

COMMISSION ON STATE MANDATES

980 NINTH STREET, SUITE 300
SACRAMENTO, CA 95814
PHONE: (916) 323-3562
FAX: (916) 445-0278
E-mail: csminfo@csm.ca.gov



September 25, 2009

Mr. Keith Petersen
Six Ten & Associates
3270 Arena Blvd., Suite 400-363
Sacramento, CA 95834

Ms. Ginny Brummels
State Controller's Office
Division of Accounting and Reporting
3301 C Street, Suite 500
Sacramento, CA 95816

And Interested Parties and Affected State Agencies (See Enclosed Mailing List)

RE: Adopted Statewide Cost Estimate
Reporting Improper Governmental Activities, 02-TC-24
Education Code Section 87164
Statutes 2001, Chapter 416, Statutes 2002, Chapter 81
Santa Monica Community College District, Claimant

Dear Mr. Petersen and Ms. Brummels:

Enclosed is the adopted statewide cost estimate for the above-named program. We will report this amount to the Legislature.

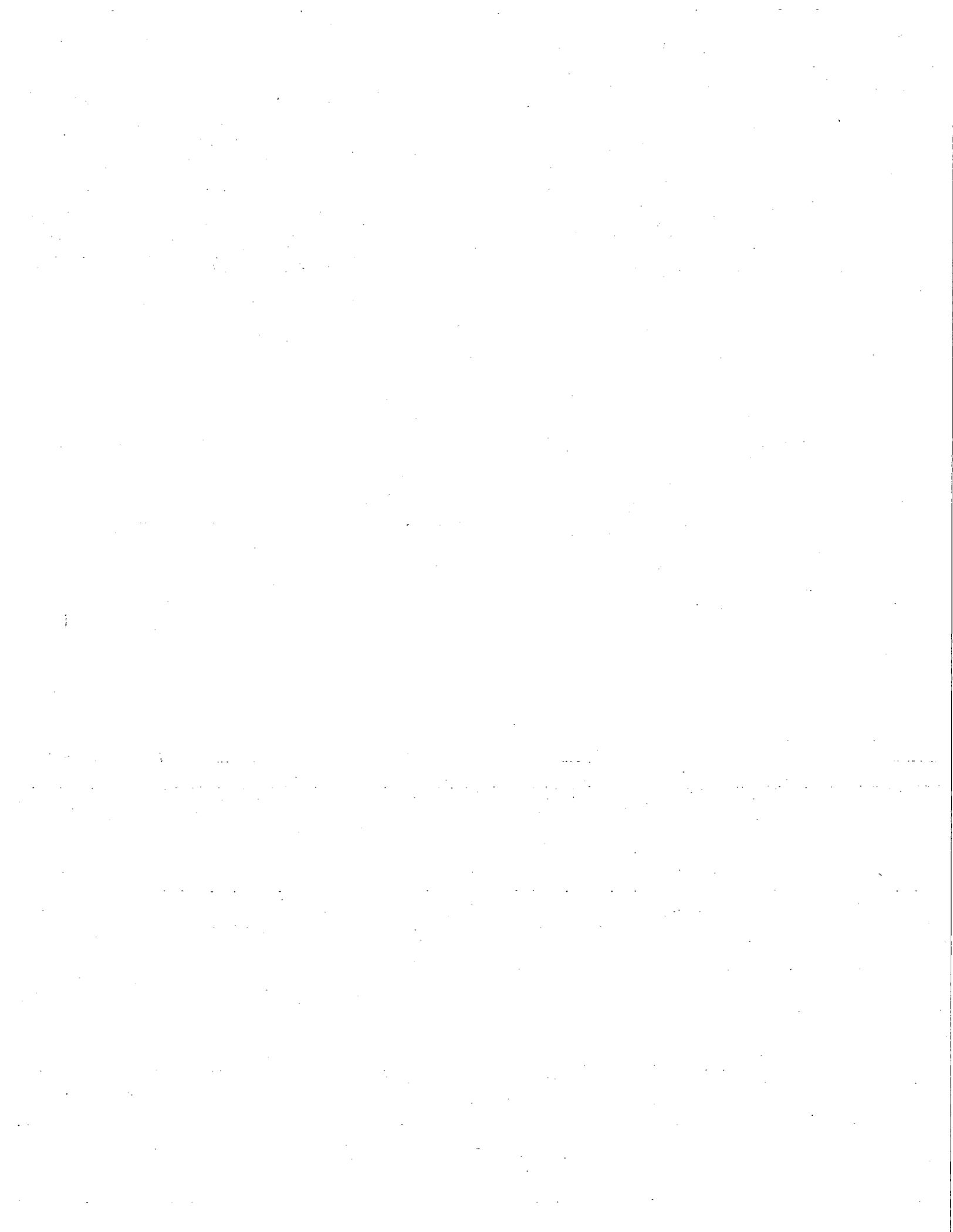
Sincerely,

A handwritten signature in cursive script that reads "Paula Higashi".

PAULA HIGASHI
Executive Director

Enclosure

j:mandates/2002/02tc24/sce/scedsatrans



STATEWIDE COST ESTIMATE

Education Code Section 87164

Statutes 2001, Chapter 416

Statutes 2002, Chapter 81

Reporting Improper Governmental Activities 02-TC-24

Santa Monica Community College District, Claimant

Test Claim Filed: June 5, 2003

Reimbursement Period for this Estimate: January 1, 2002 through June 30, 2008

Eligible Claimants: Any Community College District

The statewide cost estimate includes two fiscal years for a total of \$41,516 for the *Reporting Improper Governmental Activities* program. Following is a breakdown of estimated total costs per fiscal year:

Fiscal Year	Number of Claims Filed with SCO	Estimated Cost
2006-2007	1	\$17,211
2007-2008	2	\$24,305
TOTAL	3	\$41,516

Summary of the Mandate

The approved test claim statutes address the procedures used to protect community college employees and applicants for employment from employees, officers, or administrators who intentionally engage in acts of reprisal, or coercion against an employee or applicant for employment who has disclosed improper governmental activity of the employer.

The Commission on State Mandates (Commission) adopted the Statement of Decision for the *Reporting Improper Governmental Activities* program (02-TC-24). The Commission found that the test claim statute constitutes a new program or higher level of service and imposes a state-mandated program on local agencies within the meaning of article XIII B, section 6, of the California Constitution and Government Code section 17514, for the cost of community college employees or applicants for employment filing complaints with the State Personnel Board (SPB), including the cost for SPB to conduct informal hearings or investigations of the complaint.

The test claim was filed on June 5, 2003. The Commission on State Mandates (Commission) adopted the Statement of Decision on September 27, 2007, and the parameters and guidelines on September 26, 2008. Eligible claimants were required to file initial reimbursement claims with the State Controller's Office (SCO) by March 31, 2009, and must file late claims by March 31, 2010.

Reimbursable Activities

For each eligible claimant, the following activities are reimbursable when an employee or applicant for employment files a complaint with the State Personnel Board alleging retaliation, acts of reprisal, or similar improper acts prohibited by Education Code section 87163:

- Beginning January 1, 2003, fully comply with the rules of practice and procedure of the State Personnel Board, set forth in California Code of Regulations, title 2, sections 56 – 57.4. This includes serving the employee or applicant for employment and the State Personnel Board with a written response to the applicant for employment’s complaint addressing the allegations, and responding to investigations or attending hearings, and producing documents during investigations or hearings (Ed. Code, § 87164, subd. (c)(1), as added and amended by Stats. 2002, ch. 81).
- Beginning January 1, 2003, pay for all costs associated with the State Personnel Board hearing regarding a complaint filed by an employee or applicant for employment (Ed. Code, § 87164, subd. (c)(2), as added and amended by Stats. 2002, ch. 81).
- Beginning January 1, 2002, if the State Personnel Board finds that a supervisor, community college administrator, or public school employer has violated Education Code section 87163, to make an entry into that individual’s official personnel file by placing a copy of the State Personnel Board’s decision in that individual’s official personnel file (Ed. Code, § 87164, subd. (f), as added by Stats. 2001, ch. 416).

Statewide Cost Estimate

Staff reviewed the claims data submitted by two community college districts, and compiled by the State Controller’s Office (SCO). The actual claims data showed that three claims were filed for fiscal years 2006-2007 and 2007-2008 for a total of \$41,516.¹ Based on this data, staff made the following assumptions and used the following methodology to develop a statewide cost estimate for this program.

Assumptions

1. *The actual amount claimed for reimbursement may increase if late or amended claims are filed.*

There are 71 community college districts in California. Of those, only two filed reimbursement claims for this program. If other eligible claimants file reimbursement claims or late or amended claims, the amount of reimbursement claims may exceed the statewide cost estimate. For this program, late claims may be filed until March 2010.

2. *Non-claiming community college districts did not file claims because: (1) no complaints were filed with SPB and thus, they did not incur more than \$1,000 in increased costs for this program; or (2) they did not have supporting documentation to file a reimbursement claim.*

This program provides reimbursement only when community college district employees and applicants for employment submit complaints to the SPB, after which the SPB is required to initiate an informational hearing or investigation of the complaint. Therefore, reimbursement will only occur if complaints are filed.

Reimbursement for this program is authorized on or after January 1, 2002. However, no claims were filed until 2006-2007. No reimbursement claims were filed for fiscal years 2001-2002 through 2005-2006 because either no complaints were filed, or claimants did not retain the appropriate documentation for those years to file reimbursement claims.

3. *The total costs of this program may increase in future years if there are increases in the number of reports of improper governmental activities filed against community college district employees, officers or administrators.*

¹ Claims data reported as of August 18, 2009.

4. *The total amount of reimbursement for this program may be lower than the statewide cost estimate, because the SCO may reduce any reimbursement claim for this program.*

If the SCO audits this program and deems any reimbursement claim to be excessive or unreasonable, it may be reduced.

Methodology

Fiscal Years 2006-2007 and 2007-2008

The statewide cost estimate for fiscal years 2006-2007 and 2007-2008 was developed by totaling the three unaudited reimbursement claims filed with the SCO for these years.

The statewide cost estimate includes two fiscal years for a total of \$41,516 for the *Reporting Improper Governmental Activities* program.

Conclusion

The Commission adopted the statewide cost estimate of **\$41,516** for costs incurred in complying with the *Reporting Improper Governmental Activities* program.

Commission on State Mandates

Original List Date: 6/18/2003
Last Updated: 9/10/2009
List Print Date: 09/25/2009
Claim Number: 02-TC-24
Issue: Reporting Improper Governmental Activities

Mailing Information: Final Staff Analysis

Mailing List

TO ALL PARTIES AND INTERESTED PARTIES:

Each commission mailing list is continuously updated as requests are received to include or remove any party or person on the mailing list. A current mailing list is provided with commission correspondence, and a copy of the current mailing list is available upon request at any time. Except as provided otherwise by commission rule, when a party or interested party files any written material with the commission concerning a claim, it shall simultaneously serve a copy of the written material on the parties and interested parties to the claim identified on the mailing list provided by the commission. (Cal. Code Regs., tit. 2, § 1181.2.)

Mr. Jim Spano

State Controller's Office (B-08)
Division of Audits
300 Capitol Mall, Suite 518
Sacramento, CA 95814

Tel: (916) 323-5849

Fax: (916) 327-0832

Ms. Carla Castaneda

Department of Finance (A-15)
915 L Street, 12th Floor
Sacramento, CA 95814

Tel: (916) 445-3274

Fax: (916) 323-9584

Mr. Robert Miyashiro

Education Mandated Cost Network
1121 L Street, Suite 1060
Sacramento, CA 95814

Tel: (916) 446-7517

Fax: (916) 446-2011

Ms. Harmeet Barkschat

Mandate Resource Services, LLC
5325 Elkhorn Blvd. #307
Sacramento, CA 95842

Tel: (916) 727-1350

Fax: (916) 727-1734

Ms. Sandy Reynolds

Reynolds Consulting Group, Inc.
P.O. Box 894059
Temecula, CA 92589

Tel: (951) 303-3034

Fax: (951) 303-6607

Mr. Michael Johnston

Clovis Unified School District
1450 Herndon Ave
Clovis, CA 93611-0599

Tel: (559) 327-9000

Fax: (559) 327-9129

Mr. Steve Shields

Shields Consulting Group, Inc.
1536 36th Street
Sacramento, CA 95816

Tel: (916) 454-7310

Fax: (916) 454-7312

Ms. Beth Hunter Centration, Inc. 8570 Utica Avenue, Suite 100 Rancho Cucamonga, CA 91730	Tel: (866) 481-2621 Fax: (866) 481-2682
Ms. Carol Bingham California Department of Education (E-08) Fiscal Policy Division 1430 N Street, Suite 5602 Sacramento, CA 95814	Tel: (916) 324-4728 Fax: (916) 319-0116
Mr. Erik Skinner California Community Colleges Chancellor's Office (G-01) 1102 Q Street Sacramento, CA 95814-6511	Tel: (916) 323-7007 Fax: (916) 322-4783
Chris Bonvenuto Santa Monica Community College District 1900 Pico Blvd. Santa Monica, CA 90405-1628	Claimant Tel: (310) 434-4201 Fax: (310) 434-8200
Mr. David E. Scribner Max8550 2200 Sunrise Boulevard, Suite 220 Gold River, CA 95670	Tel: (916) 852-8970 Fax: (916) 852-8978
Mr. Joe Rombold School Innovations & Advocacy 11130 Sun Center Drive, Suite 100 Rancho Cordova, CA 95670	Tel: (916) 669-5116 Fax: (888) 487-6441
Mr. David Cichella California School Management Group 3130-C Inland Empire Blvd. Ontario, CA 91764	Tel: (209) 834-0556 Fax: (209) 834-0087
Ms. Ginny Brummels State Controller's Office (B-08) Division of Accounting & Reporting 3301 C Street, Suite 500 Sacramento, CA 95816	Tel: (916) 324-0256 Fax: (916) 323-6527
Ms. Jeannie Oropeza Department of Finance (A-15) Education Systems Unit 915 L Street, 7th Floor Sacramento, CA 95814	Tel: (916) 445-0328 Fax: (916) 323-9530
Ms. Susan Geanacou Department of Finance (A-15) 915 L Street, Suite 1280 Sacramento, CA 95814	Tel: (916) 445-3274 Fax: (916) 449-5252
Ms. Jolene Tollenaar MGT of America 2001 P Street, Suite 200 Sacramento, CA 95811	Tel: (916) 443-9136 Fax: (916) 443-1766

Ms. Suzanne Ambrose
State Personnel Board (E-09)
801 Capitol Mall, Room 150
Sacramento, CA 95814

Tel:
Fax: (916) 653-1028

Mr. Keith B. Petersen
SixTen & Associates
3270 Arena Blvd., Suite 400-363
Sacramento, CA 95834

Claimant Representative
Tel: (916) 419-7093
Fax: (916) 263-9701