<b>7</b>	<b>4</b>	<b>0</b>	<b>36</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	3	0	5	0	8	0	0	3	0	2	3	24
Maximum	2	4	6	0	0	3	0	5	4	2	2	28
Regular	52	0	44	0	29	51	0	48	49	46	7	326
Minimum	16	28	12	0	33	13	0	15	24	13	2	156
Bank	0	6	2	0	2	5	0	2	2	4	0	23
Admin. Bank	24	11	21	0	18	21	2	17	18	22	6	160
<b>TOTAL</b>	<b>97</b>	<b>49</b>	<b>90</b>	<b>0</b>	<b>90</b>	<b>93</b>	<b>2</b>	<b>90</b>	<b>97</b>	<b>89</b>	<b>20</b>	<b>717</b>
In Process	0	3	0	0	0	0	0	2	0	0	0	5
Max. Available	2	0	6	0	0	3	0	3	4	1	0	19
Max. Supv. to Standard	2	0	5	0	0	3	0	3	4	1	0	18
%Max Sup. to Standard	100	n/a	83	n/a	n/a	100	n/a	100	100	100	n/a	95

**Non-English Speaking**

Spanish	3	0	0	1	79	0	0	1	0	1	0	85
Vietnamese	2	1	1	0	0	0	0	2	1	2	0	9
Korean	0	0	1	0	0	0	0	0	0	0	0	1
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	2	0	0	2	0	1	1	0	0	8
<b>Total</b>	<b>7</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>79</b>	<b>2</b>	<b>0</b>	<b>4</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>103</b>

CASE AUDITS 17

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

B.Davis, SPO

Month of: February 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 197

Felonies 480

Arraignment Petitions:

Superior: 23

Hearing Petitions:

Superior: 04

Municipal: 30

Non English Speaking Clients: 102

Spanish: 80

Vietnamese: 11

Korean: 1

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 10

Total: 102

Number of Deputies with Specialized Caseloads: 7

Caseload Average: 89

**Caseload average does not include caseloads of PO at FVC or of C. McInerney who doing Certification of Programs during the month.**

1 DPO at Family Violence Center

1.5 PO's doing Batterer Program Certification

**Performance Measures:**

Case audits: 29      New cases from court: 14      Transfers from other units: 2

FVC DPO: Screened 79 police reports for probation information and forwarded appropriate reports; attended B.I.C., Death Review and Police/Victim Advocacy Committee and AWARE meetings. Also met with DFCS DV Unit regarding useful probation information she can access for them in DV/Child Abuse cases.

SPO attended DV Council, DV Council Executive Committee, Batterers Intervention, Court Systems, Officer Safety, Supervision Restructuring Committee and DV/Child Protection Project and Certification meetings.

The Certification Committee met on 2-28-00 at which time 5 programs were reviewed. Also modifications to Certification Standards were reviewed and adopted.

Abbie Halden-Fick now out on maternity leave; one DPO remains on half-time medical accommodation. Unit has been assisted by Stefanie Burgett who is filling in on Certification of Programs.

Summary of Cases for:  
B. Davis, SPO

Sup. III

Month February

Year: 2000

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	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Haldon-Flo Marin	Stephens	Clements	Brant	Total	
<b>Region 1 Cases</b>												
Felony	67	29	58	0	73	69	2	56	70	59	15	498
Misdemeanor	29	19	30	0	17	24	0	33	27	29	5	213
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total</b>	<b>97</b>	<b>49</b>	<b>90</b>	<b>0</b>	<b>90</b>	<b>93</b>	<b>2</b>	<b>90</b>	<b>97</b>	<b>89</b>	<b>20</b>	<b>717</b>
<b>BCS Total</b>	<b>96</b>	<b>48</b>	<b>88</b>	<b>0</b>	<b>90</b>	<b>93</b>	<b>2</b>	<b>89</b>	<b>97</b>	<b>88</b>	<b>20</b>	<b>711</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	0	0	4	0	3	1	0	0	0	5	0	13
Reinstated	0	0	0	0	0	0	0	0	2	0	0	2
Other	0	0	0	0	0	4	0	0	0	0	0	4
<b>Total</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>19</b>

<b>Misdemeanor</b>												
From Court	0	0	0	0	0	0	0	1	0	0	0	1
Reinstated	0	0	2	0	0	0	0	0	0	0	0	2
Other	0	0	0	0	3	0	0	0	0	0	0	3
<b>Total</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>

<b>Cases from Other</b>												
<b>Felony</b>												
Probation Revoked	0	1	1	0	0	3	0	1	3	0	0	9
Probation Terminated	1	0	2	0	0	2	0	0	0	0	1	6
Other	0	9	0	0	10	0	0	0	0	2	0	21
<b>Total</b>	<b>1</b>	<b>10</b>	<b>3</b>	<b>0</b>	<b>10</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>36</b>

<b>Misdemeanor</b>												
Probation Revoked	0	0	1	0	4	4	0	1	1	1	0	12
Probation Terminated	0	0	0	0	1	0	0	0	0	2	0	3
Other	0	4	0	0	0	0	0	1	2	2	0	9
<b>Total</b>	<b>0</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>24</b>

<b>End of Month Case Load</b>												
Felony	66	19	59	0	66	69	2	54	69	62	14	480
Misdemeanor	29	15	31	0	15	20	0	32	24	26	5	197
Courtesy Supervision	1	0	2	0	0	0	0	1	0	1	0	5
<b>Total Cases</b>	<b>96</b>	<b>34</b>	<b>92</b>	<b>0</b>	<b>81</b>	<b>89</b>	<b>2</b>	<b>87</b>	<b>93</b>	<b>89</b>	<b>19</b>	<b>682</b>
<b>B.C.S. Total</b>	<b>95</b>	<b>34</b>	<b>90</b>	<b>0</b>	<b>81</b>	<b>89</b>	<b>2</b>	<b>86</b>	<b>93</b>	<b>88</b>	<b>19</b>	<b>677</b>

<b>Arrangement / Appeals</b>												
Felony	3	1	3	0	3	4	0	5	3	1	0	23
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing Requests</b>												
Felony	0	0	0	0	1	1	0	0	0	2	0	4
Misdemeanor	1	1	3	0	2	11	0	5	3	4	0	30
<b>Total</b>	<b>4</b>	<b>2</b>	<b>6</b>	<b>0</b>	<b>6</b>	<b>16</b>	<b>0</b>	<b>10</b>	<b>6</b>	<b>7</b>	<b>0</b>	<b>57</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>												

**Clients by Classification**

New	0	0	3	0	0	3	0	4	0		0	10
Maximum	1	3	5	0	0	3	0	7	5	2	2	28
Regular	53	0	43	0	56	47	0	39	61	45	13	357
Minimum	16	15	15	0	1	13	0	15	8	14	1	98
Bank	0	5	2	0	0	3	0	4	1	0	0	15
Admin, Bank	26	11	24	0	24	20	2	18	18	0	3	146
<b>TOTAL</b>	<b>96</b>	<b>34</b>	<b>92</b>	<b>0</b>	<b>81</b>	<b>89</b>	<b>2</b>	<b>87</b>	<b>93</b>	<b>61</b>	<b>19</b>	<b>654</b>
In Process	0	2	0	0	0	1	0	1	0	0	0	4
Max. Available	1	1	5	0	0	2	0	6	5	2	2	24
Max. Supv. to Standard	1	1	5	0	0	2	0	5	5	2	2	23
%Max Sup. to Standard	100	100	100 n/a	n/a		100 n/a		83	100	100	100	96

**Non-English Speaking**

Spanish	3	0	1	0	74	0	0	1	0	1	0	80
Vietnamese	2	1	1	0	0	0	0	2	3	2	0	11
Korean	0	0	1	0	0	0	0	0	0	0	0	1
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	3	0	0	3	0	1	1	0	0	10
<b>Total</b>	<b>7</b>	<b>1</b>	<b>6</b>	<b>0</b>	<b>74</b>	<b>3</b>	<b>0</b>	<b>4</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>102</b>

CASE AUDITS 29

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

B.Davis, SPO

Month of: March, 2000

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors 198**

**Felonies 493**

Arraignment Petitions:

Superior: 18

Hearing Petitions:

Superior: 14

Municipal: 15

Non English Speaking Clients: 104

Spanish: 82

Vietnamese: 12

Korean: 1

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 9

**Total: 104**

Number of Deputies with **Specialized Caseloads: 8**

**Caseload Average: 83**

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

1.5 PO's doing Batterer Program Certification

**Performance Measures:**

Case audits: 11      New cases from court: 29      Transfers from other units: 23

FVC DPO: Screened 90 police reports for probation information and forwarded appropriate reports; attended B.I.C., Death Review and Police/Victim Advocacy Committee, Women's Task Force and AWARE meetings. Also met with DFCS Program manager regarding exchange of information between agencies in DV/Child Abuse cases.

SPO attended DV Council, DV Council Executive Committee, Batterers Intervention, Court Systems, Officer Safety, Supervision Restructuring Committee, CPOC/VAWA Conference Committee and met with DFCS Program Manager regarding exchange of information between agencies in DV/Child Abuse cases.

The SPO and certification dpo's met with programs reviewed at last certification meeting regarding necessary corrections and program status.

Abbie Halden-Fick now out on maternity leave; one DPO remains on half-time medical accomodation. Unit has been assisted by Stefanie Burgett who is filling in on Certification of Programs.

Summary of Cases for:

B.Davis, SPO

Month: March

Year: 2000

PC	Hidalgo	McInemeyTrujillo	DiStella	Chavez	Abbott	Halden-FidMarin	Stephens	Clements	Brant	Total		
<b>Beginning Case Load</b>												
Felony	66	20	59	0	66	69	2	54	69	62	14	481
Misdemeanor	29	13	31	0	15	20	0	32	24	26	5	195
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>95</b>	<b>33</b>	<b>90</b>	<b>0</b>	<b>81</b>	<b>89</b>	<b>2</b>	<b>86</b>	<b>93</b>	<b>88</b>	<b>19</b>	<b>676</b>
<b>BCS Total</b>	<b>95</b>	<b>33</b>	<b>90</b>	<b>0</b>	<b>81</b>	<b>89</b>	<b>2</b>	<b>86</b>	<b>93</b>	<b>88</b>	<b>19</b>	<b>676</b>
<b>Cases Received</b>												
<b>Felony</b>												
From Court	0	12	1	0	5	2	0	1	0	1	1	23
Reinstated	0	0	0	0	0	0	0	0	0	1	0	1
Other	0	5	0	0	4	0	0	0	0	0	1	10
<b>Total</b>	<b>0</b>	<b>17</b>	<b>1</b>	<b>0</b>	<b>9</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>34</b>
<b>Misdemeanor</b>												
From Court	0	5	0	0	0	0	0	0	0	1	0	6
Reinstated	0	0	0	0	0	1	0	0	0	1	0	2
Other	0	10	0	0	0	1	0	0	1	1	0	13
<b>Total</b>	<b>0</b>	<b>15</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>21</b>
<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	0	1	0	0	4	1	0	1	2	1	0	10
Probation Terminated	1	0	0	0	1	0	0	1	0	1	0	4
Other	2	0	5	0	0	1	0	0	3	3	0	14
<b>Total</b>	<b>3</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>5</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>28</b>
<b>Misdemeanor</b>												
Probation Revoked	1	1	4	0	0	1	0	1	2	1	0	11
Probation Terminated	2	2	1	0	1	0	0	1	0	0	0	7
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>18</b>
<b>End of Month Case Load</b>												
Felony	63	36	55	0	70	69	2	53	64	59	16	487
Misdemeanor	26	25	26	0	14	21	0	30	23	28	5	198
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
<b>Total Cases</b>	<b>90</b>	<b>62</b>	<b>83</b>	<b>0</b>	<b>84</b>	<b>90</b>	<b>2</b>	<b>84</b>	<b>87</b>	<b>88</b>	<b>21</b>	<b>691</b>
<b>B.C.S. Total</b>	<b>89</b>	<b>61</b>	<b>81</b>	<b>0</b>	<b>84</b>	<b>90</b>	<b>2</b>	<b>83</b>	<b>87</b>	<b>87</b>	<b>21</b>	<b>685</b>
<b>Arrignment Petitions</b>												
Felony	3	0	1	0	7	1	0	2	2	2	0	18
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0
<b>Hearing Petitions</b>												
Felony	0	1	3	0	3	1	0	3	2	1	0	14
Misdemeanor	3	0	1	0	1	0	0	1	5	4	0	15
<b>Total</b>	<b>6</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>11</b>	<b>2</b>	<b>0</b>	<b>6</b>	<b>9</b>	<b>7</b>	<b>0</b>	<b>47</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	9	2	0	2	1	0	3	0	0	2	19
Maximum	1	4	4	0	0	5	0	7	7	0	2	30
Regular	55	5	44	0	54	47	0	37	54	43	13	352
Minimum	13	13	13	0	4	14	0	15	7	15	1	95
Bank		4	1	0	1	3	0	4	1	6	0	20
Admin. Bank	21	27	19	0	23	20	2	18	18	24	3	175
<b>TOTAL</b>	<b>90</b>	<b>62</b>	<b>83</b>	<b>0</b>	<b>84</b>	<b>90</b>	<b>2</b>	<b>84</b>	<b>87</b>	<b>88</b>	<b>21</b>	<b>691</b>
In Process	0	1	1	0	0	1	0	3	2	0	1	9
Max. Available	1	2	3	0	0	4	0	4	5	0	1	20
Max. Supv. to Standard	1	2	3	0	0	4	0	3	4	0	1	18
%Max Sup. to Standard	100	100	100	#DIV/0!	#DIV/0!	100	#DIV/0!	75	80	#DIV/0!	100	90

**Non-English Speaking**

Spanish	3	0	0	0	77	0	0	1	0	1	0	82
Vietnamese	2	2	1	0	0	0	0	2	3	2	0	12
Korean	0	0	1	0	0	0	0	0	0	0	0	1
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	2	0	0	3	0	1	1	0	0	9
<b>Total</b>	<b>7</b>	<b>2</b>	<b>4</b>	<b>0</b>	<b>77</b>	<b>3</b>	<b>0</b>	<b>4</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>104</b>

CASE AUDITS 11

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: April 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 197

Felonies 462

Arrestment Petitions:

Superior: 12

Hearing Petitions:

Superior: 4

Municipal: 16

Non English Speaking Clients: 88

Spanish: 65

Vietnamese: 14

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 9

**Total: 88**

Number of Deputies with Specialized Caseloads: 11  
Caseload Average: 83

Caseload average does not include caseload of PO at FVC  
1 DPO at Family Violence Center

Performance Measures:

Case audits: 4      New cases from court: 26      Transfers from other units: 4

FVC DPO: Screened 219 police reports (34 domestic violence, 31 threat management, 154 child abuse) for probation information and forwarded appropriate reports; attended B.I.C., Death Review and AWARE meetings. Provided orientation for new FVC DPO beginning May 1, 2000.

SPO attended Death Review Committee, CPOC Preventing Violence Against Women Conference.

AS

Summary of Cases for:  
David H. Perez, SPO

Month: April Year: 2000

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
Beginning Case Load												
Felony	63	36	55	0	70	69	2	53	64	59	16	487
Misdemeanor	26	25	28	0	14	21	0	30	23	28	5	198
Courtesy Supervision	1	1	2	0	0	0	0	1	0	1	0	6
Total	90	62	83	0	84	90	2	84	87	88	21	691
BCS Total	89	61	81	0	84	90	2	83	87	87	21	685

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
Cases Received												
Felony												
From Court	0	2	3	0	2	0	0	0	0	1	0	8
Reinstated	0	0	0	0	0	0	1	0	0	0	0	1
Other	0	8	0	0	0	0	1	0	0	1	0	10
Total	0	10	3	0	2	0	2	0	0	2	0	19
Misdemeanor												
From Court	0	1	2	0	3	0	0	2	0	0	0	8
Reinstated	0	0	1	0	0	0	0	0	0	0	0	1
Other	0	1	0	0	0	2	4	2	0	0	0	9
Total	0	2	3	0	3	2	4	4	0	0	0	18

37

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
Cases Removed												
Felony												
Probation Revoked	5	1	0	0	2	3	0	1	0	1	0	13
Probation Terminated	1	0	0	0	0	0	0	0	1	0	0	2
Other	7	0	0	0	17	3	0	2	0	0	0	29
Total	13	1	0	0	19	6	0	3	1	1	0	44
Misdemeanor												
Probation Revoked	1	0	0	0	0	2	0	2	0	0	0	5
Probation Terminated	1	0	0	0	0	1	0	0	0	0	0	2
Other	6	0	1	0	4	0	0	0	1	0	0	12
Total	8	0	1	0	4	3	0	2	1	0	0	19

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
End of Month Case Load												
Felony	50	45	58	0	53	63	4	50	63	60	16	462
Misdemeanor	18	27	28	0	13	20	4	32	22	28	5	197
Courtesy Supervision	2	1	2	0	0	0	1	1	0	1	0	8
Total Cases	70	73	88	0	66	83	9	83	85	89	21	667
B.C.S. Total	68	72	86	0	66	83	8	82	85	88	21	659

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
Arrests/ Citations												
Felony	1	0	0	0	3	2	0	0	0	3	0	9
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

PO	Hidalgo	McInerney	Trujillo	DiStella	Chavez	Abbott	Halden	Fic Marin	Stephena	Clements	Ernst	Total
Hearing/ Retentions												
Felony	0	2	0	0	0	1	0	1	0	0	0	4
Misdemeanor	1	2	0	0	1	2	0	2	6	2	0	16
Total	2	4	0	0	4	5	0	3	6	5	0	29

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	18	3	0	0	0	1	1	0	2	0	25
Maximum	0	2	2	0	0	5	2	11	7	0	3	32
Regular	48	12	49	0	49	43	1	34	56	48	15	353
Minimum	11	14	14	0	2	16	1	16	7	13	1	95
Bank	0	3	2	0	15	2	0	4	0	7	0	33
Admin. Bank	11	24	18	0	0	17	4	17	15	21	2	129
<b>TOTAL</b>	<b>70</b>	<b>73</b>	<b>88</b>	<b>0</b>	<b>66</b>	<b>83</b>	<b>9</b>	<b>83</b>	<b>85</b>	<b>89</b>	<b>21</b>	<b>667</b>
In Process	0	0	0	0	0	0	0	0	0	0	0	0
Max. Available	0	2	2	0	0	5	2	11	7	0	3	32
Max. Supv. to Standard	0	1	1	0	0	2	2	2	6	0	1	15
%Max St.p. to Standard	#DIV/0!	50	50	#DIV/0!	#DIV/0!	40	100	18	86	#DIV/0!	33	47

**Non-English Speaking**

Spanish	2	1	1	0	59	0	0	1	0	1	0	65
Vietnamese	2	2	2	0	0	0	0	3	3	2	0	14
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	2	0	2	0	0	3	0	1	1	0	0	9
<b>Total</b>	<b>6</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>59</b>	<b>3</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>88</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

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**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: May, 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 204

Felony 498

Arrestment Petitions:

Superior: 3

Hearing Petitions:

Superior: 12

Municipal: 3

Non English Speaking Clients: 108

Spanish: 86

Vietnamese: 13

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 9

Total: 108

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 71

Caseload average does not include caseload of PO at FVC

1 DPO at Family Violence Center

Performance Measures:

Case audits: 17

New cases from court: 34 Transfers from other units: 28

FVC DPO: Screened 204 Child Abuse cases for JPD and/or APD status; screened 42 DV cases for JPD and/or APD status; screened 35 Threat Management cases for JPD and/or APD status. Attended the following meetings: Child Abuse Symposium in San Jose; Victim/Survivor Advocacy Committee; SJ Mayor's DV Task Force @ S.J. City Hall; Women's Violence Task Force; Batterers Intervention Committee; Police/Victim Relations Committee.

SPO attended the following meetings: DV Council; Assessment Subcommittee Meeting; Officer Safety Committee; Supervision Restructuring Committee; Court Systems Committee; Batterers Intervention Committee; Death Review Committee; Police/Victims Relations Committee; APD Worksite Committee. Participated in "Field Day" special field operations event on May 24, 2000.

Summary of Cases for:  
David H. Perez, SPO

Supervision III  
Month May

Year: 2000

AS

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LoPak	Marin	McInern	Thomas	Trujillo	Total
Felony	63	53	60	45	50	4	63	50	16	0	58	462
Misdemeanor	20	13	28	27	18	4	22	32	5	0	28	197
Courtesy Supervision	0	0	1	1	2	1	0	1	0	0	2	8
Total	83	66	89	73	70	9	85	83	21	0	88	667
BCS Total	83	66	88	72	68	8	85	82	21	0	86	659

VICTIM

From Court	0	0	0	1	1	8	1	0	0	13	1	25
Reinstated	1	1	1	2	2	0	0	0	0	1	0	8
Other	1	2	0	0	0	10	0	0	0	13	0	26
Total	2	3	1	3	3	18	1	0	0	27	1	59

From Court	0	0	0	0	0	2	0	0	0	6	1	9
Reinstated	0	0	2	0	0	0	0	0	0	1	0	3
Other	0	2	0	1	0	3	0	1	1	9	0	17
Total	0	2	2	1	0	5	0	1	1	16	1	29

Probation Revoked	1	2	1	0	0	1	0	1	0	0	0	6
Probation Terminated	1	0	2	0	1	0	0	1	0	0	0	5
Other	0	0	5	0	2	0	0	2	1	0	2	12
Total	2	2	8	0	3	1	0	4	1	0	2	23

Probation Revoked	0	1	3	2	0	0	2	1	0	1	1	11
Probation Terminated	0	0	2	2	0	0	0	0	0	0	0	4
Other	0	0	4	0	0	0	0	0	0	0	3	7
Total	0	1	9	4	0	0	2	1	0	1	4	22

Felony	63	54	53	48	50	21	64	46	15	27	57	498
Misdemeanor	20	14	21	24	18	9	20	32	6	15	25	204
Courtesy Supervision	0	0	1	1	1	0	0	1	0	1	3	8
Total Cases	83	68	75	73	69	30	84	79	21	43	85	710
B.C.S. Total	83	68	74	72	68	30	84	78	21	42	82	702

Felony	0	0	1	0	0	1	0	1	0	0	0	3
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

Felony	0	1	4	0	4	0	2	1	0	0	0	12
Misdemeanor	1	0	1	0	0	0	0	0	0	0	1	3
Total	1	1	6	0	4	1	2	2	0	0	1	18

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	0	0	10	2	3	1	2	0	5	2	25
Maximum	6	0	0	2	0	0	5	15	1	9	1	39
Regular	43	48	39	17	44	6	59	34	19	15	49	373
Minimum	14	5	16	13	7	5	8	14	0	2	15	99
Bank	2	0	5	3	3	1	0	4	0	0	2	20
Admin. Bank	18	15	15	28	13	15	11	10	1	12	16	154
<b>TOTAL</b>	<b>83</b>	<b>68</b>	<b>75</b>	<b>73</b>	<b>69</b>	<b>30</b>	<b>84</b>	<b>79</b>	<b>21</b>	<b>43</b>	<b>85</b>	<b>710</b>
In Process	0		0	0	0	0	0	3	0	0	0	3
Max. Available	6	0	5	2	0	0	5	12	1	8	1	40
Max. Supv. to Standard	3	0	1	2	0	0	4	8	0	8	1	27
%Max Sup. to Standard	50	#DIV/0!	20	100	#DIV/0!	#DIV/0!	80	67	0	100	100	68
<b>Non-English Speaking</b>												
Spanish	0	60	0	1	2	21	0	1	0	0	1	86
Vietnamese	0	0	2	2	2	0	3	2	0	0	2	13
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	2	0	1	1	0	0	2	9
<b>Total</b>	<b>3</b>	<b>60</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>21</b>	<b>4</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>108</b>

CASE AUDIT3

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: June 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors	203
Felonies	543
Arraignment Petitions:	
Superior:	8
Hearing Petitions:	
Superior:	7
Municipal:	10
Non English Speaking Clients:	88
Spanish:	97
Vietnamese:	14
Korean:	
Hearing Impaired:	
Iranian:	
Portugese:	
Cambodian:	
Other:	9
Total:	88

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 72.5

Caseload average does not include caseload of PO at FVC  
1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 18      New cases from court: 17      Transfers from other units: 22

FVC DPO: Screened 156 police reports (37 domestic violence, 25 threat management, 94 child abuse) for probation information and forwarded appropriate reports. Attended Santa Clara County DV Council meeting; SJ Mayor's Task Force monthly meeting; Santa Clara County DV Council Retreat; Batterers Intervention Committee monthly meeting; Police-Victim Relations Committee monthly meeting; AWARE Committee bimonthly meeting. Met with Juvenile DV Task Force chaired by Judge Hyman. Presented on the Law Panel for SNBW's annual volunteer training.

SPO attended Death Review Committee, DV Council meeting, Juvenile DV Taskforce meeting chaired by Judge Hyman. SPO also attended Court Systems Committee meeting and gave a presentation regarding the Probation Department's protocols in terms of investigating and supervising DV cases, discussed Probation's role of certifying and monitoring batterers programs. SPO also attended day-long Greenbook Project meeting at the Wyndham Hotel in San Jose; and a facilitated community meeting with the Mountain View Police Department to discuss improving Mountain View's police response to DV cases. Also attended the following in-house meetings: Supervision Restructuring Committee meeting, Assessment Unit Subcommittee meeting and Worksite Committee meeting. Arranged with the program director of one of the batterers program providers to give a short training to the DV Unit during a unit meeting. SPO also provided a list of the DV Unit caseload to Dept. of Social Services to determine the number of clients that might qualify for a collaborative supervision effort; SPO met with Muriel Townsend and Evelyn Mendez and worked out a procedure to identify new cases that have an active or past juvenile DV record.

Summary of Cases for:  
David H. Perez, SPO

Supervision III  
Month June

Year: 2000

AS

	PO	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	Marin	McInerney	Thomas	Trujillo	Total
<b>Beginning Case Load</b>													
Felony		63	54	53	48	50	21	64	46	15	27	57	498
Misdemeanor		20	14	21	24	18	9	20	32	6	15	25	204
Courtesy Supervision		0	0	1	1	1	0	0	1	0	1	3	8
<b>Total</b>		<b>83</b>	<b>68</b>	<b>75</b>	<b>73</b>	<b>69</b>	<b>30</b>	<b>84</b>	<b>79</b>	<b>21</b>	<b>43</b>	<b>85</b>	<b>710</b>
<b>BCS Total</b>		<b>83</b>	<b>68</b>	<b>74</b>	<b>72</b>	<b>68</b>	<b>30</b>	<b>84</b>	<b>78</b>	<b>21</b>	<b>42</b>	<b>82</b>	<b>702</b>
<b>Cases Received</b>													
From Court		0	0	1	2	1	6	0	0	0	7	0	17
Reinstated		0	0	0	0	0	1	1	1	0	0	0	3
Other		0	0	5	6	1	13	0	0	0	10	0	35
<b>Total</b>		<b>0</b>	<b>0</b>	<b>6</b>	<b>8</b>	<b>2</b>	<b>20</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>17</b>	<b>0</b>	<b>55</b>
<b>Misdemeanor</b>													
From Court		0	0	0	1	1	2	0	0	0	4	0	8
Reinstated		1	0	0	0	0	1	0	0	0	1	0	3
Other		0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>		<b>1</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>0</b>	<b>11</b>
<b>Case Terminations</b>													
Probation Revoked		1	3	1	0	1	0	1	1	0	0	0	8
Probation Terminated		0	0	1	0	0	0	1	0	0	0	0	2
Other		0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>		<b>1</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10</b>
<b>Misdemeanor</b>													
Probation Revoked		1	0	3	1	0	0	1	1	0	0	1	8
Probation Terminated		1	0	0	1	0	0	1	0	0	0	1	4
Other		0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>		<b>2</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>12</b>
<b>End of Month Case Load</b>													
Felony		62	51	57	56	51	41	63	46	15	44	57	543
Misdemeanor		19	14	18	23	19	12	18	31	6	20	23	203
Courtesy Supervision		0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>		<b>81</b>	<b>65</b>	<b>75</b>	<b>79</b>	<b>70</b>	<b>53</b>	<b>81</b>	<b>77</b>	<b>21</b>	<b>64</b>	<b>80</b>	<b>746</b>
<b>B.C.S. Total</b>		<b>81</b>	<b>65</b>	<b>75</b>	<b>79</b>	<b>70</b>	<b>53</b>	<b>81</b>	<b>77</b>	<b>21</b>	<b>64</b>	<b>80</b>	<b>746</b>
<b>Arrests/Referrals</b>													
Felony		2	1	3	2	0	0	0	0	0	0	0	8
Misdemeanor		0	0	0	0	0	0	0	0	0	0	0	0
<b>Hearing Petitions</b>													
Felony		1	2	0	1	1	0	0	2	0	0	0	7
Misdemeanor		2	0	2	1	1	0	1	3	0	0	0	10
<b>Total</b>		<b>5</b>	<b>3</b>	<b>5</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>25</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	0	1	7	2	2	0	0	0	7	1	20
Maximum	5	0	1	0	1	3	6	10	1	11	2	40
Regular	47	47	35	26	42	12	59	27	20	24	42	381
Minimum	13	4	15	12	9	16	6	19	0	0	19	113
Bank	1	0	6	3	2	1	0	9	0	2	2	26
Admin. Bank	15	14	17	31	14	19	10	12	0	20	14	166
<b>TOTAL</b>	<b>81</b>	<b>65</b>	<b>75</b>	<b>79</b>	<b>70</b>	<b>53</b>	<b>81</b>	<b>77</b>	<b>21</b>	<b>64</b>	<b>80</b>	<b>746</b>
In Process	0	0	0	0	0	0	0	0	0	0	0	0
Max. Available	5	0	1	0	1	3	6	10	1	10	2	39
Max. Supv. to Standard	5	0	1	0	1	3	6	10	1	7	2	36
%Max Sup. to Standard	100	#DIV/0!	100	#DIV/0!	100	100	100	100	100	70	100	92

**Non-English Speaking**

Spanish	0	57	0	1	2	34	1	1	0	0	1	97
Vietnamese	0	0	2	2	2	0	3	2	0	1	2	14
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	2	0	1	1	0	0	2	9
<b>Total</b>	<b>3</b>	<b>57</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>34</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>5</b>	<b>120</b>

**CASE AUDITS**



## Management Information Report Summary FY01

Month	Claimed	DPO	Meeting Attended by DPO	Meeting Attended by SPO
Jan-01	FVC DPO	Family Court Systems	Update Probation Dept. Victim Resource List Bi-monthly AWARE/Cell Phone Committee	Update DV Supervision Protocols
	FVC DPO	Santa Clara County Domestic Violence Council		Victim/ Survivor Advocacy Committee meeting
	FVC.DPO	DV Victim/ Survivor Advocacy		Juvenile Domestic and Family Violence Taskforce Meeting
	FVC DPO	Death Review Committee		Batterers Program Providers Meeting
	FVC DPO	Juvenile DV Taskforce		Law Enforcement Protocol Meeting
	FVC DPO	Police / Victim Relations		Court Systems Committee Meeting
	FVC DPO	Santa Clara County Child Abuse Review Team		Batterers Intervention Committee Meeting
	FVC DPO	2nd and final law enforcement Protocol meeting		Greenbook Subcommittee meeting
	FVC DPO	Provided FVC/DV Probation training at Dept of Family and Children's Services, DV unit		Police/ Victim Relations Committee meeting
	FVC DPO			Provided training on Probation DV Protocols for Community Solutions volunteers at St. Louise Hospital in Gilroy
Feb-01	FVC DPO	Santa Clara County Domestic Violence Council		Santa clara County Domestic Violence Council
	FVC DPO	Family Court Systems		AWARE Committee
	FVC DPO	Batterers Intervention Committee meeting		Victim/Survivor Advocacy Committee
	FVC DPO	Police/Victim Relations Committee		Death Review Committee
	FVC DPO	AWARE/DV Cell Phone meeting		Batterers Intervention Committee
	FVC DPO	completed DV Investigators Course in San Diego		Court System Committee
Mar-01		Cheryl Rivera 2/27/01	2 initial collaborative telephone contacts and 11 on going with DSS workers DV unit had 100% participation in a unit field operation	conducted Training for Domestic Violence Protocols for Drug Treatment court Unit Revising DV Supervision Standards
	FVC DPO	Santa Clara county Domestic Violence Council	Police/Victim Relations Committee Victim/survivor Advocacy Committee Juvenile DV Taskforce San Jose Mayor's DV Taskforce SCCCART Meeting at DFCS Met with PSC regarding DV Cell Phones at SJPD FVC SJPD Award Ceremony	Greenbook Committee at Radisson Hotel Victim/Survivor Advocacy Committee Juvenile DV Taskforce Greenbook project #6 meeting Court Systems committee Batterers Intervention Committee Death Review Committee Officer safety committee

## Management Information Report Summary FY01

Month	Claimed	DPO	Meeting Attended by DPO	Meeting Attended by SPO
Apr-01		FVC DPO	<p>Santa Clara county Domestic Violence Council            AWARE meeting            ANISAFE meeting at Humane Society            SCCART meeting at DFCS            Set up Family Violence Center Table for "Knowledge Fair" at West Valley College            Set up Family Violence Center Table for "National Victim Rights Week" at County Building            Participated in planning and implementation of "Unity Day" operation            7 on going contacts with Social Services            Entire DV Unit met with Judge Chatman and Judge Brown to discuss Court and Probation protocols and procedures for DV cases</p>	<p>Meeting Attended by SPO            Police/victim Relations Committee            DV Providers meeting            conducted 2 hours training on DV protocols as new DPO Orientation            conducted a unit field operation            Santa Clara county Domestic Violence Council            Victim/survivor Advocacy Committee            Greenbook project #6 meeting            Court Systems committee            Batterers Intervention Committee            Death Review Committee            Unity Day operation</p>
May-01		FVC DPO	<p>4/10/03            Police/Victim Relations Committee            Victim/survivor Advocacy Committee            Juvenile DV Taskforce            San Jose Mayor's DV Taskforce            ANISAFE meeting at Humane Society            Batterers Intervention committee            Met with Mediator from Family court for APD DV Orientation</p>	<p>Worksite Safety Committee            Domestic Violence Council            Victim/Survivor Advocacy Committee            SB90 meeting with APD Accounting            Batterers Intervention Committee            Death Review Committee            Greenbook Project #6 Meeting            Conducted training for APD Investigation Sections on DV Protocols</p>
		Cheryl Rivera	<p>Judicial Council DV conference in LA            Assisted with SJPD hosted P.O.S.T. DV First Responder's Training            Presented APD DV Protocol for advocates at FVC            Rec'd Award from SJ City council for work on Cell phone program for victim of DV            9 contacts with Social Workers</p>	
		Cheryl Rivera		

**Management Information Report Summary  
FY01**

Month	Claimed	DPO	Meeting Attended by DPO	Meeting Attended by SPO
Jun-01		FVC DPO	Battered Intervention committee Police/Victim Relations Committee Victim/survivor Advocacy Committee Juvenile DV Taskforce SCCART meeting at DFCS San Jose Mayor's DV Taskforce 2 Cell Phone Instructional Meeting at FVC 2 ongoing collaborative telephone contacts with DFCS Cheryl Rivera 6/27/01 Unit field operation	Santa Clara county Domestic Violence Council Victim/Survivor Advocacy Committee Juvenile DV Taskforce Greenbook Project #6 Subcommittee Battered Intervention Committee Court Systems committee DV Providers meeting Death Review Committee Police/victim Relations Committee Officer safety committee Conducted training in updates of DV Supervision Protocols for Supervision I unit meeting

AS

Sup III

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

Management Information Report

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: July 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 296

Felonics 559

Arraignment Petitions:

Superior: 10

Hearing Petitions:

Superior: 5

Municipal: 8

Non English Speaking Clients: 163

Spanish: 136

Vietnamese: 17

Korean:

Hearing Impaired:

Iranian:

Portugese: 1

Cambodian:

Other: 9

Total: 163

10 - E

8 - M

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 83.4

Caseload average does not include caseload of PO at FVC

1 DPO at Family Violence Center

Performance Measures:

Case audits: 26      New cases from court: 38      Transfers from other units: 120

259

CC: Pam for Elmerie  
6/17/03

FVC DPO: Screened 169 police reports (53 domestic violence, 37 threat management, 79 child abuse) for probation information and forwarded appropriate reports; attended Police/Victims Relations Committee monthly meeting and Bay Area DV Roundtable in Napa; met with Next Door Attorney C. Hassen; presented training to the APD Domestic Violence Unit on the use of the laptop computer in fieldwork.

SPO attended Juvenile Domestic Violence Taskforce meeting; Batterers Program Providers meeting; Bay Area DV Roundtable in Napa. SPO also conducted a training for the newer deputies, supervisors and the manager in Adult Presentence Investigation on investigation protocols and special considerations in dealing with domestic violence cases; SPO attended an interview by the California Judicial Council, at the request of Deputy Chief Gary Sanchez, to represent the domestic violence function; after conferring with Ken Borelli of DSS as well as Probation Manager Rita Loncarich and Deputy Chief Gary Sanchez, SPO implemented a procedure to identify new cases on an ongoing basis that involve supervision by both APD and DSS, and facilitate communication and collaborative supervision between APD and DSS.

Summary of Cases for:

Supervision 3

David H. Perez, SPO

Month July

Year: 2000

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Beginning Caseload</b>												
Felony	62	51	57	56	51	41	63	15	46	44	57	543
Misdemeanor	19	14	18	23	19	12	18	6	31	20	23	203
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>81</b>	<b>65</b>	<b>75</b>	<b>79</b>	<b>70</b>	<b>53</b>	<b>81</b>	<b>21</b>	<b>77</b>	<b>64</b>	<b>80</b>	<b>746</b>
<b>BCS Total</b>	<b>81</b>	<b>65</b>	<b>75</b>	<b>79</b>	<b>70</b>	<b>53</b>	<b>81</b>	<b>21</b>	<b>77</b>	<b>64</b>	<b>80</b>	<b>746</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>From Court</b>	0	2	1	3	5	5	0	0	1	5	0	22
<b>Reinstated</b>	3	0	0	1	0	1	0	0	0	0	0	5
<b>Other</b>	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>27</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>From Court</b>	0	2	0	0	4	2	4	0	1	1	2	16
<b>Reinstated</b>	2	0	1	0	1	1	0	0	1	0	0	6
<b>Other</b>	3	15	11	4	10	19	0	0	3	19	2	86
<b>Total</b>	<b>5</b>	<b>17</b>	<b>12</b>	<b>4</b>	<b>15</b>	<b>22</b>	<b>4</b>	<b>0</b>	<b>5</b>	<b>20</b>	<b>4</b>	<b>108</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Probation Revoked</b>	0	2	0	2	1	0	0	0	0	0	1	6
<b>Probation Terminated</b>	0	0	0	0	1	0	1	0	0	0	3	5
<b>Other</b>	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>11</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Probation Revoked</b>	2	0	1	3	1	0	2	0	2	0	0	11
<b>Probation Terminated</b>	0	0	0	1	1	0	1	0	1	0	0	4
<b>Other</b>	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>15</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Ending Caseload</b>												
Felony	65	51	58	58	54	47	62	15	47	49	53	559
Misdemeanor	22	31	29	23	32	34	19	6	33	40	27	296
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>87</b>	<b>82</b>	<b>87</b>	<b>81</b>	<b>86</b>	<b>81</b>	<b>81</b>	<b>21</b>	<b>80</b>	<b>89</b>	<b>80</b>	<b>855</b>
<b>B.C.S. Total</b>	<b>87</b>	<b>82</b>	<b>87</b>	<b>81</b>	<b>86</b>	<b>81</b>	<b>81</b>	<b>21</b>	<b>80</b>	<b>89</b>	<b>80</b>	<b>855</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Felony</b>	1	1	2	0	2	0	0	2	0	1	1	10
<b>Misdemeanor</b>	0	0	0	0	0	0	0	0	0	0	0	0

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Felony</b>	1	0	3	0	0	0	0	0	1	0	0	5
<b>Misdemeanor</b>	0	0	0	3	2	0	1	0	0	1	1	8
<b>Total</b>	<b>2</b>	<b>1</b>	<b>5</b>	<b>3</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>23</b>

FY 01  
 July '00 to  
 June '01

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	1	4	0	9	2	11	1	0	3	4	3	38
Maximum	5	0	2	4	1	3	3	1	9	10	3	41
Regular	46	48	32	26	43	17	56	18	29	34	41	390
Minimum	18	17	19	12	15	26	13	0	27	12	19	178
Bank	1	0	16	3	6	6	1	0	4	6	2	45
Admin. Bank	16	13	18	27	19	18	7	2	8	23	12	163
<b>TOTAL</b>	<b>87</b>	<b>82</b>	<b>87</b>	<b>81</b>	<b>86</b>	<b>81</b>	<b>81</b>	<b>21</b>	<b>80</b>	<b>89</b>	<b>80</b>	<b>855</b>
In Process	0	0	0	0	0	0	0	0	2	0	0	2
Max. Available	5	0	2	4	1	3	3	1	7	10	3	39
Max. Supv. to Standard	5	0	2	4	1	3	3	1	7	9	3	38
%Max Sup. to Standard	100	#DIV/0!	100	100	100	100	100	100	100	90	100	97

**Non-English Speaking**

Spanish	0	74	0	1	2	57	0	0	1	0	1	136
Vietnamese	0	0	2	2	3	0	3	0	3	2	2	17
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	1	0	0	0	0	0	0	0	0	0	1
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	2	0	1	0	1	0	2	9
<b>Total</b>	<b>3</b>	<b>75</b>	<b>2</b>	<b>3</b>	<b>7</b>	<b>57</b>	<b>4</b>	<b>0</b>	<b>5</b>	<b>2</b>	<b>5</b>	<b>163</b>

CASE AUDITS 26

ADULT PROBATION SERVICES  
PROGRAM TREND DATA  
CUMULATIVE THROUGH JULY, 2000

RLP

REFERRALS	MISDE- MEANOR	FELONY	DRUNK DRIVER	WAIVE	DIVER- SION	NON SUPP	TOTAL
INVESTIGATION	0	298	0	217	0	0	515
SOUTH COUNTY	0	0	0	0	0	0	0
NORTH COUNTY	0	13	0	23	0	0	36
<b>TOTAL</b>	<b>0</b>	<b>311</b>	<b>0</b>	<b>240</b>	<b>0</b>	<b>0</b>	<b>551</b>

PETITIONS	ARRAIGNMENT PETITIONS		HEARING PETITIONS		TOTAL
	FELONY	MISD	FELONY	MISD	
SOUTH COUNTY	16	0	0	8	24
NORTH COUNTY	13	0	7	17	37
SUPERVISION I	74	0	3	16	93
SUPERVISION II	47	0	19	25	91
SUPERVISION III	10	0	5	8	23
SUPERVISION IV	28	0	8	12	48
SUPERVISION V	24	0	5	12	41
SUPERVISION VI	40	0	2	9	51
SUBSTANCE ABUSE	0	0	0	204	204
INTENSIVE SUP.	7	0	2	1	10
EMP	0	0	0	0	0
CAP	1	0	0	0	1
GANG	0	7	0	5	12
<b>TOTAL</b>	<b>260</b>	<b>7</b>	<b>51</b>	<b>317</b>	<b>635</b>

INCLUDES 270'S

	<u>Admits</u>	<u>Rel/Parole</u>	<u>Failures</u>	<u>Escapes</u>
WK FURLOUGH	88	76	11	1
WRC	20	15	2	0

203

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SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: August 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 293

Felonies 584

Arraignment Petitions:

Superior: 25

Hearing Petitions:

Superior: 8

Municipal: 16 - M

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Non English Speaking Clients:

Spanish: 146

Vietnamese: 22

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 9

Total: 177

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 85.8

Caseload average does not include caseload of PO at FVC

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 28      New cases from court: 37      Transfers from other units: 30

FVC DPO: Screened police reports ( 42 domestic violence, 37 threat management, 38 child abuse) for probation information and forwarded appropriate reports; attended the following meetings: San Jose Mayor's DV Task Force; Victim/Survivor Advocacy; AWARE Committee; Batterers Intervention Committee; Police/Victim Relations Committee. Presented APD DV Protocols to Next Door staff members; met with Clothesline project manager for DV Conference t-shirt display; attended annual Threat Management Conference in Anaheim, CA

DPO Edna Thomas attended the City of Mountain View Town Meeting on Domestic Violence on August 16, 2000

SPO attended Victim/Survivor Advocacy Committee meeting; Certification Committee meeting

AS

Summary of Cases for: **Supervision 3**  
 David H. Perez, SPO Month August Year: 2000

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	65	51	58	58	54	47	62	15	47	49	53	559
Misdemeanor	22	31	29	23	32	34	19	6	33	40	27	296
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>87</b>	<b>82</b>	<b>87</b>	<b>81</b>	<b>86</b>	<b>81</b>	<b>81</b>	<b>21</b>	<b>80</b>	<b>89</b>	<b>80</b>	<b>855</b>
<b>BCS Total</b>	<b>87</b>	<b>82</b>	<b>87</b>	<b>81</b>	<b>86</b>	<b>81</b>	<b>81</b>	<b>21</b>	<b>80</b>	<b>89</b>	<b>80</b>	<b>855</b>

2

Cases Received												
Felony												
From Court	0	3	0	5	1	2	4	0	4	1	4	24
Reinstated	0	1	0	1	0	1	0	0	0	0	0	3
Other	2	4	0	4	0	8	2	0	2	0	0	22
<b>Total</b>	<b>2</b>	<b>8</b>	<b>0</b>	<b>10</b>	<b>1</b>	<b>11</b>	<b>6</b>	<b>0</b>	<b>6</b>	<b>1</b>	<b>4</b>	<b>49</b>

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From Court	0	3	0	3	2	2	2	0	1	0	0	13
Reinstated	0	0	0	0	0	0	0	0	0	0	2	2
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>15</b>

64

2

Cases Removed												
Felony												
Probation Revoked	0	2	1	2	2	2	2	1	0	0	0	12
Probation Terminated	0	0	1	2	3	0	2	1	1	0	0	10
Other	0	0	0	0	2	0	0	0	0	0	0	2
<b>Total</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>4</b>	<b>7</b>	<b>2</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>24</b>

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Misdemeanor												
Probation Revoked	0	0	1	1	0	1	3	0	0	2	2	10
Probation Terminated	1	0	1	1	0	1	0	0	2	0	2	8
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>4</b>	<b>18</b>

End of Month Cases												
Felony	67	57	56	64	48	56	64	13	52	50	57	584
Misdemeanor	21	34	27	24	34	34	18	6	32	38	25	293
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>88</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>82</b>	<b>90</b>	<b>82</b>	<b>19</b>	<b>84</b>	<b>88</b>	<b>82</b>	<b>877</b>
<b>B.C.S. Total</b>	<b>88</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>82</b>	<b>90</b>	<b>82</b>	<b>19</b>	<b>84</b>	<b>88</b>	<b>82</b>	<b>877</b>

Arraignments/Arrests												
Felony	1	0	2	6	2	2	6	0	4	0	2	25
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

End of Month Cases												
Felony	0	1	1	3	0	0	0	1	0	1	1	8
Misdemeanor	2	0	1	1	1	1	3	0	2	2	3	16
<b>Total</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>10</b>	<b>3</b>	<b>3</b>	<b>9</b>	<b>1</b>	<b>6</b>	<b>3</b>	<b>6</b>	<b>49</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	5	2	11	3	6	4	0	5	4	3	43
Maximum	5	1	2	3	2	3	3	1	5	7	5	37
Regular	50	44	30	35	42	21	42	16	29	35	43	387
Minimum	18	22	16	12	17	34	22	0	30	12	18	201
Bank	1	0	17	2	4	6	3	0	7	6	0	46
Admin. Bank	14	19	16	25	14	20	8	2	8	24	13	163
<b>TOTAL</b>	<b>88</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>82</b>	<b>90</b>	<b>82</b>	<b>19</b>	<b>84</b>	<b>88</b>	<b>82</b>	<b>877</b>
In Process	0	0	1	1	0	0	1	0	1	0	0	4
Max. Available	5	1	1	2	2	3	1	1	4	8	5	31
Max. Supv. to Standard	4	1	1	2	2	0	1	1	3	6	4	25
%Max Sup. to Standard	80	100	100	100	100	0	100	100	75	100	80	81

**Non-English Speaking**

Spanish	0	81	0	0	1	62	0	0	1	0	1	148
Vietnamese	0	0	2	3	4	0	3	0	5	2	3	22
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	1	0	1	0	2	0	2	9
<b>Total</b>	<b>3</b>	<b>81</b>	<b>2</b>	<b>3</b>	<b>6</b>	<b>62</b>	<b>4</b>	<b>0</b>	<b>8</b>	<b>2</b>	<b>6</b>	<b>177</b>

**CASE AUDITS**

ADULT PROBATION SERVICES  
PROGRAM TREND DATA  
CUMULATIVE THROUGH AUGUST 2000

RLP

REFERRALS	MISDE- MEANOR	FELONY	DRUNK DRIVER	WAIVE	DIVER- SION	NON- SUPP	TOTAL
INVESTIGATION	0	637	0	526	0	0	1163
SOUTH COUNTY	0	0	0	0	0	0	0
NORTH COUNTY	0	40	0	61	0	0	101
<b>TOTAL</b>	<b>0</b>	<b>677</b>	<b>0</b>	<b>587</b>	<b>0</b>	<b>0</b>	<b>1264</b>

PETITIONS	ARRAIGNMENT PETITIONS		HEARING PETITIONS		TOTAL
	FELONY	MISD	FELONY	MISD	
SOUTH COUNTY	53	3	5	22	83
NORTH COUNTY	40	0	12	37	89
SUPERVISION I	158	0	9	38	205
SUPERVISION II	90	0	39	47	176
SUPERVISION III	35	0	13	24	72
SUPERVISION IV	64	0	14	26	104
SUPERVISION V	53	0	14	21	88
SUPERVISION VI	119	0	3	15	137 INCLUDES 270'S
SUBSTANCE ABUSE	0	0	0	463	463
INTENSIVE SUP.	22	0	5	1	28
EMP	0	0	0	0	0
CAP	2	0	0	0	2
GANG	0	7	0	5	12
<b>TOTAL</b>	<b>686</b>	<b>10</b>	<b>114</b>	<b>699</b>	<b>1459</b>

	<u>Admits</u>	<u>Rel/Parole</u>	<u>Failures</u>	<u>Escapes</u>
WK FURLOUGH	182	146	15	1
WRC	34	35	2	0

ADULT PROBATION SERVICES  
PROGRAM TREND DATA  
AUGUST 2000

REFERRALS	MISDE- MEANOR	FELONY	DRUNK DRIVER	WAIVE	DIVER- SION	NON- SUPP	TOTAL
INVESTIGATION	0	339	0	309	0	0	648
SOUTH COUNTY	0	0	0	0	0	0	0
NORTH COUNTY	0	27	0	38	0	0	65
<b>TOTAL</b>	<b>0</b>	<b>366</b>	<b>0</b>	<b>347</b>	<b>0</b>	<b>0</b>	<b>713</b>

PETITIONS	ARRAIGNMENT PETITIONS		HEARING PETITIONS		TOTAL
	FELONY	MISD	FELONY	MISD	
SOUTH COUNTY	37	3	5	14	59
NORTH COUNTY	27	0	5	20	52
SUPERVISION I	84	0	6	22	112
SUPERVISION II	43	0	20	22	85
SUPERVISION III	25	0	8	16	49
SUPERVISION IV	36	0	6	14	56
SUPERVISION V	29	0	9	9	47
SUPERVISION VI	79	0	1	6	86 INCLUDES 270'S
SUBSTANCE ABUSE	0	0	0	259	259
INTENSIVE SUP.	15	0	3	0	18
EMP	0	0	0	0	0
CAP	1	0	0	0	1
GANG	0	0	0	0	0
<b>TOTAL</b>	<b>376</b>	<b>3</b>	<b>63</b>	<b>382</b>	<b>824</b>

	<u>Admits</u>	<u>Rel/Parole</u>	<u>Failures</u>	<u>Escapes</u>	<u>ADP</u>
WK FURLOUGH	94	70	4	0	223
WRC	14	20	0	0	53

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

Management Information Report

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: September 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 284

Felonies 589

Arraignment Petitions:

Superior: 17

Hearing Petitions:

Superior: 6

Municipal: 19

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Non English Speaking Clients:

Spanish: 144

Vietnamese: 23

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 10

Total: 177

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 85.3

Caseload average does not include caseload of PO at FVC  
1 DPO at Family Violence Center

Performance Measures:

Case audits: 43

New cases from court: 26

Transfers from other units: 13

FVC DPO: Screened 154 police reports (52 domestic violence, 39 threat management, 63 child abuse) for probation information and forwarded appropriate reports. Attended 3 Clothesline Project Display meetings; San Jose Mayor's DV Task Force Committee Meeting; Victim/Survivor Advocacy Committee meeting; monthly Juvenile Domestic and Family Violence Court meeting; Police/Victim Relations Committee meeting. Presented APD DV Protocols at Next Door new hire training.

SPO attended Victim/Survivor Advocacy Committee meeting; Juvenile Domestic and Family Violence Taskforce meeting; Court Systems Committee meeting; Mountain View Police Department Town Meeting to discuss response to DV cases. Gave two-hour presentation on department DV Protocols to recently-hired DPO's for New DPO Orientation.

Other activities: Some members of the DV Unit and the Intensive Supervision Unit as well as both SPO's participated in a special search operation which took place on September 14, 2000. DPO Cheryl Rivera and DPO Paul LePak attended the Bay Area DV Roundtable meeting in Contra Costa County. DPO Tim Clements attended the APD Officer Safety Committee meeting while working out of class.

AS

Summary of Cases for: **Supervision 3**  
 David H. Perez, SPO Month September Year: 2000

Beginning Cases	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Felony	67	57	56	64	48	56	64	13	52	50	57	584
Misdemeanor	21	34	27	24	34	34	18	6	32	38	25	293
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>88</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>82</b>	<b>90</b>	<b>82</b>	<b>19</b>	<b>84</b>	<b>88</b>	<b>82</b>	<b>877</b>
<b>BCS Total</b>	<b>88</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>82</b>	<b>90</b>	<b>82</b>	<b>19</b>	<b>84</b>	<b>88</b>	<b>82</b>	<b>877</b>

Case Reinstated	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
From Court	0	4	2	0	3	3	1	1	3	0	3	20
Reinstated	0	0	0	0	0	0	1	0	0	0	0	1
Other	0	0	1	0	2	1	1	0	1	0	2	8
<b>Total</b>	<b>0</b>	<b>4</b>	<b>3</b>	<b>0</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>0</b>	<b>5</b>	<b>29</b>

Misdemeanor	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
From Court	0	0	1	0	1	0	2	0	1	0	1	6
Reinstated	1	0	1	0	1	0	1	0	0	0	0	4
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>10</b>

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Probation Revoked	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Probation Revoked	2	0	3	2	0	1	2	0	1	1	4	16
Probation Terminated	0	0	1	0	2	0	0	0	0	0	0	3
Other	0	4	0	0	0	0	0	0	0	1	0	5
<b>Total</b>	<b>2</b>	<b>4</b>	<b>4</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>24</b>

Misdemeanor	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Probation Revoked	0	1	3	0	1	2	0	0	1	0	2	10
Probation Terminated	2	2	0	0	1	0	0	0	4	0	0	9
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>19</b>

End of Month Cases	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Felony	65	57	55	62	51	59	65	14	55	48	58	589
Misdemeanor	20	31	26	24	34	32	21	6	28	38	24	284
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>85</b>	<b>88</b>	<b>81</b>	<b>86</b>	<b>85</b>	<b>91</b>	<b>86</b>	<b>20</b>	<b>83</b>	<b>86</b>	<b>82</b>	<b>873</b>
<b>B.C.S. Total</b>	<b>85</b>	<b>88</b>	<b>81</b>	<b>86</b>	<b>85</b>	<b>91</b>	<b>86</b>	<b>20</b>	<b>83</b>	<b>86</b>	<b>82</b>	<b>873</b>

Arrested Persons	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Felony	2	0	1	1	0	0	4	1	1	3	4	17
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

Hearings Returned	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
Felony	0	1	1	1	0	0	1	0	0	0	2	6
Misdemeanor	1	3	3	0	1	4	1	0	0	2	4	19
<b>Total</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>6</b>	<b>1</b>	<b>1</b>	<b>5</b>	<b>10</b>	<b>42</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	3	1	4	2	0	1	1	6	5	2	25
Maximum	6	1	2	4	3	4	3	1	7	7	5	43
Regular	45	43	36	39	37	17	41	15	25	38	36	372
Minimum	18	22	12	13	18	37	25	0	30	15	24	214
Bank	1	0	18	2	7	9	1	0	7	6	1	52
Admin. Bank	15	19	12	24	18	24	15	3	8	15	14	167
<b>TOTAL</b>	<b>85</b>	<b>88</b>	<b>81</b>	<b>86</b>	<b>85</b>	<b>91</b>	<b>86</b>	<b>20</b>	<b>83</b>	<b>86</b>	<b>82</b>	<b>873</b>

In Process	1	0	0	0	0	0	0	0	2	1	1	5
Max. Available	6	1	2	4	3	4	3	1	4	6	4	38
Max. Supv. to Standard	6	1	2	3	2	3	2	1	2	6	4	32
%Max Sup. to Standard	100	100	100	75	67	75	67	100	50	100	100	84

**Non-Eng'ish Speaking**

Spanish	0	79	0	0	1	62	0	0	1	0	1	144
Vietnamese	0	0	1	3	4	0	4	0	6	2	3	23
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	2	0	1	0	2	0	2	10
<b>Total</b>	<b>3</b>	<b>79</b>	<b>1</b>	<b>3</b>	<b>7</b>	<b>62</b>	<b>5</b>	<b>0</b>	<b>9</b>	<b>2</b>	<b>6</b>	<b>177</b>

<b>CASE AUDITS</b>	<b>7</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>4</b>	<b>3</b>	<b>1</b>	<b>6</b>	<b>7</b>	<b>5</b>	<b>43</b>
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ADULT PROBATION SERVICES  
PROGRAM TREND DATA  
CUMULATIVE THROUGH SEPTEMBER 2000

RLP

REFERRALS	MISDE- MEANOR	FELONY	DRUNK DRIVER	WAIVE	DIVER- SION	NON- SUPP	TOTAL
INVESTIGATION	0	986	0	913	0	0	1899
SOUTH COUNTY	0	0	0	0	0	0	0
NORTH COUNTY	0	59	0	80	0	0	139
<b>TOTAL</b>	<b>0</b>	<b>1045</b>	<b>0</b>	<b>993</b>	<b>0</b>	<b>0</b>	<b>2038</b>

PETITIONS	ARRAIGNMENT PETITIONS		HEARING PETITIONS		TOTAL
	FELONY	MISD	FELONY	MISD	
SOUTH COUNTY	73	3	9	42	127
NORTH COUNTY	60	0	18	64	142
SUPERVISION I	242	0	15	54	311
SUPERVISION II	146	0	56	74	276
SUPERVISION III	52	0	19	43	114
SUPERVISION IV	93	0	20	38	151
SUPERVISION V	81	0	16	39	136
SUPERVISION VI	154	0	3	19	176 INCLUDES 270'S
SUBSTANCE ABUSE	0	0	0	706	706
INTENSIVE SUP.	29	0	8	2	39
EMP	0	0	0	0	0
CAP	2	0	0	0	2
GANG	0	7	0	5	12
<b>TOTAL</b>	<b>932</b>	<b>10</b>	<b>164</b>	<b>1086</b>	<b>2192</b>

	<u>Admits</u>	<u>Rel/Parole</u>	<u>Failures</u>	<u>Escapes</u>
WK FURLOUGH	182	146	15	1
WRC	34	35	2	0

ADULT PROBATION SERVICES  
PROGRAM TREND DATA  
SEPTEMBER 2000

REFERRALS	MISDE- MEANOR	FELONY	DRUNK DRIVER	WAIVE	DIVER- SION	NON- SUPP.	TOTAL
INVESTIGATION	0	349	0	387	0	0	736
SOUTH COUNTY	0	0	0	0	0	0	0
NORTH COUNTY	0	19	0	19	0	0	38
<b>TOTAL</b>	<b>0</b>	<b>368</b>	<b>0</b>	<b>406</b>	<b>0</b>	<b>0</b>	<b>774</b>

PETITIONS	ARRAIGNMENT PETITIONS		HEARING PETITIONS		TOTAL
	FELONY	MISD	FELONY	MISD	
SOUTH COUNTY	20	0	4	20	44
NORTH COUNTY	20	0	6	27	53
SUPERVISION I	84	0	6	16	106
SUPERVISION II	56	0	17	27	100
SUPERVISION III	17	0	6	19	42
SUPERVISION IV	29	0	6	12	47
SUPERVISION V	28	0	2	18	48
SUPERVISION VI	35	0	0	4	39
SUBSTANCE ABUSE	0	0	0	243	243
INTENSIVE SUP.	7	0	3	1	11
EMP	0	0	0	0	0
CAP	0	0	0	0	0
GANG	0	0	0	0	0
<b>TOTAL</b>	<b>296</b>	<b>0</b>	<b>50</b>	<b>387</b>	<b>733</b>

INCLUDES 270'S

	Admits	Rel/Parole	Failures	Escapes	ADP
WK FURLOUGH	81	71	2	1	232
WRC	22	15	3	0	51

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

Management Information Report

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: October 2000

Supervision Caseloads (includes Courtesy Supervision)

Misdemeanors 280

Felonies 593

Arraignment Petitions:

Superior: 17

Hearing Petitions:

Superior: 8

Municipal: 30 - M

} 25 F

Non English Speaking Clients:

Spanish: 149

Vietnamese: 24

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 10

Total: 183

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 85.5

Caseload average does not include caseload of PO at FVC

1 DPO at Family Violence Center

Performance Measures:

Case audits: 2

New cases from court: 17

Transfers from other units: 9

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: October 2000

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors 280**

**Felonies 593**

Arraignment Petitions:

Superior: 17

*10/00*

Hearing Petitions:

Superior: 8

Municipal: 30

Non English Speaking Clients:

Spanish: 149

Vietnamese: 24

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 10

**Total: 183**

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 85.5

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 2      New cases from court: 17      Transfers from other units: 9

AS

Summary of Cases for:

SUPERVISION III

David H. Perez, SPO

Month October

Year: 2000

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInam	Rivera	Thomas	Trujillo	Total
<b>Beginning of Month</b>												
Felony	65	57	55	62	51	59	65	14	55	48	58	589
Misdemeanor	20	31	26	24	34	32	21	6	28	38	24	284
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>85</b>	<b>88</b>	<b>81</b>	<b>86</b>	<b>85</b>	<b>91</b>	<b>86</b>	<b>20</b>	<b>83</b>	<b>86</b>	<b>82</b>	<b>873</b>
<b>BCS Total</b>	<b>85</b>	<b>88</b>	<b>81</b>	<b>86</b>	<b>85</b>	<b>91</b>	<b>86</b>	<b>20</b>	<b>83</b>	<b>86</b>	<b>82</b>	<b>873</b>

<b>From Court</b>	1	2	1	1	1	2	0	0	1	0	2	11
<b>Reinstated</b>	0	0	0	0	0	1	0	0	0	0	0	1
<b>Other</b>	0	0	3	1	0	0	1	0	0	1	0	6
<b>Total</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>18</b>

<b>From Court</b>	0	1	4	0	0	0	0	0	1	0	0	6
<b>Reinstated</b>	0	0	0	1	0	2	0	0	0	0	0	3
<b>Other</b>	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>9</b>

27

<b>Probation Revoked</b>	2	1	1	1	0	0	0	1	0	0	0	6
<b>Probation Terminated</b>	0	0	0	1	0	0	1	0	1	0	0	3
<b>Other</b>	0	0	0	0	1	1	0	1	2	0	0	5
<b>Total</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>14</b>

<b>Probation Revoked</b>	2	0	0	0	0	0	0	0	0	0	1	3
<b>Probation Terminated</b>	0	1	0	0	1	1	0	0	1	0	0	4
<b>Other</b>	0	1	0	0	2	0	0	0	1	0	2	6
<b>Total</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>13</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalez	LePak	McInam	Rivera	Thomas	Trujillo	Total
<b>End of Month</b>												
Felony	64	58	58	62	51	61	65	12	53	49	60	593
Misdemeanor	18	30	30	25	31	33	21	6	27	38	21	280
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>82</b>	<b>88</b>	<b>88</b>	<b>87</b>	<b>82</b>	<b>94</b>	<b>86</b>	<b>18</b>	<b>80</b>	<b>87</b>	<b>81</b>	<b>873</b>
<b>B.C.S. Total</b>	<b>82</b>	<b>88</b>	<b>88</b>	<b>87</b>	<b>82</b>	<b>94</b>	<b>86</b>	<b>18</b>	<b>80</b>	<b>87</b>	<b>81</b>	<b>873</b>

<b>Arrested</b>	2	3	2	1	1	3	2	0	2	1	0	17
<b>Misdemeanor</b>	0	0	0	0	0	0	0	0	0	0	0	0

<b>Arrested</b>	0	0	0	0	0	0	3	0	1	2	2	8
<b>Misdemeanor</b>	3	6	5	3	2	1	1	0	3	2	4	30
<b>Total</b>	<b>5</b>	<b>9</b>	<b>7</b>	<b>4</b>	<b>3</b>	<b>4</b>	<b>6</b>	<b>0</b>	<b>6</b>	<b>5</b>	<b>6</b>	<b>55</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	1	3	2	1	1	1	0	2	4	0	15
Maximum	7	1	3	3	3	5	4	0	3	6	5	40
Regular	48	42	29	43	38	16	44	16	27	40	46	389
Minimum	16	24	19	13	18	41	24	0	28	17	20	220
Bank	1	0	18	3	9	11	0	0	8	6	1	57
Admin. Bank	10	20	16	23	13	20	13	2	12	14	9	152
<b>TOTAL</b>	<b>82</b>	<b>88</b>	<b>88</b>	<b>87</b>	<b>82</b>	<b>94</b>	<b>86</b>	<b>18</b>	<b>80</b>	<b>87</b>	<b>81</b>	<b>873</b>
In Process	1	0	0	1	0	1	0	0	0	1	0	4
Max. Available	7	1	3	1	3	4	4	0	3	5	5	36
Max. Supv. to Standard	6	1	3	1	3	4	4	0	3	5	5	35
%Max Sup. to Standard	86	100	100	100	100	100	100	#DIV/0!	100	100	100	97

**Non-English Speaking**

Spanish	0	79	0	0	1	67	0	0	1	0	1	149
Vietnamese	1	0	1	3	4	0	4	0	6	2	3	24
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	2	0	1	0	2	0	2	10
<b>Total</b>	<b>4</b>	<b>79</b>	<b>1</b>	<b>3</b>	<b>7</b>	<b>67</b>	<b>5</b>	<b>0</b>	<b>9</b>	<b>2</b>	<b>6</b>	<b>183</b>

CASE AUDITS

2

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: December 2000

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors**            **272**

**Felonies**                    **609**

Arraignment Petitions:

Superior:                    21

Hearing Petitions:

Superior:                    5

Municipal:                   7

Non English Speaking Clients:

Spanish:                    143

Vietnamese:                23

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other:                        9

**Total:**                    **175**

**Number of Deputies with Specialized Caseloads: 11**

**Caseload Average: 86.1**

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 8

New cases from court: 18

Transfers from other units: 7

AS

Summary of Cases for:  
David H. Perez, SPO

Supervision III  
Month November

Year: 2000

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	64	58	58	62	51	61	65	12	53	49	60	593
Misdemeanor	18	30	30	25	31	33	21	6	27	38	21	280
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>82</b>	<b>88</b>	<b>88</b>	<b>87</b>	<b>82</b>	<b>94</b>	<b>86</b>	<b>18</b>	<b>80</b>	<b>87</b>	<b>81</b>	<b>873</b>
<b>BCS Total</b>	<b>82</b>	<b>88</b>	<b>88</b>	<b>87</b>	<b>82</b>	<b>94</b>	<b>86</b>	<b>18</b>	<b>80</b>	<b>87</b>	<b>81</b>	<b>873</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
From Court	5	2	1	0	0	0	2	3	3	1	3	20
Reinstated	1	1	0	0	0	0	0	0	0	1	0	3
Other	1	0	0	1	0	0	0	0	4	0	2	8
<b>Total</b>	<b>7</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>3</b>	<b>7</b>	<b>2</b>	<b>5</b>	<b>31</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
From Court	1	0	1	0	1	0	0	0	0	1	4	8
Reinstated	2	2	0	0	1	0	0	0	1	1	0	7
Other	1	0	0	0	0	0	0	0	3	0	2	6
<b>Total</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>2</b>	<b>6</b>	<b>21</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Probation Revoked	1	1	1	1	0	3	0	0	3	2	5	17
Probation Terminated	0	1	0	1	0	0	0	0	0	0	0	2
Other	0	1	2	0	0	0	0	1	0	2	0	6
<b>Total</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>25</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Probation Revoked	0	2	1	1	1	2	0	0	2	1	1	11
Probation Terminated	1	0	0	1	0	2	0	0	2	0	1	7
Other	0	0	3	0	0	1	1	0	0	1	0	6
<b>Total</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>2</b>	<b>1</b>	<b>5</b>	<b>1</b>	<b>0</b>	<b>4</b>	<b>2</b>	<b>2</b>	<b>24</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	70	58	56	61	51	58	67	14	57	47	60	599
Misdemeanor	21	30	27	23	32	28	20	6	27	38	25	277
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>91</b>	<b>88</b>	<b>83</b>	<b>84</b>	<b>83</b>	<b>86</b>	<b>87</b>	<b>20</b>	<b>84</b>	<b>85</b>	<b>85</b>	<b>876</b>
<b>B.C.S. Total</b>	<b>91</b>	<b>88</b>	<b>83</b>	<b>84</b>	<b>83</b>	<b>86</b>	<b>87</b>	<b>20</b>	<b>84</b>	<b>85</b>	<b>85</b>	<b>876</b>

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	2	3	0	2	0	1	0	1	2	5	2	18
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

	Abbott	Chavez	Clement	Favorito	Flaherty	Gonzalo	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	0	0	0	1	0	1	0	0	0	0	1	3
Misdemeanor	1	0	2	0	1	1	1	0	2	3	1	12
<b>Total</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>1</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>4</b>	<b>8</b>	<b>4</b>	<b>33</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	10	1	22	11	17	9	6	0	24	13	0	113
Maximum	3	1	0	0	2	2	1	1	0	3	0	13
Regular	15	13	32	18	10	22	25	38	1	11	0	185
Minimum	60	60	72	69	92	86	62	53	78	88	0	720
Bank	28	18	26	17	16	14	10	26	31	27	0	213
Admin. Bank	19	35	36	27	47	38	23	50	34	21	0	330
<b>TOTAL</b>	<b>135</b>	<b>128</b>	<b>188</b>	<b>142</b>	<b>184</b>	<b>171</b>	<b>127</b>	<b>168</b>	<b>168</b>	<b>163</b>	<b>0</b>	<b>1574</b>

In Process	0	0	0	0	0	0	0	0	0	0	0	0
Max. Available	3	1	0	0	2	0	1	1	0	3	0	11
Max. Supv. to Standard	3	1	0	0	2	0	1	1	0	3	0	11
%Max Sup. to Standard	100	100	#DIV/0!	#DIV/0!	100	#DIV/0!	100	100	#DIV/0!	100	#DIV/0!	100

**Non-English Speaking**

Spanish	1	0	0	2	2	1	1	5	4	3	0	19
Vietnamese	0	0	2	0	0	1	1	0	0	0	0	4
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0	2	0	2
<b>Total</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>5</b>	<b>4</b>	<b>5</b>	<b>0</b>	<b>25</b>

**CASE AUDITS**

28

AS

Summary of Cases for: **Supervision 3**  
**David H. Perez, SPO** Month December Year: 2000

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LaPak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	70	51	58	61	56	58	67	14	57	47	60	599
Misdemeanor	21	32	30	23	27	28	20	6	27	38	25	277
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>84</b>	<b>83</b>	<b>86</b>	<b>87</b>	<b>20</b>	<b>84</b>	<b>85</b>	<b>85</b>	<b>876</b>
<b>BCS Total</b>	<b>91</b>	<b>83</b>	<b>88</b>	<b>84</b>	<b>83</b>	<b>86</b>	<b>87</b>	<b>20</b>	<b>84</b>	<b>85</b>	<b>85</b>	<b>876</b>

From Court	0	2	0	1	2	3	0	0	2	5	1	16
Reinstated	0	0	1	0	0	0	0	0	0	0	0	1
Other	2	0	0	1	2	0	2	0	1	1	0	9
<b>Total</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>6</b>	<b>1</b>	<b>26</b>

From Court	0	0	0	1	0	1	0	0	0	0	0	2
Reinstated	0	0	0	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>

Probation Revoked	0	2	1	0	0	0	1	0	3	1	0	8
Probation Terminated	0	1	0	0	1	0	2	0	2	0	0	6
Other	0	0	2	0	0	0	0	0	0	0	0	2
<b>Total</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>5</b>	<b>1</b>	<b>0</b>	<b>16</b>

Probation Revoked	0	0	1	0	0	0	2	0	0	0	0	3
Probation Terminated	1	0	0	0	1	0	0	0	0	0	0	2
Other	0	0	2	0	0	0	0	0	0	0	0	2
<b>Total</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>7</b>

Felony	72	50	56	63	59	61	66	14	55	52	61	609
Misdemeanor	20	32	27	24	26	29	18	6	27	38	25	272
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>92</b>	<b>82</b>	<b>83</b>	<b>87</b>	<b>85</b>	<b>90</b>	<b>84</b>	<b>20</b>	<b>82</b>	<b>90</b>	<b>86</b>	<b>881</b>
<b>B.C.S. Total</b>	<b>92</b>	<b>82</b>	<b>83</b>	<b>87</b>	<b>85</b>	<b>90</b>	<b>84</b>	<b>20</b>	<b>82</b>	<b>90</b>	<b>86</b>	<b>881</b>

Felony	3	4	2	2	2	1	0	1	2	1	3	21
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

Felony	0	0	2	1	0	1	0	0	0	1	0	5
Misdemeanor	2	0	2	0	2	0	0	0	1	0	0	7
<b>Total</b>	<b>5</b>	<b>4</b>	<b>6</b>	<b>3</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>3</b>	<b>33</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	2	0	2	2	3	3	2	2	5	1	22
Maximum	6	2	2	2	2	1	5	0	2	3	4	29
Regular	54	36	45	51	31	17	37	15	27	59	57	429
Minimum	15	22	20	12	25	39	26	0	33	7	11	210
Bank	2	10	1	2	10	11	2	0	6	3	1	48
Admin. Bank	15	10	15	18	15	19	11	3	12	13	12	143
<b>TOTAL</b>	<b>92</b>	<b>82</b>	<b>83</b>	<b>87</b>	<b>85</b>	<b>90</b>	<b>84</b>	<b>20</b>	<b>82</b>	<b>90</b>	<b>86</b>	<b>881</b>
In Process	0	0	0	0	0	0	1	0	1	0	0	2
Max. Available	6	2	2	2	2	1	4	0	1	3	4	27
Max. Supv. to Standard	6	2	2	2	2	1	3	0	1	3	4	26
%Max St. p. to Standard	100	100	100	100	100	100	75 #DIV/0!		100	100	100	96
<b>Non-English Speaking</b>												
Spanish	0	1	73	0	0	66	0	0	1	0	2	143
Vietnamese	1	4	0	3	1	0	3	0	6	2	3	23
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	2	0	0	0	0	1	0	1	0	2	9
<b>Total</b>	<b>4</b>	<b>7</b>	<b>73</b>	<b>3</b>	<b>1</b>	<b>66</b>	<b>4</b>	<b>0</b>	<b>8</b>	<b>2</b>	<b>7</b>	<b>175</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: December 2001

## Supervision Caseloads (includes Courtesy Supervision)

<b>Misdemeanors</b>	<b>262</b>
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<b>Felonies</b>	<b>623</b>
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## Arrestment Petitions:

Superior:	20
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## Hearing Petitions:

Superior:	3
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Municipal:	25
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## Non English Speaking Clients:

Spanish:	137
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Vietnamese:	22
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Korean:	
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Hearing Impaired:	
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Iranian:	
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Portugese:	
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Cambodian:	
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Other:	8
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<b>Total:</b>	<b>167</b>
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Number of Deputies with Specialized Caseloads: 11

Caseload Average: 86.6

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 37      New cases from court: 25      Transfers from other units: 18

FVC DPO: Screened 298 police reports (42 domestic violence, 59 threat management, 197 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Family Court Systems; Santa Clara County Domestic Violence Council; DV Victim/Survivor Advocacy; Death Review Committee; Juvenile DV Taskforce; Police/Victim Relations; Santa Clara County Child Abuse Review Team. Also attended second and final Law Enforcement Protocol Meetings. Provided FVC/DV Probation training at Dept. of Family and Children's Services, DV Unit.

SPO attended the following meetings: Victim/Survivor Advocacy Committee meeting; Juvenile Domestic and Family Violence Taskforce meeting; Batterers Program Providers Meeting; Law Enforcement Protocol meeting; Court Systems Committee meeting; Batterers Intervention Committee Meeting; Greenbook Subcommittee meeting; Police/Victim Relations Committee meeting; Worksite Safety Subcommittee meeting; Supervision Restructuring Committee meeting. SPO also provided evening training on Probation DV Protocols for Community Solutions volunteers at St. Louise Hospital in Gilroy.

Several members of the Domestic Violence Unit participated in a special urine testing field operation, post Superbowl.

Summary of Cases for:

Supervision III

David H. Perez, SPO

Month January

Year: 2001

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInerny	Rivera	Thomas	Trujillo	Total
Felony	72	50	56	63	59	61	66	14	55	52	61	609
Misdemeanor	20	32	27	24	26	29	18	6	27	38	25	272
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>92</b>	<b>82</b>	<b>83</b>	<b>87</b>	<b>85</b>	<b>90</b>	<b>84</b>	<b>20</b>	<b>82</b>	<b>90</b>	<b>86</b>	<b>881</b>
<b>BCS Total</b>	<b>92</b>	<b>82</b>	<b>83</b>	<b>87</b>	<b>85</b>	<b>90</b>	<b>84</b>	<b>20</b>	<b>82</b>	<b>90</b>	<b>86</b>	<b>881</b>

From Court	2	1	5	1	0	1	3	0	3	2	1	19
Reinstated	0	1	1	0	1	0	0	0	0	1	1	5
Other	2	4	0	2	4	5	3	0	2	0	2	24
<b>Total</b>	<b>4</b>	<b>6</b>	<b>6</b>	<b>3</b>	<b>5</b>	<b>6</b>	<b>6</b>	<b>0</b>	<b>5</b>	<b>3</b>	<b>4</b>	<b>48</b>

From Court	2	0	0	1	1	1	3	0	2	0	0	10
Reinstated	0	0	0	1	0	0	0	0	0	0	0	1
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>11</b>

Probation Revoked	2	2	6	5	1	1	3	1	1	0	3	25
Probation Terminated	1	0	1	2	1	0	1	0	0	0	0	6
Other	0	0	2	0	0	0	0	0	0	1	0	3
<b>Total</b>	<b>3</b>	<b>2</b>	<b>9</b>	<b>7</b>	<b>2</b>	<b>1</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>34</b>

Probation Revoked	0	0	4	2	2	2	2	0	1	1	1	15
Probation Terminated	0	1	1	0	2	1	0	0	0	0	1	6
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>1</b>	<b>5</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>21</b>

Felony	73	54	53	59	62	66	68	13	59	54	62	623
Misdemeanor	22	31	22	24	23	27	19	6	28	37	23	262
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>95</b>	<b>85</b>	<b>75</b>	<b>83</b>	<b>85</b>	<b>93</b>	<b>87</b>	<b>19</b>	<b>87</b>	<b>91</b>	<b>85</b>	<b>885</b>
<b>B.C.S. Total</b>	<b>95</b>	<b>85</b>	<b>75</b>	<b>83</b>	<b>85</b>	<b>93</b>	<b>87</b>	<b>19</b>	<b>87</b>	<b>91</b>	<b>85</b>	<b>885</b>

Felony	0	2	3	7	0	0	2	1	3	1	1	20
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

Felony	0	0	0	0	0	0	0	0	0	2	1	3
Misdemeanor	2	0	2	5	2	2	5	1	3	1	2	25
<b>Total</b>	<b>2</b>	<b>2</b>	<b>5</b>	<b>12</b>	<b>2</b>	<b>2</b>	<b>7</b>	<b>2</b>	<b>6</b>	<b>4</b>	<b>4</b>	<b>48</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	1	1	1	4	2	3	7	0	4	3	1	27
Maximum	6	2	2	2	3	1	5	3	1	5	5	35
Regular	53	37	44	50	29	20	33	14	26	60	50	416
Minimum	14	25	16	10	23	40	24	0	29	7	11	199
Bank	2	9	0	1	15	11	2	0	7	3	1	51
Admin. Bank	19	11	12	16	13	18	16	2	20	13	17	157
<b>TOTAL</b>	<b>95</b>	<b>85</b>	<b>75</b>	<b>83</b>	<b>85</b>	<b>93</b>	<b>87</b>	<b>19</b>	<b>87</b>	<b>91</b>	<b>85</b>	<b>885</b>
In Process	0	0	0	0	0	0	0	0	0	3	0	3
Max. Available	6	2	2	2	3	1	5	3	1	2	5	32
Max. Supv. to Standard	6	2	2	2	3	1	5	2	1	2	5	31
%Max Sup. to Standard	100	100	100	100	100	100	100	67	100	100	100	97

**Non-English Speaking**

Spanish	0	2	63	0	1	68	0	0	1	0	2	137
Vietnamese	1	4	0	3	1	0	2	0	6	2	3	22
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	1	0	0	0	0	1	0	1	0	2	8
<b>Total</b>	<b>4</b>	<b>7</b>	<b>63</b>	<b>3</b>	<b>2</b>	<b>68</b>	<b>3</b>	<b>0</b>	<b>8</b>	<b>2</b>	<b>7</b>	<b>167</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: February 2001

## Supervision Caseloads (includes Courtesy Supervision)

<b>Misdemeanors</b>	<b>233</b>
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<b>Felonies</b>	<b>654</b>
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## Arrestment Petitions:

Superior:	25
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## Hearing Petitions:

Superior:	6
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Municipal:	26
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## Non English Speaking Clients:

Spanish:	141
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Vietnamese:	17
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Korean:	
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Hearing Impaired:	
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Iranian:	
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Portugese:	
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Cambodian:	
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Other:	9
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<b>Total:</b>	<b>167</b>
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Number of Deputies with Specialized Caseloads: 11

Caseload Average: 86.7

Caseload average does not include caseload of PO at FVC

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 5

New cases from court: 30

Transfers from other units: 14

FVC DPO: Screened 337 police reports (55 domestic violence, 69 threat management, 213 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Santa Clara County Domestic Violence Council, Family Court Systems, Batterers Intervention Committee, Police/Victim Relations Committee. Chaired bi-monthly AWARE/DV Cell Phone meeting. Completed DV Investigators Course (POST Cert) in San Diego.

SPO attended the following meetings: Santa Clara County Domestic Violence Council, AWARE Committee, Victim/Survivor Advocacy Committee, Death Review Committee, Batterers Intervention Committee, Court Systems Committee. Conducted training session in departmental Domestic Violence Protocols for Drug Treatment Court Unit. Also continued process of revising the department's DV Supervision Standards and asked for feedback from other SPO's.

DPO Cheryl Rivera had a total of 2 initial collaborative telephone contacts and 11 ongoing collaborative telephone contacts with DSS workers who share cases in common with probation.

On February 27, 2001, Supervision Unit 3 had 100% participation in a unit field operation in which a number of field contacts were conducted, one of which resulted in an arrest.

Summary of Cases for: **Supervision 3**  
**David H. Perez, SPO** **Month February** **Year: 2001**

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Beginning Cases</b>												
Felony	73	54	53	59	62	66	68	13	59	54	62	623
Misdemeanor	22	31	22	24	23	27	19	6	28	37	23	262
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>95</b>	<b>85</b>	<b>75</b>	<b>83</b>	<b>85</b>	<b>93</b>	<b>87</b>	<b>19</b>	<b>87</b>	<b>91</b>	<b>85</b>	<b>885</b>
<b>BCS Total</b>	<b>95</b>	<b>85</b>	<b>75</b>	<b>83</b>	<b>85</b>	<b>93</b>	<b>87</b>	<b>19</b>	<b>87</b>	<b>91</b>	<b>85</b>	<b>885</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Cases Received</b>												
From Court	0	3	1	5	5	2	4	1	2	0	4	27
Reinstated	0	0	1	1	0	2	1	0	2	1	2	10
Other	0	6	1	7	0	0	0	0	3	0	2	19
<b>Total</b>	<b>0</b>	<b>9</b>	<b>3</b>	<b>13</b>	<b>5</b>	<b>4</b>	<b>5</b>	<b>1</b>	<b>7</b>	<b>1</b>	<b>8</b>	<b>56</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Misdemeanor</b>												
From Court	0	1	0	0	1	1	0	0	0	0	0	3
Reinstated	0	2	0	2	0	1	1	0	1	0	1	8
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>11</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Cases Received</b>												
Probation Revoked	2	2	1	6	1	2	0	0	4	0	4	22
Probation Terminated	1	0	0	0	0	0	0	0	1	1	0	3
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>6</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>1</b>	<b>4</b>	<b>25</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Misdemeanor</b>												
Probation Revoked	1	7	1	2	1	3	0	0	3	3	3	24
Probation Terminated	0	1	1	2	0	1	2	0	1	0	1	9
Other	1	0	0	0	1	3	1	0	0	1	0	7
<b>Total</b>	<b>2</b>	<b>8</b>	<b>2</b>	<b>4</b>	<b>2</b>	<b>7</b>	<b>3</b>	<b>0</b>	<b>4</b>	<b>4</b>	<b>4</b>	<b>40</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>End of Month</b>												
Felony	70	61	55	66	66	68	73	14	61	54	66	654
Misdemeanor	20	26	20	22	22	22	17	6	25	33	20	233
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>90</b>	<b>87</b>	<b>75</b>	<b>88</b>	<b>88</b>	<b>90</b>	<b>90</b>	<b>20</b>	<b>86</b>	<b>87</b>	<b>86</b>	<b>887</b>
<b>B.C.S. Total</b>	<b>90</b>	<b>87</b>	<b>75</b>	<b>88</b>	<b>88</b>	<b>90</b>	<b>90</b>	<b>20</b>	<b>86</b>	<b>87</b>	<b>86</b>	<b>887</b>

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Arrests/Conditions</b>												
Felony	6	3	0	3	2	3	0	0	2	3	3	25
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInem	Rivera	Thomas	Trujillo	Total
<b>Ending Cases</b>												
Felony	1	1	0	0	0	0	2	0	0	0	2	6
Misdemeanor	0	7	2	0	3	2	2	0	2	4	4	26
<b>Total</b>	<b>7</b>	<b>11</b>	<b>2</b>	<b>3</b>	<b>5</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>4</b>	<b>7</b>	<b>9</b>	<b>57</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	1	2	2	8	6	5	4	0	1	1	4	34
Maximum	5	3	2	1	4	1	5	2	4	4	5	36
Regular	51	37	45	54	29	18	33	14	28	61	48	418
Minimum	11	23	15	10	22	36	28	1	28	6	13	193
Bank	2	10	0	0	14	11	3	0	7	2	1	50
Admin. Bank	20	12	11	15	13	19	17	3	18	13	15	156
<b>TOTAL</b>	<b>90</b>	<b>87</b>	<b>75</b>	<b>88</b>	<b>88</b>	<b>90</b>	<b>90</b>	<b>20</b>	<b>86</b>	<b>87</b>	<b>86</b>	<b>887</b>
In Process	0	0	0	0	1	0	1	0	1	2	0	5
Max. Available	5	3	2	1	3	1	4	2	3	2	5	31
Max. Supv. to Standard	4	3	0	1	3	1	4	2	3	1	5	27
%Max Sup. to Standard	80	100	0	100	100	100	100	100	100	50	100	87

**Non-English Speaking**

Spanish	0	2	62	0	2	71	0	0	1	0	3	141
Vietnamese	1	5	0	3	1	0	2	0	0	2	3	17
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	1	0	0	0	0	1	0	2	0	2	9
<b>Total</b>	<b>4</b>	<b>8</b>	<b>62</b>	<b>3</b>	<b>3</b>	<b>71</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>8</b>	<b>167</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: March 2001

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors**            215

**Felonies**                    652

Arraignment Petitions:

Superior:                    14

Hearing Petitions:

Superior:                    4

Municipal:                  30

Non English Speaking Clients:

Spanish:                    136

Vietnamese:                22

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other:                        9

**Total:**                    167

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 84.6

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 33      New cases from court: 20      Transfers from other units: 0

FVC DPO: Screened 403 police reports (61 domestic violence, 38 threat management, 304 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Santa Clara County Domestic Violence Council, Police/Victim Relations Committee, Victim/Survivor Advocacy Committee, Juvenile DV Taskforce, San Jose Mayor's DV Taskforce, SCCCART meeting at DFCS. Met with Sprint PSC regarding DV Cell Phones at SJPD Family Violence Center; attended SJPD Award Ceremony honoring Lt. Gary Johnson; met with SJPD Sgt. Unland and Victim Advocacy regarding police-involved DV cases

SPO attended the following meetings: Greenbook Committee meeting at Radisson Hotel, Victim/Survivor Advocacy Committee, Juvenile DV Taskforce, Greenbook Project #6 meeting, Court Systems Committee, Batterers Intervention Committee, Death Review Committee, Officer Safety Committee, Police/Victim Relations Committee, DV Providers meeting. Conducted two-hour training on DV protocols as part of new DPO Orientation.

DPO Cheryl Rivera had a total of 9 contacts with Social Workers this month: 5 were ongoing telephone contacts; 2 were field visits at DSS (met with client and social worker at Technology Drive bldg.); 1 was an initial telephone contact; and 1 was a collaborative field contact with a social worker.

On March 28, 2001, the SPO and some deputies of Supervision Unit 3 conducted a unit field operation which took place in the afternoon and early evening. A number of field contacts were conducted without incident.

Summary of Cases for:  
David H. perez, SPO

Supervision 3

Month March

Year: 2001

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Beginning Supervision</b>												
Felony	70	61	55	66	66	68	73	14	61	54	66	654
Misdemeanor	20	26	20	22	22	22	17	6	25	33	20	233
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>90</b>	<b>87</b>	<b>75</b>	<b>88</b>	<b>88</b>	<b>90</b>	<b>90</b>	<b>20</b>	<b>86</b>	<b>87</b>	<b>86</b>	<b>887</b>
<b>BCS Total</b>	<b>90</b>	<b>87</b>	<b>75</b>	<b>88</b>	<b>88</b>	<b>90</b>	<b>90</b>	<b>20</b>	<b>86</b>	<b>87</b>	<b>86</b>	<b>887</b>
<b>State Reentry</b>												
From Court	1	1	0	3	3	3	0	1	3	4	1	20
Reinstated	1	0	0	1	0	1	1	0	0	0	0	4
Other	1	0	0	2	0	0	0	0	4	0	2	9
<b>Total</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>6</b>	<b>3</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>7</b>	<b>4</b>	<b>3</b>	<b>33</b>
<b>Probation Revoked</b>												
From Court	1	3	0	0	0	1	2	0	0	0	2	9
Reinstated	1	1	0	1	1	0	0	0	1	1	0	6
Other	0	0	0	0	0	0	0	0	0	0	1	1
<b>Total</b>	<b>2</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>16</b>
<b>Probation Terminated</b>												
Probation Revoked	3	3	0	3	0	3	0	0	1	3	0	16
Probation Terminated	2	1	1	2	0	0	0	0	2	1	0	9
Other	0	1	1	0	0	2	5	0	0	1	0	10
<b>Total</b>	<b>5</b>	<b>5</b>	<b>2</b>	<b>5</b>	<b>0</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>5</b>	<b>0</b>	<b>35</b>
<b>Termination</b>												
Probation Revoked	2	3	1	4	2	1	0	0	2	0	3	18
Probation Terminated	2	1	0	0	1	2	0	0	1	0	1	8
Other	0	0	0	0	0	2	5	0	0	1	0	8
<b>Total</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>4</b>	<b>3</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>34</b>
<b>End of Month Supervision</b>												
Felony	68	57	53	67	69	67	69	15	65	53	69	652
Misdemeanor	18	26	19	19	20	18	14	6	23	33	19	215
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>86</b>	<b>83</b>	<b>72</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>83</b>	<b>21</b>	<b>88</b>	<b>86</b>	<b>88</b>	<b>867</b>
<b>B.C.S. Total</b>	<b>86</b>	<b>83</b>	<b>72</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>83</b>	<b>21</b>	<b>88</b>	<b>86</b>	<b>88</b>	<b>867</b>
<b>Arrests/Probation Violations</b>												
Felony	2	1	0	0	1	2	1	0	3	1	3	14
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0
<b>Home Detention</b>												
Felony	0	0	0	0	2	0	0	0	1	0	1	4
Misdemeanor	2	5	0	4	4	3	3	0	2	4	3	30
<b>Total</b>	<b>4</b>	<b>6</b>	<b>0</b>	<b>4</b>	<b>7</b>	<b>5</b>	<b>4</b>	<b>0</b>	<b>6</b>	<b>5</b>	<b>7</b>	<b>48</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>												

**Clients by Classification**

<b>New</b>	0	3	1	6	3	1	4	0	2	1	3	24
Maximum	6	3	2	2	5	1	4	1	5	5	4	38
Regular	52	34	43	51	29	17	32	15	29	59	49	410
Minimum	12	23	15	12	21	34	25	2	27	6	11	188
Bank	2	9	1	0	14	10	2	0	5	2	1	46
Admin. Bank	14	11	10	15	17	22	16	3	20	13	20	161
<b>TOTAL</b>	<b>86</b>	<b>83</b>	<b>72</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>83</b>	<b>21</b>	<b>88</b>	<b>86</b>	<b>88</b>	<b>867</b>
<b>In Process</b>	0	0	0	0	0	0	0	0	0	1	0	1
Max. Available	6	3	2	2	5	1	4	1	5	4	4	37
Max. Supv. to Standard	6	3	2	2	5	1	4	1	5	4	4	37
%Max Sup. to Standard	100	100	100	100	100	100	100	100	100	100	100	100
<b>Non-English Speaking</b>												
Spanish	0	2	60	0	2	66	0	0	1	0	5	136
Vietnamese	1	4	0	3	1	0	2	0	6	2	3	22
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	1	0	0	0	0	1	0	2	0	2	9
<b>Total</b>	<b>4</b>	<b>7</b>	<b>60</b>	<b>3</b>	<b>3</b>	<b>66</b>	<b>3</b>	<b>0</b>	<b>9</b>	<b>2</b>	<b>10</b>	<b>167</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: April 2001

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors**            206

**Felonies**                    658

Arraignment Petitions:

Superior:                    14

Hearing Petitions:

Superior:                    7

Municipal:                  18

Non English Speaking Clients:

Spanish:                    133

Vietnamese:                19

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other:                        8

**Total:**                    160

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 84.3

Caseload average does not include caseload of PO at FVC  
1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 36      New cases from court: 26      Transfers from other units: 12

FVC DPO: Screened 307 police reports (69 domestic violence, 48 threat management, 190 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Santa Clara County Domestic Violence Council; Chaired quarterly AWARE meeting; ANISAFE meeting at the Humane Society; SCCART meeting at DFCS. Set up Family Violence Center table for "Knowledge Fair" at West Valley College; set up Family Violence Center table for "National Victims' Rights Week" at the County building. Participated in planning and implementation of the "Unity Day" operation.

SPO attended the following meetings: Santa Clara County Domestic Violence Council; Victim/Survivor Advocacy Committee; Greenbook Project #6 meeting; Court Systems Committee; Batterers Intervention Committee; Death Review Committee.

DPO Cheryl Rivera had seven ongoing contacts with Dept. of Social Services.

On April 10, 2001, the entire Domestic Violence Unit met with Judge Chatman and Judge Brown to discuss Court and Probation protocols and procedures for DV cases. On April 11, 2001, several members of the Domestic Violence Unit, including the SPO, participated in the "Unity Day" field operation.

Summary of Cases for: **Supervision 3**  
**David H. Perez**, SPO **Month April** **Year: 2001**

	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	LePak	McInerny	Rivera	Thomas	Trujillo	Total
<b>Beginning Caseload</b>												
Felony	68	57	53	67	69	67	69	15	65	53	69	652
Misdemeanor	18	26	19	19	20	18	14	6	23	33	19	215
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>86</b>	<b>83</b>	<b>72</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>83</b>	<b>21</b>	<b>88</b>	<b>86</b>	<b>88</b>	<b>867</b>
<b>BCS Total</b>	<b>86</b>	<b>83</b>	<b>72</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>83</b>	<b>21</b>	<b>88</b>	<b>86</b>	<b>88</b>	<b>867</b>

<b>Cases Received</b>												
<b>Felony</b>												
From Court	2	3	0	2	2	6	5	0	1	2	1	24
Reinstated	1	2	0	0	0	0	0	0	1	0	0	4
Other	0	0	3	0	0	0	0	0	0	0	0	5
<b>Total</b>	<b>3</b>	<b>5</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>6</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>3</b>	<b>33</b>

<b>Misdemeanor</b>												
From Court	0	1	0	0	0	0	0	1	0	0	0	2
Reinstated	2	1	0	1	0	0	0	0	0	1	0	5
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>2</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>7</b>

40

<b>Cases Removed</b>												
<b>Felony</b>												
Probation Revoked	1	3	2	0	2	1	0	0	2	3	3	17
Probation Terminated	2	1	1	1	0	0	0	0	0	0	0	5
Other	0	0	0	1	2	1	0	0	1	0	0	5
<b>Total</b>	<b>3</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>4</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>27</b>

<b>Misdemeanor</b>												
Probation Revoked	1	1	1	0	0	0	2	1	0	0	0	6
Probation Terminated	0	1	3	1	1	0	1	0	1	1	1	10
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>16</b>

<b>End of Month Caseload</b>												
Felony	68	58	53	67	67	71	74	15	64	52	69	658
Misdemeanor	19	26	15	19	19	18	11	6	22	33	18	206
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>87</b>	<b>84</b>	<b>68</b>	<b>86</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>21</b>	<b>86</b>	<b>85</b>	<b>87</b>	<b>864</b>
<b>B.C.S. Total</b>	<b>87</b>	<b>84</b>	<b>68</b>	<b>86</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>21</b>	<b>86</b>	<b>85</b>	<b>87</b>	<b>864</b>

<b>Arraignments/Returns</b>												
Felony	1	2	0	3	5	0	1	0	0	2	0	14
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

<b>Hearing/Payments</b>												
Felony	1	1	0	0	1	0	0	0	2	0	2	7
Misdemeanor	3	2	0	1	2	1	6	0	0	2	1	18
<b>Total</b>	<b>5</b>	<b>5</b>	<b>0</b>	<b>4</b>	<b>8</b>	<b>1</b>	<b>7</b>	<b>0</b>	<b>2</b>	<b>4</b>	<b>3</b>	<b>39</b>

39 ✓

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	4	1	4	1	6	5	0	2	3	1	27
Maximum	5	3	2	2	5	1	6	1	6	5	5	41
Regular	49	32	42	52	29	18	32	15	25	54	49	397
Minimum	12	23	13	12	22	33	25	2	30	6	14	192
Bank	2	9	1	0	10	10	0	0	7	3	1	43
Admin. Bank	19	13	9	16	19	21	17	3	16	14	17	164
<b>TOTAL</b>	<b>87</b>	<b>84</b>	<b>68</b>	<b>86</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>21</b>	<b>86</b>	<b>85</b>	<b>87</b>	<b>864</b>
In Process	0	0	0	0	0	0	0	0	2	1	0	3
Max. Available	5	3	2	2	5	1	6	1	4	4	5	38
Max. Supv. to Standard	5	3	2	2	4	1	6	1	4	4	5	37
%Max Sup. to Standard	100	100	100	100	80	100	100	100	100	100	100	97

**Non-English Speaking**

Spanish	0	2	56	0	2	68	0	0	1	0	4	133
Vietnamese	1	2	0	3	1	0	2	0	6	2	2	19
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	0	0	1	0	2	0	2	8
<b>Total</b>	<b>4</b>	<b>4</b>	<b>56</b>	<b>3</b>	<b>3</b>	<b>68</b>	<b>3</b>	<b>0</b>	<b>9</b>	<b>2</b>	<b>8</b>	<b>160</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: May 2001

Supervision Caseloads (includes Courtesy Supervision)

**Misdemeanors**            **198**

**Felonies**                    **668**

Arraignment Petitions:

Superior:                    14

Hearing Petitions:

Superior:                    9

Municipal:                  31

Non English Speaking Clients:

Spanish:                    133

Vietnamese:                18

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other:                        8

**Total:**                      **159**

Number of Deputies with Specialized Caseloads: 11

Caseload Average: 84.5

**Caseload average does not include caseload of PO at FVC**

1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 38

New cases from court: 28

Transfers from other units: 17

FVC DPO: Screened 287 police reports (72 domestic violence, 35 threat management, 180 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Police/Victim Relations Committee, Victim/Survivor Advocacy Committee, Juvenile DV Taskforce, San Jose Mayor's DV Taskforce, Anisafe Meeting at Humane Society (2), Batterers Intervention Committee. Met with Mediator from Family Court for APD DV Orientation; attended Judicial Council DV Conference in Los Angeles; assisted with SJPD hosted P.O.S.T. DV First Responder's Training; presented APD DV Protocol for Support Network For Battered Women advocate training panel; provided cell phone training for advocates at FVC; received an award from the San Jose City Council for work on cell phone program for victims of DV.

SPO attended the following meetings: Worksite Safety Committee, Domestic Violence Council, Victim/Survivor Advocacy Committee, SB90 Meeting with APD Accounting, Batterers Intervention Committee (gave presentation on APD DV protocols), Death Review Committee, Greenbook Project #6 meeting. Conducted training for APD Investigation Section on DV protocols.

DPO Cheryl Rivera had a total of 9 contacts with Social Workers this month: 5 were ongoing telephone contacts; 4 were initial contacts.

X5

**Summary of Cases for: Supervision 3**  
**David H. Perez, SPO** **Month May** **Year: 2001**

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Beginning Cases</b>												
Felony	68	58	53	67	67	71	74	15	64	52	69	658
Misdemeanor	19	26	15	19	19	18	11	6	22	33	18	206
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>87</b>	<b>84</b>	<b>68</b>	<b>86</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>21</b>	<b>86</b>	<b>85</b>	<b>87</b>	<b>864</b>
<b>BCS Total</b>	<b>87</b>	<b>84</b>	<b>68</b>	<b>86</b>	<b>86</b>	<b>89</b>	<b>85</b>	<b>21</b>	<b>86</b>	<b>85</b>	<b>87</b>	<b>864</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>From Court</b>	1	2	0	1	1	3	1	0	4	2	0	15
Reinstated	1	0	0	0	0	1	0	0	0	1	0	3
Other	2	2	1	0	1	0	0	0	2	0	1	9
<b>Total</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>0</b>	<b>6</b>	<b>3</b>	<b>1</b>	<b>27</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>From Court</b>	1	2	0	1	2	1	2	0	1	1	2	13
Reinstated	0	3	0	1	0	0	0	0	0	0	1	5
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>1</b>	<b>5</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>18</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Probation Revoked</b>	0	0	0	1	2	0	0	0	1	0	1	5
Probation Terminated	0	0	0	1	0	1	0	0	3	1	0	6
Other	0	0	0	0	0	6	0	0	0	0	0	6
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>2</b>	<b>7</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>17</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Probation Revoked</b>	4	3	0	2	4	1	3	0	0	1	0	18
Probation Terminated	0	0	2	0	0	0	0	0	1	0	1	4
Other	0	0	0	0	1	2	1	0	0	0	0	4
<b>Total</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>2</b>	<b>5</b>	<b>3</b>	<b>4</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>26</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Felony</b>	72	62	54	66	67	68	75	15	66	54	69	668
<b>Misdemeanor</b>	16	28	13	19	16	16	9	6	22	33	20	198
<b>Courtesy Supervision</b>	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>88</b>	<b>90</b>	<b>67</b>	<b>85</b>	<b>83</b>	<b>84</b>	<b>84</b>	<b>21</b>	<b>88</b>	<b>87</b>	<b>89</b>	<b>866</b>
<b>B.C.S. Total</b>	<b>88</b>	<b>90</b>	<b>67</b>	<b>85</b>	<b>83</b>	<b>84</b>	<b>84</b>	<b>21</b>	<b>88</b>	<b>87</b>	<b>89</b>	<b>866</b>

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Felony</b>	2	1	0	2	1	2	1	0	2	2	1	14
<b>Misdemeanor</b>	0	0	0	0	0	0	0	0	0	0	0	0

	Abbott	Byler	Chavez	Fayorito	Franco	Gonzalez	LePak	McInern	Rivera	Thomas	Trojillo	Total
<b>Felony</b>	0	1	0	1	1	2	3	0	0	1	0	9
<b>Misdemeanor</b>	4	3	0	5	3	2	7	0	2	4	1	31
<b>Total</b>	<b>6</b>	<b>5</b>	<b>0</b>	<b>8</b>	<b>5</b>	<b>6</b>	<b>11</b>	<b>0</b>	<b>4</b>	<b>7</b>	<b>2</b>	<b>54</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	5	0	1	3	5	5	0	4	4	1	28
Maximum	5	3	2	2	5	1	7	1	7	5	6	44
Regular	47	35	43	53	21	21	32	14	25	54	49	394
Minimum	15	24	12	12	23	29	28	2	29	5	14	193
Bank	2	9	1	0	10	7	0	0	8	4	2	43
Admin. Bank	19	14	9	17	21	21	12	4	15	15	17	164
<b>TOTAL</b>	<b>88</b>	<b>90</b>	<b>67</b>	<b>85</b>	<b>83</b>	<b>84</b>	<b>84</b>	<b>21</b>	<b>88</b>	<b>87</b>	<b>89</b>	<b>866</b>
In Process	0	0	0	0	1	0	0	0	0	1	0	2
Max. Available	5	3	2	2	4	1	6	1	7	4	6	41
Max. Supv. to Standard	5	3	2	2	4	1	6	0	7	4	6	40
%Max Sup. to Standard	100	100	100	100	100	100	100	0	100	100	100	98

**Non-English Speaking**

Spanish	1	4	54	0	2	66	0	0	1	0	5	133
Vietnamese	1	3	0	3	1	0	2	0	5	1	2	18
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	0	0	0	0	0	0	0	0	0	0	0	0
Other	3	0	0	0	0	0	1	0	2	0	2	8
<b>Total</b>	<b>5</b>	<b>7</b>	<b>54</b>	<b>3</b>	<b>3</b>	<b>66</b>	<b>3</b>	<b>0</b>	<b>8</b>	<b>1</b>	<b>9</b>	<b>159</b>

**CASE AUDITS**

SANTA CLARA COUNTY PROBATION DEPARTMENT  
ADULT DIVISION

**Management Information Report**

Supervision III

Domestic Violence Unit

David H. Perez, SPO

Month of: June 2001

**Supervision Caseloads (includes Courtesy Supervision)**

**Misdemeanors 188**

**Felonies 665**

**Arrestment Petitions:**

Superior: 13

**Hearing Petitions:**

Superior: 5

Municipal: 14

**Non English Speaking Clients:**

Spanish: 130

Vietnamese: 19

Korean:

Hearing Impaired:

Iranian:

Portugese:

Cambodian:

Other: 6

**Total: 155**

Number of Deputies with Specialized Caseloads: 11  
Caseload Average: 83.2

**Caseload average does not include caseload of PO at FVC**  
1 DPO at Family Violence Center

**Performance Measures:**

Case audits: 45      New cases from court: 18      Transfers from other units: 9

FVC DPO: Screened 300 police reports (91 domestic violence, 25 threat management, 184 child abuse) for probation information and forwarded appropriate reports. Attended the following meetings: Batterers Intervention Committee, Police/Victim Relations Committee, Victim/Survivor Advocacy Committee, Juvenile DV/FV Taskforce, SCCART Meeting at DFCS, San Jose Mayor's DV Taskforce; chaired two Cell Phone Instructional meeting at FVC.

SPO attended the following meetings: Santa Clara County Domestic Violence Council, Victim/Survivor Advocacy Committee, Juvenile DV/FV Taskforce, Greenbook Project Quarterly Meeting, Greenbook Project #6 Subcommittee, Batterers Intervention Committee, Court Systems Committee, DV Providers Meeting, Death Review Committee, Police/Victim Relations Committee, Officer Safety Committee. Conducted training in updates of DV Supervision Protocols for Supervision I unit meeting.

DPO Cheryl Rivera had a total of 2 ongoing collaborative telephone contacts with DFCS workers who share cases in common with probation.

On June 27, 2001, members of Supervision Unit 3 participated in a unit field operation in which a number of field contacts were conducted.

A5

Summary of Cases for:

Supervision 3

David H. Perez, SPO

Month June

Year: 2001

Region/Caseload	Abbott	Butler	Chavez	Favorito	Franco	Gonzalez	Lepak	McInerni	Rivera	Thomas	Trujillo	Total
Felony	72	62	54	66	67	68	75	15	66	54	69	668
Misdemeanor	16	28	13	19	16	16	9	6	22	33	20	198
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>88</b>	<b>90</b>	<b>67</b>	<b>85</b>	<b>83</b>	<b>84</b>	<b>84</b>	<b>21</b>	<b>88</b>	<b>87</b>	<b>89</b>	<b>866</b>
<b>BCS Total</b>	<b>88</b>	<b>90</b>	<b>67</b>	<b>85</b>	<b>83</b>	<b>84</b>	<b>84</b>	<b>21</b>	<b>88</b>	<b>87</b>	<b>89</b>	<b>866</b>

Cases Received												
Felony												
From Court	1	1	7	3	6	0	1	0	1	1	1	22
Reinstated	0	0	1	0	0	0	0	0	0	0	1	2
Other	1	2	0	1	1	0	0	0	0	1	0	6
<b>Total</b>	<b>2</b>	<b>3</b>	<b>8</b>	<b>4</b>	<b>7</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>30</b>

Misdemeanor												
From Court	0	0	4	0	1	0	0	0	0	0	0	5
Reinstated	0	0	1	0	0	0	0	0	0	0	0	1
Other	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>	<b>0</b>	<b>5</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>6</b>

Cases Referred												
Felony												
Probation Revoked	0	2	1	3	2	0	1	0	2	1	4	16
Probation Terminated	2	1	2	1	2	0	0	0	2	1	0	11
Other	0	0	0	0	0	1	2	0	2	0	1	6
<b>Total</b>	<b>2</b>	<b>3</b>	<b>3</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>3</b>	<b>0</b>	<b>6</b>	<b>2</b>	<b>5</b>	<b>33</b>

Misdemeanor												
Probation Revoked	0	1	0	0	1	0	0	0	0	0	1	3
Probation Terminated	1	4	2	0	0	1	0	0	2	2	0	12
Other	0	0	0	0	0	0	0	0	1	0	0	1
<b>Total</b>	<b>1</b>	<b>5</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>2</b>	<b>1</b>	<b>16</b>

End of Month Caseload												
Felony	72	52	59	66	70	67	73	15	61	54	66	665
Misdemeanor	15	23	16	19	16	15	9	6	19	31	19	188
Courtesy Supervision	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total Cases</b>	<b>87</b>	<b>95</b>	<b>75</b>	<b>85</b>	<b>86</b>	<b>82</b>	<b>82</b>	<b>21</b>	<b>80</b>	<b>85</b>	<b>85</b>	<b>853</b>
<b>B.C.S. Total</b>	<b>87</b>	<b>95</b>	<b>75</b>	<b>85</b>	<b>86</b>	<b>82</b>	<b>82</b>	<b>21</b>	<b>80</b>	<b>85</b>	<b>85</b>	<b>853</b>

Assignment Relocations												
Felony	1	1	1	1	1	0	3	0	3	0	2	13
Misdemeanor	0	0	0	0	0	0	0	0	0	0	0	0

Hearing Participants												
Felony	0	0	1	0	1	1	0	1	1	0	0	5
Misdemeanor	3	5	0	0	0	1	1	0	0	2	2	14
<b>Total</b>	<b>4</b>	<b>6</b>	<b>2</b>	<b>1</b>	<b>2</b>	<b>2</b>	<b>4</b>	<b>1</b>	<b>4</b>	<b>2</b>	<b>4</b>	<b>32</b>

PreSentence Rpts	0	0	0	0	0	0	0	0	0	0	0	0
Waived Referrals	0	0	0	0	0	0	0	0	0	0	0	0
<b>Total</b>	<b>0</b>											

**Clients by Classification**

New	0	3	6	3	4	1	4	0	2	5	1	29
Maximum	6	2	0	2	6	2	6	1	5	4	7	41
Regular	45	35	44	50	24	22	28	16	28	52	51	395
Minimum	14	23	8	14	23	25	28	1	27	6	14	183
Bank	1	8	0	0	9	9	2	0	6	3	1	39
Admin. Bank	21	14	17	18	20	23	14	3	12	15	11	166
<b>TOTAL</b>	<b>87</b>	<b>85</b>	<b>75</b>	<b>85</b>	<b>86</b>	<b>82</b>	<b>82</b>	<b>21</b>	<b>80</b>	<b>85</b>	<b>85</b>	<b>653</b>
In Process	0	0	0	0	1	0	0	0	0	1	0	2
Max. Available	6	2	0	2	5	2	6	1	5	3	7	39
Max. Subv. to Standard	6	2	0	1	4	2	5	1	5	2	7	35
%Max S.jp. to Standard	100	00	#DIV/0!	50	80	100	83	100	100	67	100	90

**Non-English Speaking**

Spanish	1	3	54	0	2	65	0	0	1	0	4	130
Vietnamese	1	3	0	4	1	0	2	0	4	2	2	19
Korean	0	0	0	0	0	0	0	0	0	0	0	0
Hearing Impaired	0	0	0	0	0	0	0	0	0	0	0	0
Iranian	0	0	0	0	0	0	0	0	0	0	0	0
Portugese	0	0	0	0	0	0	0	0	0	0	0	0
Cambodian	3	0	0	0	0	0	0	0	0	0	0	3
Other	0	0	0	1	0	0	1	0	2	0	2	6
<b>Total</b>	<b>5</b>	<b>6</b>	<b>54</b>	<b>5</b>	<b>3</b>	<b>65</b>	<b>3</b>	<b>0</b>	<b>7</b>	<b>2</b>	<b>8</b>	<b>158</b>

**CASE AUDITS**

# FY01 Meeting Summary

## FY01 SUMMARY

	actual	claimed	difference
1.5 aware meeting			
1.5 aware meeting	7.5	6	0
1.5 batterers intervention committee meeting			
1.5 batterers intervention committee meeting			
2 batterers intervention committee meeting			
3 batterers intervention committee meeting			
1.5 batterers intervention committee meeting			
3 batterers intervention committee meeting	22.5	15	0
2 dv council meeting			
3 dv council meeting			
2 dv council meeting			
3 dv council meeting			
3 dv council meeting			
1.5 dv council meeting			
3 dv council meeting	19	6	0
7.5 family court systems meeting			
7.5 family court systems meeting			
2 family court systems meeting			
1.5 juvenile dv task force meeting			
1.5 juvenile dv task force meeting			
1 juvenile dv task force meeting			
1.5 juvenile dv task force meeting			
1 juvenile dv task force meeting			
2 juvenile dv task force meeting			
1.5 juvenile dv task force meeting	15.5	18	2.5
1.5 law enforcement protocol meeting at sheriffs office			
1.5 law enforcement protocol meeting at sheriff's office	3	4	1
san jose mayor's dv task force meeting			
san jose mayor's dv task force meeting			
san jose mayor's dv task force meeting			
san jose mayor's dv task force meeting			
san jose mayor's dv task force meeting			
san jose mayor's dv task force meeting			
sj city council meeting	16	16.5	2.5
2 sccart meeting			
2.5 sccart meeting			
2 sccart meeting			
1.5 sccart meeting	8	22	14
victim/survivor advocacy meeting			
victim/survivor advocacy meeting at next door			
victim/survivor advocacy meeting at next door			
victim/survivor advocacy meeting at next door	12.5	15	3
subtotal	101	102.5	23
fvc		288	
total	101	390.5	23

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInerney  
cc: Lt. Robert Dominguez  
Date: 6-29-2001  
Re: APD activities for the Family Violence Center during the month of June 2001

The SJPD Family Violence Center Probation Officer activities for the month of June 2001 are:

06-01-01	8.00 hrs	Vacation
06-03-01	2:00 hrs	Chaired 1 <sup>st</sup> Cell Phone Instructional meeting at FVC
06-04-01	2:00 hrs	Chaired 2 <sup>nd</sup> Cell Phone Instructional Meeting at FVC
06-08-01	8.00 hrs	Vacation
06-11-01	8.00 hrs	Vacation
✓ 06-12-01	2.00 hrs	Attended Victim/Survivor Committee monthly meeting at Next Door
06-13-01	8.00 hrs	Emergency Response Team Training
✓ 06-13-01	1.50 hrs	Attended monthly Juv DV Task Force monthly meeting at JPD
06-15-01	8:00 hrs	Acting DV Unit Supervisor for Adult Probation Department
06-18-01	8:00 hrs	Sick
✓ 06-19-01	8:00 hrs	Attended Batterers Intervention Committee monthly meeting
06-20-01	8:00 hrs	Emergency Response Team Training
06-22-01	8.00 hrs	Acting DV Unit Supervisor for Adult Probation
✓ 06-22-01	2:00 hrs	Attended SCCCART monthly meeting at DFCS
✓ 06-26-01	1.50 hrs	Attended Police/Victim Relation Committee monthly meeting
06-27-01	2.00 hrs	Emergency Response Team Training
✓ 06-27-01	2.00 hrs	Attended San Jose Mayor's DV Task Force monthly meeting
06-29-01	8.00 hrs	Vacation

Police Reports Reviewed: Child Abuse - 184, Domestic Violence - 91, Threat Management - 25

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInerney  
cc: Lt. Robert Dominguez  
Date: 5-31-2001  
Re: APD activities for the Family Violence Center during the month of May 2001

The SJPD Family Violence Center Probation Officer activities for the month of May 2001 are:

05-03-01	2.00 hrs	Attended DA Kathy Storton's VOP class at APD
<del>05-08-01</del>	<del>2.00 hrs</del>	<del>Attended Victim/Survivor Relation monthly meeting at Next Door</del>
<del>05-09-01</del>	<del>2.00 hrs</del>	<del>Attended Anti-SAFE bi-monthly meeting at Humane Society</del>
05-11-01	8.00 hrs	Acting Supervisor DV Unit, Adult Probation Dept
<del>05-15-01</del>	<del>1.50 hrs</del>	<del>Attended Batterers Intervention Committee monthly meeting</del>
<del>05-16-01</del>	<del>2.00 hrs</del>	<del>Attended monthly Juv DV Task Force monthly meeting at JPD</del>
<del>05-16-01</del>	<del>2.00 hrs</del>	<del>Met w/ Mediator from Family Court for APD DV Orientation</del>
<del>05-17-01</del>	<del>16.00 hrs</del>	<del>Attended Judicial Council DV Conference in Los Angeles</del>
<del>05-22-01</del>	<del>2.00 hrs</del>	<del>Attended Police/Victim Relation Committee monthly meeting</del>
<del>05-22-01</del>	<del>2.00 hrs</del>	<del>Attended Anti-SAFE bi-monthly meeting at County Humane Society</del>
<del>05-22-01</del>	<del>2.00 hrs</del>	<del>Attended San Jose Mayor's DV Task Force monthly meeting</del>
<del>05-24-01</del>	<del>6.00 hrs</del>	<del>Assisted with SJPD hosted P.O.S.T. DV 1st Responders Training</del>
<del>05-29-01</del>	<del>2.00 hrs</del>	<del>Attended City Council monthly meeting to received an award</del>
<del>05-30-01</del>	<del>2.50 hrs</del>	<del>Presented APD DV Protocol for SNBW Advocate Training Panel</del>
<del>05-31-01</del>	<del>9.00 hrs</del>	<del>Provided DV Call Phone training for Advocates at FVC</del>

Police Reports Reviewed: Child Abuse - 180, Domestic Violence - 72, Threat Management - 35

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInemey  
cc: Lt. Robert Dominguez  
Date: 4-30-2001  
Re: APD activities for the Family Violence Center during the month of April 2001

The SJPD Family Violence Center Probation Officer activities for the month of April 2001 are:

04-02-01	2.00 hrs	Attended APD/JPD Unity Day operations/preparation meeting
04-02-01	2:00 hrs	✓ Set up FVC info table for "Knowledge Fair" at West Valley College
<del>04/3-5/01</del>	<del>24.00 hrs</del>	<del>Acting Supervisor DV Unit, Adult Probation Dept</del>
04-04-01	1.50 hrs	✓ Chaired SC Co AWARE quarterly meeting at FVC
04-06-01	1.50 hrs	✓ Attended monthly SC Co DV Council meeting
04-09-01	2.00 hrs	Attended Probation Unity Day Briefing at Isaac Newton Auditorium
<del>04-10-01</del>	<del>2.00 hrs</del>	<del>Completed Blood-borne Pathogens Training at APD</del>
04-10-01	2.00 hrs	Meeting with APD DV Unit and Judge Chatman and Judge Brown
04-11-01	7.00 hrs	Participated in APD/JPD Unity Day Field Exercise
04-11-01	1.00 hrs	✓ Attended ANISAFE monthly meeting at County Humane Society
<del>04-13-01</del>	<del>4.00 hrs</del>	<del>Acting Supervisor DV Unit, Adult Probation Department</del>
04-23-01	2.00 hrs	✓ Set up FVC table for "Nat'l Victims Rights Week" at County bldg.
<del>04-27-01</del>	<del>8:00 hrs</del>	<del>Acting Supervisor DV Unit, Adult Probation Department</del>
04-27-01	2.50 hrs	✓ Attended monthly SCCART meeting at DFCS, Union Office

Police Reports Reviewed: Child Abuse - 190, Domestic Violence - 69, Threat Management - 48

# Supervision Unit 3 Domestic Violence

## Memo

To: SPO David Perez

From: PO Celeste McInerney

cc: Lt. Robert Dominguez

Date: 3-30-2001

Re: APD activities for the Family Violence Center during the month of March 2001

The SJPD Family Violence Center Probation Officer activities for the month of March 2001 are:

✓ 03-01-01	8.00 hrs	Completed POST Certified, DV First Responder Police Training
✓ 03-02-01	1.50 hrs	Attended monthly SC Co. DV Council meeting
<del>03-02-01</del>	<del>8.00hrs</del>	<del>Acting Supervisor DV Unit, Adult Probation</del>
<del>03-02-01</del>	<del>1.00 hrs</del>	<del>Met w/ Probation Unity Day Operations sub-committee at JPD</del>
<del>03-05-01</del>	<del>1.00 hrs</del>	<del>Attended Probation Unity Day Committee meeting at APD</del>
✓ 03-07-01	2.00 hrs	Met w/ Sprint PSC re DV Cell Phones at FVC
✓ 03-08-01	1.50 hrs	Attended SJPD Award Ceremony honoring Lt. Gary Johnson
✓ 03-13-01	1.50 hrs	Attended monthly DV Vict/Surv Advocacy meeting at Next Door
✓ 03-13-01	1.50 hrs	Attended Judge Hyman's JPD DV Task Force monthly meeting
✓ 03-15-01	1.00 hrs	Met w/ Sgt. Unland and Vict Adv. re Police Involved DV Cases
<del>03-19-01</del>	<del>1.00 hrs</del>	<del>Attended Probation Unity Day Committee Meeting at APD</del>
✓ 03-27-01	1.50 hrs	Attended monthly Police/Victim Relations Committee meeting
✓ 03-28-01	1.50 hrs	Attended monthly SJ Mayor's DV Task Force monthly meeting
<del>03-28-01</del>	<del>5:00 hrs</del>	<del>Participated in monthly Probation DV Unit Field Operation</del>
✓ 03-30-01	2.00 hrs	Attended monthly SCCART meeting at DFCS, Union Office

Police Reports Reviewed: Child Abuse - 304, Domestic Violence - 61, Threat Management - 38

**Supervision Unit 3  
Domestic Violence**

**Memo**

To: SPO David Perez  
From: PO Celeste McInerney  
cc: Lt. Robert Dominguez  
Date: 2-28-2001  
Re: APD activities for the Family Violence Center during the month of February 2000

These are the Probation Officer Activities (Community Outreach) for the SJPD Family Violence Center during the month of February 2000:

- 02-02-01 1.50 hrs Attended monthly SC Co DV Council meeting
- 02-06-01 1.75 hrs Attended monthly Family Court Systems meeting
- 02-06-01 1.50 hrs Chaired bi-monthly AWARE/ DV Cell Phone meeting
- 02/ 12-16 /01 40.00 hrs Completed DV Investigators Course (POST Cert) in San Diego
- 02-20-01 8.00 hrs Attended monthly Batterers Intervention Committee meeting
- 02-27-01 1.50 hrs Attended monthly Police/Victim Relations Committee meeting

Police Reports Reviewed: Child Abuse - 213, Domestic Violence - 55, Threat Management - 695~~6~~

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInemey  
cc: Lt. Robert Dominguez  
Date: 1-31-2001  
Re: APD activities for the Family Violence Center during the month of January 2000

These are the Probation Officer Activities (Community Outreach) for the SJPD Family Violence Center during the month of January 2000:

<del>01-02-01</del>	1.75 hrs	Attended monthly Family Court Systems Meeting
<del>01-05-01</del>	1.50 hrs	Attended monthly SC Co. DV Council meeting
<del>01-09-01</del>	2.00 hrs	Attended monthly DV Victim/Survivor Advocacy meeting at Next Door
01-10-01	8.00 hrs	Completed Victimology Class at United Way – STC Certified
<del>01-11-01</del>	1.50 hrs	Attended 2 <sup>nd</sup> Law Enforcement Protocol meeting at Sheriff's Office
<del>01-18-01</del>	1.50 hrs	Attended monthly Death Review Committee Meeting
<del>01-17-01</del>	1.00 hrs	Attended monthly Juvenile DV Task Force meeting at JPD
<del>01-18-01</del>	1.50 hrs	Attended final Law Enforcement Protocol meeting at Sheriff's Office
<del>01-23-01</del>	1.00 hrs	Attended monthly Police/Victim Relations Committee meeting
<del>01-25-01</del>	1.50 hrs	Attended monthly SC Co. Child Abuse Review Team meeting
01-30-01	2.00 hrs	Attended mandated Telephone Training at APD
<del>01-31-01</del>	2.00 hrs	Provided FVC/DV/Probation training at DFCS, DV unit

Police Reports Reviewed: Child Abuse - 197, Domestic Violence - 42, Threat Management – 59

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInerney  
CC: Lt. Robert Dominguez  
Date: 12-31-2000  
Re: APD activities for the Family Violence Center during the month of December 2000

These are the Probation Officer Activities (Community Outreach) for the SJPD Family Violence Center during the month of December 2000:

12-1-00	3.00 hrs	Present for SCCo DV Council Meeting
12/5-12/8	32.0 hrs	Attended the Beyond the Bench 2000-Judicial Council Conference
12-12-00	2.00 hrs	Attended monthly DV Victim Survivor Advocacy meeting at Next Door
12-12-00	1.00 hrs	Attended monthly Juvenile Family Violence Task Force meeting at JPD
12-13-00	1.50 hrs	Chaired the BI-monthly AWARE/Cell phone meeting
12-15-00	8.00 hrs	Worked as Acting Supervisor for SPO David Perez
12-19-00	2.00 hrs	Present for monthly Batterers Intervention Committee meeting
12/26-12/29/00	32.0 hrs	Worked as Acting Supervisor for SPO David Perez

Police Reports Reviewed: Child Abuse - 203, Domestic Violence - 32, Threat Management - 29

## Supervision Unit 3 Domestic Violence

### Memo

To: SPO David Perez  
From: PO Celeste McInerney  
CC: Lt. Robert Dominguez  
Date: 10-31-00  
Re: APD activities for the Family Violence Center during the month of September 2000

These are the Probation Officer Activities (Community Outreach) for the SJPD Family Violence Center during the month of September 2000:

10-2-00	3.00 hrs	Assisted w/ set up of the DV Clothesline display at the County Building
10-3-00	3.50 hrs	Attended the DV Media Event and staffed FVC table at the County Bldg.
10-4-00	1.50 hrs	Attended the monthly meeting of the San Jose Mayor's DV Task Force
10-5-00	4.00 hrs	Attended Dr. Gondolf's pre-conference DV Batterers symposium
10-5-00	2.00 hrs	Assisted w/ set up of the DV Clothesline display at the Fairmont Hotel
10-6-00	8.00 hrs	Attended the Annual SC Co. Domestic Violence Conference
10-10-00	1.00 hrs	Attended Monthly DV Victim Survivor Advocacy meeting at Next Door
10-10-00	1.50 hrs	Attended Juvenile Dom/ Family Violence Task Force monthly meeting
10-13-00	1.50 hrs	Assisted w/ the set up of DV Clothesline display at the SJ Civic Center
10-13-00	3.00 hrs	Participated in the Annual SJ City Walk to End Domestic Violence
10-18-00 meeting	1.00 hrs	Attended the Police Victim Relations Committee monthly
9/26/00	1.00 hrs	Met with Committee chair for DV Conf Clothesline Display

Police Reports Reviewed: Child Abuse – 63, Domestic Violence – 52, Threat Management - 39

Total # of DV cases supervised: 19

## Supervision Unit 3 Domestic Violence

# Memo

To: SPO David Perez  
From: PO Celeste McInerney  
CC: Lt. Gary Johnson  
Date: 9-01-00  
Re: APD activities for the Family Violence Center during the month of August 2000

These are the Probation Officer Activities at the Family Violence Center for the month of August 2000.

8/02/00	2.00 hrs	Attended San Jose Mayor's DV Task Force Committee meeting
8/02/00	1.50 hrs	Presented APD DV Protocols to Next Door staff members
8/08/00	2.00 hrs	Attended monthly Victim Survivor Advocacy Meeting
8/09/00	1.50 hrs	Attended the AWARE Committee meeting.
8/11/00	8.00 hrs	Attended OC Spray training
8/15/00	1.50 hrs	Attended Batterers Intervention Committee meeting
8/17/00	1.00 hrs	Met with visitor from Israel at Family Violence Center
8/22/00	1.00 hrs	Attended the Police Victim Relations Committee monthly meeting
8/22/00	1.00 hrs	Met with Clothesline project manager for DV Conf T-shirt display
8/14-8/25/00	80 hrs	Worked as Acting Supervisor for SPO David Perez
8/28-9/01/00	40 hrs	Attended annual Threat Management Conference in Anaheim, CA

Police Reports Reviewed: Child Abuse – 38, Domestic Violence – 42, Threat Management - 37

Total # of DV cases supervised: 19

Total # of DV cases classified as "A" supervised to standard: one of one

## Supervision Unit 3 Domestic Violence

# Memo

To: SPO David Perez  
From: PO Celeste McInerney  
CC: Lt. Gary Johnson, Family Violence Center  
Date: 6-30-2000  
Re: Probation Officer activities for the Family Violence Center during the month of June 2000

These are the Probation Officer Activities for the Family Violence Center during the month of June.

6-02-00	2.0 hours	Attended the Santa Clara County DV Council meeting.
6-07-00	2.0 hours	Attended the SJ Mayor's DV Task Force monthly meeting.
6-10-00	8.0 hours	Attended the annual Santa Clara County DV Council Retreat.
6-13-00	1.5 hours	Met with the Juvenile DV Task Force chaired by Judge Hyman.
6-16-00	8.0 hours	Worked at APD as Acting Supervisor for SPO David Perez.
6-19-00	2.0 hours	Completed required Driver's Training.
6-20-00	1.5 hours	Attended the Batterers Intervention Committee monthly meeting.
6-22-00	2.5 hours	Presented on the Law Panel for SNBW's annual volunteer training.
6-27-00	2.0 hours	Attended the Police-Victims Relations Committee monthly meeting.
6-28-00	1.5 hours	Attended the BI-monthly meeting of the AWARE Committee.
6-29-00	6.5 hours	Worked at APD as Acting Supervisor for SPO David Perez.

Vehicle Mileage: 79678

Police Reports Reviewed: Child Abuse – 94 Domestic Violence – 37 Threat Management - 25

# EXHIBIT N



# EXHIBIT O

DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME ACTIVITIES LOG

FY03

Name	Index	Class	Unit	A (Admin. and regulation of batters' treatment programs Hrs.	B Victim Notification Hours	B1 NO. of cases notified	C Assessing future probability of committing murder	C1 no. of cases accessed	D Others (not related to DV)	TOTAL NO. OF CASES	TOTAL HOURS (A+B+C+D)	TOTAL DV Hrs.
BARAJAS, LESLI	3724	X50	Inv - I	-	3.30	7.00	4.80	7.00	634.50	14	642.60	8.10
CLEMENTS, TIM	3724	X50	Inv - I	-	1.00	2.00	0.50	1.00	503.00	3	504.50	1.50
LEE, ANTOINE	3724	X53	Inv - I	-	1.00	2.00	1.00	2.00	454.00	4	456.00	2.00
MARSHALL, THOMAS	3724	X53	Inv - I	-	1.75	6.00	6.00	6.00	453.75	12	461.50	7.75
PUCCELLI, JESSICA	3724	X50	Inv - I	-	1.50	3.00	4.50	3.00	374.00	6	380.00	6.00
RICE, MELISSA	3724	X53	Inv - I	-	1.50	3.00	6.00	3.00	488.50	6	496.00	7.50
Sub-total				-	13.05	27.00	25.80	26.00	3,747.75	53.00	3,786.60	38.85
DEMASI, ANDREW	3724	X53	Inv - II	-	15.25	35.00	40.25	31.00	434.25	66	489.75	55.50
DE LA CURZ, RAFAEL	3724	X50	Inv - II	-	4.00	11.00	33.00	10.00	435.00	21	472.00	37.00
FADDIS, STANLEY	3724	X50	Inv - II	-	5.25	3.00	6.75	3.00	444.00	6	456.00	12.00
GRANSA-ENIS, DEBORA	3724	X50	Inv - II	-	3.00	7.00	34.25	10.00	408.75	17	446.00	37.25
HEGARTY, ERIN	3724	X52	Inv - II	-	3.00	7.00	10.50	8.00	466.50	15	480.00	13.50
MATTSON, EDWARD	3724	X53	Inv - II	-	14.75	26.00	23.75	28.00	1,397.25	54	1,435.75	38.50
TRIGONE, JOSEPH	3724	X53	Inv - II	-	0.50	1.00	2.00	2.00	301.50	3	304.00	2.50
Sub-total				-	48.00	94.00	151.50	96	4,180.00	190	4,379.50	199.50
DEJESUS, ALYSA	3724	X53	Inv - III	-	3.25	7.00	3.75	7.00	473.50	14	480.50	7.00
JONES-LABOVE, LINDA	3724	X50	Inv - III	-	-	-	-	-	352.00	-	352.00	-
MARTINEZ, MANUEL	3724	X52	Inv - III	-	5.00	3.00	17.00	9.00	354.00	12	376.00	22.00
NGUYEN, LAN	3724	X50	Inv - III	-	5.00	10.00	23.00	12.00	449.50	22	477.50	28.00
NICHOLAW, DOREEN	3724	X50	Inv - III	-	7.50	5.00	6.75	5.00	422.75	10	437.00	14.25
PHAN, CAN	3724	X53	Inv - III	-	1.00	2.00	9.50	3.00	405.50	5	416.00	10.50
SCHUETT, SONYA	3724	X52	Inv - III	-	2.00	4.00	1.50	4.00	446.50	8	450.00	3.50
SHANNON, KERRY	3724	X52	Inv - III	-	19.75	28.00	56.25	35.00	1,372.75	63	1,448.75	76.00
SUN, STEPHEN	3724	X50	Inv - III	-	7.50	5.00	2.50	5.00	286.00	10	296.00	10.00
Sub-total				-	51.00	64	120.25	80	4,562.50	144	4,733.75	171.25
<b>TOTAL</b>				-	112.05	185	297.55	202	12,490.25	387	12,899.85	409.60
<b>AVERAGE (Hours / Cases)</b>				-	0.61	1.47						

DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME ACTIVITIES LOG

6-2003

Name	Index	Class	Unit	A (Admin. and regulation of batters' treatment programs Hrs.	B Victim Notification Hours	B1 NO. of cases notified	C Assessing future probability of defendant committing murder	C1 no. of cases accessed	D Others (not related to DV)	TOTAL NO. OF CASES	TOTAL HOURS (A+B+C+D)	TOTAL DV Hrs.
BARAJAS, LESLI	3724	X50	Inv - I	-	0.30	1	0.30	1	168.00	2	168.60	0.60
MENDOZA, ABEL	3724	X50	Inv - I	-	0.50	1	-	0	161.50	1	162.00	0.50
LEE, ANTOINE	3724	X53	Inv - I	-	-	0	-	0	-	-	-	-
MARSHALL, THOMAS	3724	X53	Inv - I	-	0.50	2	1.00	2	166.50	4	168.00	1.50
PUCCINELLI, JESSICA	3724	X50	Inv - I	-	-	0	-	0	-	-	-	-
RICE, MELISSA	3724	X53	Inv - I	-	-	0	-	0	168.00	-	168.00	-
Sub-total				-	1.30	4.00	1.30	3.00	664.00	7.00	666.60	2.60
DEMASI, ANDREW	3724	X53	Inv - II	-	5.25	11	10.50	7	154.25	18	170.00	15.75
DE LA CURZ, RAFAEL	3724	X50	Inv - II	-	1.00	2	7.00	1	152.00	3	160.00	8.00
FADDIS, STANLEY	3724	X50	Inv - II	-	-	0	-	0	160.00	-	160.00	-
GRANSA-ENIS, DEBORA	3724	X50	Inv - II	-	-	1	7.00	2	136.50	3	143.50	7.00
HEGARTY, ERIN	3724	X52	Inv - II	-	-	0	-	0	160.00	-	160.00	-
MATTSON, EDWARD	3724	X53	Inv - II	-	-	1	0.75	1	160.00	2	160.75	0.75
TRIONE, JOSEPH	3724	X53	Inv - II	-	-	0	-	0	172.00	-	172.00	-
Sub-total				-	6.25	15.00	25.25	11	1,094.75	26	1,126.25	31.50
DEJESUS, ALYSA	3724	X53	Inv - III	-	0.25	1	0.25	1	167.50	2	168.00	0.50
JONES-LABOVE, LINDA	3724	X50	Inv - III	-	-	0	-	0	130.00	-	130.00	-
MARTINEZ, MANUEL	3724	X52	Inv - III	-	-	0	-	0	168.00	-	168.00	-
NGUYEN, LAN	3724	X50	Inv - III	-	0.50	1	3.00	1	156.50	2	160.00	3.50
NICHOLAW, DOREEN	3724	X50	Inv - III	-	1.00	1	-	0	129.00	1	130.00	1.00
PHAN, CAN	3724	X53	Inv - III	-	-	0	-	0	152.00	-	152.00	-
SCHUETT, SONYA	3724	X52	Inv - III	-	1.00	2	1.00	2	166.00	4	168.00	2.00
SHANNON, KERRY	3724	X52	Inv - III	-	1.75	4	3.00	4	139.25	8	144.00	4.75
SUN, STEPHEN	3724	X50	Inv - III	-	-	0	-	0	168.00	-	168.00	-
Sub-total				-	4.50	9	7.25	8	1,376.25	17	1,388.00	11.75
<b>TOTAL</b>				-	12.05	28	33.80	22	3,135.00	50	3,180.85	45.85
<b>AVERAGE (Hours / Cases)</b>				-	0.43		1.54					

DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME ACTIVITIES LOG

3-2003

Name	Index	Class	Unit	A (Admin. and regulation of batterers' treatment programs Hrs.	B Victim Notification Hours	B1 NO. of cases notified	C Assessing future probability of defendant committing murder	C1 no. of cases accessed	D Others (not related to DV)	TOTAL NO. OF CASES	TOTAL HOURS (A+B+C+D)	TOTAL DV Hrs.
BARAJAS, LESLI	3724	X50	Inv - I	-	0.50	1	1.00	1	166.50	2	168.00	1.50
CLEMENTS, TIM	3724	X50	Inv - I	-	-	0	-	0	168.00	-	168.00	-
LEE, ANTOINE	3724	X53	Inv - I	-	-	0	-	0	168.00	-	168.00	-
MARSHALL, THOMAS	3724	X53	Inv - I	-	0.75	3	4.50	3	162.75	6	168.00	5.25
PUCCINELLI, JESSICA	3724	X50	Inv - I	-	0.50	1	2.50	1	167.00	2	170.00	3.00
RICE, MELISSA	3724	X53	Inv - I	-	0.50	1	2.00	1	165.50	2	168.00	2.50
Sub-total				-	2.25	6.00	10.00	6.00	997.75	12.00	1,010.00	12.25
DEMASI, ANDREW	3724	X53	Inv - II	-	4.50	9	13.75	9	141.75	18	160.00	18.25
DE LA CURZ, RAFAEL	3724	X50	Inv - II	-	0.50	3	18.00	3	149.50	6	168.00	18.50
FADDIS, STANLEY	3724	X50	Inv - II	-	-	0	-	0	160.00	-	160.00	-
GRANSA-ENIS, DEBORA	3724	X50	Inv - II	-	0.50	1	7.00	2	136.50	3	144.00	7.50
HEGARTY, ERIN	3724	X52	Inv - II	-	1.00	1	2.50	2	148.50	3	152.00	3.50
MATTSON, EDWARD	3724	X53	Inv - II	-	0.75	1	1.00	1	134.25	2	136.00	1.75
TRIONE, JOSEPH	3724	X53	Inv - II	-	-	0	-	0	160.00	-	160.00	-
Sub-total				-	7.25	15.00	42.25	17	1,030.50	32	1,080.00	49.50
DEJESUS, ALYSA	3724	X53	Inv - III	-	0.50	1	1.00	1	150.50	2	152.00	1.50
JONES-LABOVE, LINDA	3724	X50	Inv - III	-	-	0	-	0	160.00	-	160.00	-
MARTINEZ, MANUEL	3724	X52	Inv - III	-	1.00	1	2.00	2	141.00	3	144.00	3.00
NGUYEN, LAN	3724	X50	Inv - III	-	0.50	1	3.50	1	156.00	2	160.00	4.00
NICHOLAW, DOREEN	3724	X50	Inv - III	-	-	0	-	0	140.00	-	140.00	-
PHAN, CAN	3724	X53	Inv - III	-	-	0	-	0	152.00	-	152.00	-
SCHUETT, SONYA	3724	X52	Inv - III	-	0.75	1	0.25	1	135.00	2	136.00	1.00
SHANNON, KERRY	3724	X52	Inv - III	-	2.00	2	1.00	2	149.00	4	152.00	3.00
SUN, STEPHEN	3724	X50	Inv - III	-	4.00	4	1.50	4	130.50	8	136.00	5.50
Sub-total				-	8.75	10	9.25	11	1,314.00	21	1,332.00	18.00
<b>TOTAL</b>				-	18.25	31	61.50	34	3,342.25	65	3,422.00	79.75
<b>AVERAGE (Hours / Cases)</b>				-	0.59		1.81					

DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME ACTIVITIES LOG - DECEMBER 2002

Name	Index	Class	Unit	B Victim Notification Hours	B1 NO. of cases notified	C Assessing future probability of defendant committing murder	C1 no. of cases accessed	D Others (not related to DV)	TOTAL NO. OF CASES	TOTAL HOURS (A+B+C+D)	TOTAL DV Hrs.
BARAJAS, LESLI	3724	X50	Inv - I	1.50	3	1.50	3	151.00	6	154.00	3.00
CLEMENTS, TIM	3724	X50	Inv - I	-	0	-	0	168.00	-	168.00	-
KING, MICHAEL	3724	X50	Inv - I	-	0	-	0	151.00	-	151.00	-
KURZENKNABE, MICHELLE	3724	X53	Inv - I	-	0	-	0	152.00	-	152.00	-
LEE, ANTOINE	3724	X53	Inv - I	0.50	1	0.50	1	135.00	2	136.00	1.00
MARSHALL, THOMAS	3724	X53	Inv - I	0.50	1	0.50	1	147.00	2	148.00	1.00
MENSAH, JOSEPH	3724	X52	Inv - I	0.50	1	0.50	1	114.00	2	115.00	1.00
PUCCINELLI, JESSICA	3724	X50	Inv - I	-	0	-	0	130.00	-	130.00	-
RICE, MELISSA	3724	X53	Inv - I	-	0	-	0	176.00	-	176.00	-
Sub-total				3.00	6.00	3.00	6.00	1,324.00	12.00	1,336.00	6.00
BAKER, JIM	3724	X50	Inv - II	0.50	1	0.50	3	151.00	4	152.00	1.00
DEMASI, ANDREW	3724	X53	Inv - II	3.25	8	12.00	8	136.75	16	152.00	15.25
DAVIS, DAUGLAS	3724	X50	Inv - II	-	0	-	0	136.00	-	136.00	-
DE LA CURZ, RAFAEL	3724	X50	Inv - II	2.00	5	5.00	5	129.00	10	136.00	7.00
FADDIS, STANLEY	3724	X50	Inv - II	-	0	-	0	136.00	-	136.00	-
GRANSA-ENIS, DEBORA	3724	X50	Inv - II	1.00	2	16.50	2	118.50	4	136.00	17.50
HEGARTY, ERIN	3724	X52	Inv - II	1.00	4	5.00	4	162.00	8	168.00	6.00
MATTSON, EDWARD	3724	X53	Inv - II	0.75	3	0.50	4	174.75	7	176.00	1.25
TRIONE, JOSEPH	3724	X53	Inv - II	0.50	1	2.00	2	141.50	3	144.00	2.50
Sub-total				9.00	24.00	41.50	28	1,285.50	52	1,336.00	50.50
DEJESUS, ALYSA	3724	X53	Inv - III	1.00	2	1.00	2	166.00	4	168.00	2.00
JONES-LABOVE, LINDA	3724	X50	Inv - III	-	0	-	0	152.00	-	152.00	-
MARTINEZ, MANUEL	3724	X52	Inv - III	-	0	1.00	1	151.00	1	152.00	1.00
NGUYEN, LAN	3724	X50	Inv - III	0.50	1	2.00	1	149.50	2	152.00	2.50
NICHOLAW, DOREEN	3724	X50	Inv - III	6.00	3	6.50	4	147.50	7	160.00	12.50
PHAN, CAN	3724	X53	Inv - III	0.50	1	1.50	1	134.00	2	136.00	2.00
SCHUETT, SONYA	3724	X52	Inv - III	0.25	1	0.25	1	151.50	2	152.00	0.50
SHANNON, KERRY	3724	X52	Inv - III	1.00	2	2.00	2	125.00	4	128.00	3.00
SUN, STEPHEN	3724	X50	Inv - III	3.50	1	1.00	1	155.50	2	160.00	4.50
Sub-total				12.75	11	15.25	13	1,332.00	24	1,360.00	28.00
<b>TOTAL</b>				<b>24.75</b>	<b>41</b>	<b>59.75</b>	<b>47</b>	<b>3,941.50</b>	<b>88</b>	<b>4,026.00</b>	<b>84.50</b>
<b>AVERAGE (Hours / Cases)</b>				<b>0.60</b>		<b>1.27</b>					

**DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME ACTIVITIES LOG - SEPTEMBER 2002**

Name	Index	Class	Unit	B Victim Notification Hours	B1 NO. of cases notified	C Assessing future probability of defendant committing murder	C1 no. of cases accessed	D Others (not related to DV)	TOTAL NO. OF CASES	TOTAL HOURS (A+B+C+D)	TOTAL DV Hrs.
BARAJAS, LESLI	3724	X50	Inv - I	1.00	2	2.00	2	149.00	4	152.00	3.00
CLEMENTS, TIM	3724	X50	Inv - I	0.50	1	0.50	1	167.00	2	168.00	1.00
KING, MICHAEL	3724	X50	Inv - I	2.00	2	2.00	2	140.00	4	144.00	4.00
KURZENKNABE, MICHELLE	3724	X53	Inv - I	-	0	-	0	162.00	-	162.00	-
LEE, ANTOINE	3724	X53	Inv - I	0.50	1	0.50	1	151.00	2	152.00	1.00
MARSHALL, THOMAS	3724	X53	Inv - I	-	0	-	0	144.00	-	144.00	-
MENSAH, JOSEPH	3724	X52	Inv - I	0.50	1	0.50	1	121.00	2	122.00	1.00
PUCCINELLI, JESSICA	3724	X50	Inv - I	1.00	2	2.00	2	77.00	4	80.00	3.00
RICE, MELISSA	3724	X53	Inv - I	1.00	2	4.00	2	147.00	4	152.00	5.00
Sub-total				6.50	11.00	11.50	11.00	1,258.00	22.00	1,276.00	18.00
BAKER, JIM	3724	X50	Inv - II	0.50	1	4.00	1	161.50	2	162.00	0.50
DEMASI, ANDREW	3724	X53	Inv - II	2.25	7	4.00	7	155.75	14	162.00	6.25
DELOCURZ, RAFAEL	3724	X50	Inv - II	2.25	4	1.00	4	156.75	8	160.00	3.25
GRANSA-ENIS, DEBORA	3724	X50	Inv - II	0.50	1	3.00	1	156.50	2	160.00	3.50
HEGARTY, ERIN	3724	X52	Inv - II	5.25	3	6.75	3	148.00	6	160.00	12.00
MATTSON, EDWARD	3724	X53	Inv - II	1.50	3	3.75	4	153.75	7	159.00	5.25
TRIONE, JOSEPH	3724	X53	Inv - II	1.00	2	3.00	2	156.00	4	160.00	4.00
Sub-total				13.25	21.00	21.50	22	1,088.25	43	1,123.00	34.75
DEJESUS, ALYSA	3724	X53	Inv - III	1.00	2	4.00	2	147.00	4	152.00	5.00
JONES-LABOVE, LINDA	3724	X50	Inv - III	4.00	4	8.00	4	134.00	8	146.00	12.00
MARTINEZ, MANUEL	3724	X52	Inv - III	1.50	3	1.50	3	157.00	6	160.00	3.00
NGUYEN, LAN	3724	X50	Inv - III	-	0	-	0	40.00	-	40.00	-
NICHOLAW, DOREEN	3724	X50	Inv - III	4.00	2	14.00	6	62.00	8	80.00	18.00
PHAN, CAN	3724	X53	Inv - III	3.50	7	14.50	9	144.00	16	162.00	18.00
SCHUETT, SONYA	3724	X52	Inv - III	0.50	1	0.25	1	135.25	2	136.00	0.75
SHANNON, KERRY	3724	X52	Inv - III	0.50	1	8.00	2	119.50	3	128.00	8.50
SUN, STEPHEN	3724	X50	Inv - III	-	0	-	0	160.00	-	160.00	-
Sub-total				15.00	20	50.25	27	1,098.75	47	1,164.00	65.25
<b>TOTAL</b>				<b>34.75</b>	<b>52</b>	<b>83.25</b>	<b>60</b>	<b>3,445.00</b>	<b>112</b>	<b>3,563.00</b>	<b>118.00</b>
<b>AVERAGE (Hours / Cases)</b>				<b>0.67</b>		<b>1.39</b>					

**DOMESTIC VIOLENCE TREATMENT SERVICES  
PRODUCTIVE HOURS TIME STUDY - June 2001**

Name	Index	Class	Unit	A	B	C	Others	TOTAL	Total DV Hrs.
Cerussi, Jane	3724	X52	Inv - I		1.00	4.50	162.50	168.00	5.50
Clements, T.C.	3724	X50	Inv - I		7.25	6.50	98.25	112.00	13.75
Hull, John M.	3724	X50	Inv - I		0.35	2.25	29.40	32.00	2.60
Johnson, Mike	3724	X50	Inv - I		0.50	2.00	77.50	80.00	2.50
King, Michael	3724	X52	Inv - I		2.00	15.00	143.00	160.00	17.00
Mensah, Joseph ✓	3724	X50	Inv - I		-	-	160.00	160.00	-
Munoz, Sandra	3724	X50	Inv - I		0.50	2.75	156.75	160.00	3.25
					11.60	33.00	827.40	872.00	44.60
Atwell, Dianne	3724	X50	Inv - II		4.00	4.00	162.00	170.00	8.00
Baker, James W.	3724	X50	Inv - II		1.75	1.00	167.25	170.00	2.75
Davis, Douglas P.	3724	X53	Inv - II		0.25	2.00	167.75	168.00	0.25
Demasi, Andrew J.	3724	X53	Inv - II		0.25	2.00	165.75	168.00	2.25
Marquez, Sandra	3724	X53	Inv - II		1.50	6.00	160.50	168.00	7.50
Mattson, Edward	3724	X50	Inv - II		-	-	168.00	168.00	-
Stelle, Thomas A.	3724	X50	Inv - II		3.00	5.00	163.00	168.00	5.00
Trione, Joseph	3724	X52	Inv - II		10.75	10.00	155.00	168.00	13.00
Sub-total					28.00	28.00	1,309.25	1,348.00	38.75
Bates, Deborah S.	3724	X50	Inv - III		1.25	6.00	152.75	160.00	7.25
DeJesus, Alysa	3724	X52	Inv - III		0.50	3.00	164.50	168.00	3.50
Martinez, Manuel	3724	X52	Inv - III		0.50	1.00	166.50	168.00	1.50
Nguyen, Lan T.	3724	X50	Inv - III		0.50	1.50	166.00	168.00	2.00
Phan, Gan ✓	3724	X52	Inv - III		-	-	168.00	168.00	-
Robinson, Linda	3724	X52	Inv - III		1.00	8.50	167.00	168.00	1.00
Schuett, Sonya	3724	X52	Inv - III		1.50	5.00	158.00	168.00	10.00
Shannon, Kerry	3724	X52	Inv - III		2.25	5.00	160.75	168.00	7.25
Yoder, Sherry /	3724	X53	Inv - III		7.50	25.00	168.00	168.00	-
Sub-total					29.85	86.00	3,608.15	3,724.00	115.85
<b>TOTAL</b>				-	29.85	86.00	3,608.15	3,724.00	115.85
<b>AVERAGE (Hours/ 54 cases)</b>				-	0.55	1.59			2.11

**DOMESTIC VIOLENCE TREATMENT SERVICES  
ADULT INVESTIGATION TIME STUDY - May 1999**

Name	Index	Class	Unit	A	B	C	Others	Total Hours	TOTAL DV Hrs.
Baker, James	3724	X50	Inv - II		1.00	8.50	150.50	160.00	9.50
Bates, Deborah	3724	X50	Inv - III		2.00	4.25	153.75	160.00	6.25
Boutin, Robert	3739	X50	Inv - II		2.50	1.50	156.00	160.00	4.00
Campbell, Laurie	3724	X50	Inv - II	0.25	0.50	0.25	159.00	160.00	1.00
Ching, Patrick	3724	X52	Inv - III		0.70	12.80	146.50	160.00	13.50
Clawson, Cheryl	3724	X50	Inv - I		2.50	2.00	155.50	160.00	4.50
Fernandez, Christine	3724	X50	Inv - II		0.50	7.00	152.50	160.00	7.50
Gargiulo, Kathy	3724	X52	Inv - I				160.00	160.00	-
Giarretto, Gary	3724	X50	Inv - III		1.00	0.50	158.50	160.00	1.50
Grubbs, Jim (Xhelp)	3724	X50	Inv - II		0.25	9.25	70.50	80.00	9.50
Hayes, Brenda	3724	X50	Inv - III		4.75	13.25	142.00	160.00	18.00
Hill, Jennifer	3724	X52	Inv - III		2.25	12.00	145.75	160.00	14.25
Kurzenknabe, Michelle	3724	X53	Inv - I		0.25	4.75	155.00	160.00	5.00
Lepak, Paul	3724	X50	Inv - I		1.25	8.75	150.00	160.00	10.00
Marcopulos, Donna	3724	X50	No.Co		0.75		159.25	160.00	0.75
Martinez, Manuel	3724	X52	Inv - III		0.50	6.50	153.00	160.00	7.00
Mattson, Edward	3741	X50	Inv - II		0.25	2.25	121.50	124.00	2.50
Mensah, Joseph	3724	X52	Inv - I		1.00	2.00	157.00	160.00	3.00
Montelongo, Virginia	3724	X52	Inv - I		1.25		158.75	160.00	1.25
Nguyen, Lan	3724	X50	Inv - III		0.75	24.25	135.00	160.00	25.00
Nguyen, Tuyet	3724	X52	Inv - III		3.00	52.00	105.00	160.00	55.00
Okamoto, Alice	3724	X52	Inv - II		1.25	3.50	155.25	160.00	4.75
Perez, David	3724	X48	Inv - III			16.00	144.00	160.00	16.00
Rae, Patricia	3724	X52	Inv - III		0.75	8.25	151.00	160.00	9.00
Rocha, Dina	3739	X53	Inv - II		0.17	0.50	159.33	160.00	0.67
Rupprecht, Jeanne	3724	X50	Inv - I			8.75	151.25	160.00	8.75
Rushmeyer, Ann	3724	X53	Inv - II				80.00	80.00	-
Schloetter, William	3724	X50	Inv - III		1.50	12.50	146.00	160.00	14.00
Schwimmer, Joan	3724	X48	Inv - II			1.00	159.00	160.00	1.00
Thomas, Edna	3724	X50	Inv - II		1.50	0.50	158.00	160.00	2.00
Van Groningen, Karen	3724	X50	No.Co	2.00	2.00	2.00	154.00	160.00	6.00
<b>TOTAL</b>				2.25	34.12	224.80	4,502.83	4,764.00	261.17
<b>AVERAGE (Hours/ 48 cases)</b>				0.05	0.71	4.68	93.81		8.42

# EXHIBIT P

THIS NOTICE IS FOR INFORMATION PURPOSE ONLY.  
NO WARRANT WILL BE MAILED.  
THE NET PAYMENT AMOUNT WAS ZERO.

AUDITOR-CONTROLLER  
COUNTY OF SANTA CLARA  
70 W HEDDING ST, EAST WING  
SAN JOSE CA 95110

\*\*\*\*\*.00

E: TREASURER, COUNTY OF SANTA CLARA  
NAME: GENERAL FUND

PGM NBR: 00202

ISSUE DATE: 08/03/2006

CLAIM SCHEDULE NBR: MA60752A

REIMBURSEMENT OF STATE MANDATED COSTS

IF YOU HAVE ANY QUESTIONS, PLS. CALL EDUARDO AT (916) 323-0755

ACL : CH641/86                      PROG : OPEN MEETING II LOCAL CH641/86

2000/2001 ACTUAL PAYMENT                      CLAIMED AMT: 3,075,079.00

TOTAL ADJUSTMENTS: (SEE BELOW)                      .00

TOTAL APPROVED CLAIMED AMT:                      3,075,079.00

LESS PRIOR PAYMENTS:                      .00

PRORATA PERCENT:                      100.000000

PRORATA BALANCE DUE:                      .00

APPROVED PAYMENT AMOUNT:                      2,525,079.00

PAYMENT OFFSETS (ACL NBR, NAME, FY, AMT.):

77/78	ABSENTEE BALLOTS CH 77/7 04/05	270,202-
0820-295-0001-2001	CHILD ABDUCT & RECV CH 1 01/02	270,103-
0820-295-0001-2000	CHILD ABDUCT & RECV CH 1 00/01	63,206-
694/75	DEV DISABLE ATTY SER CH 04/05	6,501-
1422/82	PERM ABSENT VOTERS CH 14 04/05	12,563-
183/92	DOM VIOL TREAT CH 183/92 04/05	99,463-
697/92	ALLOC PROP TAX CH697/92 04/05	1,749-
641/86	OPEN MTG-BROWN ACT CH641 04/05	100,876-
1088/88	SEARCH WARRNT: AIDS CH 1 04/05	160,202-
1114/79	NOT GUL INSANITY II CH11 04/05	99,499-
4440-295-0001-2000	SEX VIOL. PREDATORS CH 7 00/01	109,507-
4440-790-0001-1999	SEX VIOL. PREDATORS CH 7 99/00	86,220-
4440-790-0001-1999	SEX VIOL. PREDATORS CH 7 98/99	7,636-
5430-795-0001-2000	DOM VIOL TREAT CH 183/92 00/01	152,644-
5430-795-0001-1999	DOM VIOL TREAT CH 183/92 99/00	380,748-
5430-795-0001	DOM VIOL TREAT CH 183/92 98/99	215,283-
1136/93	OPEN MTG-BROWN ACT CH641 01/02	54,328-
4260-295-0001-2000	AIDS TESTING CH 1597/88 00/01	203-
4260-295-0001	AIDS TESTING CH 1597/88 99/00	321-
4440-295-0001-2001	MENTAL HEALTH/MDSO CH 10 01/02	250-
4260-295-0001-2001	SEARCH WARRNT: AIDS CH 1 01/02	32,675-
9100-295-0001-2001	ALLOC PROP TAX CH697/92 01/02	75,545-
0950-295-0001-2000	INVESTMENT REPORTS CH 78 00/01	11,661-
8100-790-0001-1999	DOM VIOL ARREST POL CH: 97/98	16,247-
0820-295-0001	CHILD ABDUCT & RECV CH 1 99/00	297,447-

NET PAYMENT AMOUNT:                      .00

ADJUSTMENTS ITEMIZED:

PRIOR PYMT TO/FR ANOTHER PGM                      550,000.00-

*Adrian*  
2525  
019